FINAL IMPACT EVALUATION

NonResidential Lighting Sector

Program Year 2020

Appendices

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Submitted to:
California Public Utilities Commission

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Gross Lifecycle Savings (MWh)

Report Name	PA	Standard Report Group	Ex-Ante Gross	Ex-Post Gross	GRR	% Ex-Ante Gross Pass Through	Eval GRR
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	8,231	8,868	1.08	0.0%	1.08
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	49,028	52,817	1.08	0.0%	1.08
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	977	977	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	791	791	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**59,026**	**63,452**	**1.07**	**3.0%**	**1.08**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	34,518	42,390	1.23	0.0%	1.23
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	20,630	22,845	1.11	0.0%	1.11
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	2,643	2,643	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	816	816	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	17,281	24,124	1.40	0.0%	1.40
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**75,889**	**92,818**	**1.22**	**4.6%**	**1.23**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	5,073	5,618	1.11	0.0%	1.11
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	1,942	1,942	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	2,353	2,353	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	29,455	39,062	1.33	0.0%	1.33
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	37,928	74,091	1.95	0.0%	1.95
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**76,751**	**123,065**	**1.60**	**5.6%**	**1.64**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*211,666*	*279,336*	*1.32*	*4.5%*	*1.33*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	374	374	1.00	100.0%	
LTG_NR_LCE_MCE	**MCE**	**Total**	**374**	**374**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	1,099	1,099	1.00	100.0%	
LTG_NR_LCE_MCE	**LCE**	**Total**	**1,099**	**1,099**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE		*Statewide*	*1,473*	*1,473*	*1.00*	*100.0%*	
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	37,681	37,681	1.00	100.0%	
LTG_STREETLIGHT	**SCE**	**Total**	**37,681**	**37,681**	**1.00**	**100.0%**	
LTG_STREETLIGHT		*Statewide*	*37,681*	*37,681*	*1.00*	*100.0%*	

Net Lifecycle Savings (MWh)

Report Name	PA	Standard Report Group	Ex-Ante Net	Ex-Post Net	NRR	% Ex-Ante Net Pass Through	Ex-Ante NTG	Ex-Post NTG	Eval Ex-Ante NTG	Eval Ex-Post NTG
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	7,895	3,608	0.46	0.0%	0.96	0.41	0.96	0.41
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	47,058	34,328	0.73	0.0%	0.96	0.65	0.96	0.65
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	860	860	1.00	100.0%	0.88	0.88		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	756	756	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**56,570**	**39,552**	**0.70**	**2.9%**	**0.96**	**0.62**	**0.96**	**0.61**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	33,137	31,294	0.94	0.0%	0.96	0.74	0.96	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	19,805	14,329	0.72	0.0%	0.96	0.63	0.96	0.63
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	2,345	2,345	1.00	100.0%	0.89	0.89		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	530	530	1.00	100.0%	0.65	0.65		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	11,430	17,810	1.56	0.0%	0.66	0.74	0.66	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**67,247**	**66,309**	**0.99**	**4.3%**	**0.89**	**0.71**	**0.89**	**0.71**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	4,758	3,698	0.78	0.0%	0.94	0.66	0.94	0.66
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	1,859	1,859	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	1,611	1,611	1.00	100.0%	0.68	0.68		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	20,063	28,189	1.41	0.0%	0.68	0.72	0.68	0.72
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	25,629	48,771	1.90	0.0%	0.68	0.66	0.68	0.66
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**53,921**	**84,129**	**1.56**	**6.4%**	**0.70**	**0.68**	**0.70**	**0.68**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*177,738*	*189,990*	*1.07*	*4.5%*	*0.84*	*0.68*	*0.84*	*0.67*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	359	359	1.00	100.0%	0.96	0.96		
LTG_NR_LCE_MCE	**MCE**	**Total**	**359**	**359**	**1.00**	**100.0%**	**0.96**	**0.96**		
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	720	720	1.00	100.0%	0.66	0.66		
LTG_NR_LCE_MCE	**LCE**	**Total**	**720**	**720**	**1.00**	**100.0%**	**0.66**	**0.66**		
LTG_NR_LCE_MCE		*Statewide*	*1,079*	*1,079*	*1.00*	*100.0%*	*0.73*	*0.73*		
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	24,493	24,493	1.00	100.0%	0.65	0.65		
LTG_STREETLIGHT	**SCE**	**Total**	**24,493**	**24,493**	**1.00**	**100.0%**	**0.65**	**0.65**		
LTG_STREETLIGHT		*Statewide*	*24,493*	*24,493*	*1.00*	*100.0%*	*0.65*	*0.65*		

Gross Lifecycle Savings (MW)

Report Name	PA	Standard Report Group	Ex-Ante Gross	Ex-Post Gross	GRR	% Ex-Ante Gross Pass Through	Eval GRR
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	1.3	1.3	1.01	0.0%	1.01
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	7.4	7.5	1.01	0.0%	1.01
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	0.2	0.2	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0.0	0.0			
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0.0	0.0			
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**8.8**	**9.0**	**1.01**	**1.8%**	**1.01**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	5.1	5.6	1.09	0.0%	1.09
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	3.2	3.3	1.05	0.0%	1.05
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	0.3	0.3	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0.1	0.1	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	2.9	4.0	1.38	0.0%	1.38
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0.0	0.0			
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**11.6**	**13.4**	**1.15**	**3.8%**	**1.16**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0.8	0.9	1.05	0.0%	1.05
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	0.3	0.3	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0.2	0.2	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	4.6	5.6	1.23	0.0%	1.23
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	5.8	10.4	1.77	0.0%	1.77
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**11.8**	**17.4**	**1.48**	**4.5%**	**1.50**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*32.2*	*39.7*	*1.23*	*3.5%*	*1.24*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_LCE_MCE	**MCE**	**Total**	**0.0**	**0.0**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	0.2	0.2	1.00	100.0%	
LTG_NR_LCE_MCE	**LCE**	**Total**	**0.2**	**0.2**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE		*Statewide*	*0.2*	*0.2*	*1.00*	*100.0%*	
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0.0	0.0			
LTG_STREETLIGHT	**SCE**	**Total**	**0.0**	**0.0**			
LTG_STREETLIGHT		*Statewide*	*0.0*	*0.0*			

Net Lifecycle Savings (MW)

Report Name	PA	Standard Report Group	Ex-Ante Net	Ex-Post Net	NRR	% Ex-Ante Net Pass Through	Ex-Ante NTG	Ex-Post NTG	Eval Ex-Ante NTG	Eval Ex-Post NTG
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	1.2	0.5	0.43	0.0%	0.96	0.41	0.96	0.41
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	7.1	4.9	0.69	0.0%	0.96	0.65	0.96	0.65
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	0.1	0.1	1.00	100.0%	0.90	0.90		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0.0	0.0						
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0.0	0.0						
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**8.5**	**5.5**	**0.65**	**1.7%**	**0.96**	**0.62**	**0.96**	**0.61**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	4.9	4.1	0.84	0.0%	0.96	0.74	0.96	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	3.0	2.1	0.68	0.0%	0.96	0.63	0.96	0.63
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	0.3	0.3	1.00	100.0%	0.88	0.88		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0.1	0.1	1.00	100.0%	0.65	0.65		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	1.9	3.0	1.54	0.0%	0.66	0.74	0.66	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0.0	0.0						
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**10.2**	**9.5**	**0.93**	**3.5%**	**0.88**	**0.71**	**0.88**	**0.71**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0.8	0.6	0.74	0.0%	0.94	0.66	0.94	0.66
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	0.3	0.3	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0.2	0.2	1.00	100.0%	0.68	0.68		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	3.1	4.1	1.30	0.0%	0.68	0.72	0.68	0.72
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	4.0	6.8	1.73	0.0%	0.68	0.66	0.68	0.66
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**8.3**	**11.9**	**1.44**	**5.4%**	**0.70**	**0.68**	**0.70**	**0.68**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*27.0*	*27.0*	*1.00*	*3.5%*	*0.84*	*0.68*	*0.84*	*0.67*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.96	0.96		
LTG_NR_LCE_MCE	**MCE**	**Total**	**0.0**	**0.0**	**1.00**	**100.0%**	**0.96**	**0.96**		
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	0.1	0.1	1.00	100.0%	0.66	0.66		
LTG_NR_LCE_MCE	**LCE**	**Total**	**0.1**	**0.1**	**1.00**	**100.0%**	**0.66**	**0.66**		
LTG_NR_LCE_MCE		*Statewide*	*0.2*	*0.2*	*1.00*	*100.0%*	*0.72*	*0.72*		
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0.0	0.0						
LTG_STREETLIGHT	**SCE**	**Total**	**0.0**	**0.0**						
LTG_STREETLIGHT		*Statewide*	*0.0*	*0.0*						

Gross Lifecycle Savings (MTherms)

Report Name	PA	Standard Report Group	Ex-Ante Gross	Ex-Post Gross	GRR	% Ex-Ante Gross Pass Through	Eval GRR
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	-51	-55	1.08	0.0%	1.08
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	-262	-282	1.08	0.0%	1.08
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	-10	-10	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0	0			
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**-323**	**-347**	**1.07**	**3.0%**	**1.08**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	-101	-124	1.23	0.0%	1.23
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	-61	-67	1.11	0.0%	1.11
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	-12	-12	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0	0			
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	-117	-164	1.40	0.0%	1.40
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**-291**	**-367**	**1.26**	**4.2%**	**1.27**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	-16	-18	1.11	0.0%	1.11
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	-6	-6	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0	0			
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	-90	-119	1.33	0.0%	1.33
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	-44	-86	1.95	0.0%	1.95
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**-156**	**-229**	**1.47**	**3.8%**	**1.49**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*-770*	*-944*	*1.23*	*3.6%*	*1.23*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	-4	-4	1.00	100.0%	
LTG_NR_LCE_MCE	**MCE**	**Total**	**-4**	**-4**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	-6	-6	1.00	100.0%	
LTG_NR_LCE_MCE	**LCE**	**Total**	**-6**	**-6**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE		*Statewide*	*-10*	*-10*	*1.00*	*100.0%*	
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0	0			
LTG_STREETLIGHT	**SCE**	**Total**	**0**	**0**			
LTG_STREETLIGHT		*Statewide*	*0*	*0*			

Net Lifecycle Savings (MTherms)

Report Name	PA	Standard Report Group	Ex-Ante Net	Ex-Post Net	NRR	% Ex-Ante Net Pass Through	Ex-Ante NTG	Ex-Post NTG	Eval Ex-Ante NTG	Eval Ex-Post NTG
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	-49	-22	0.46	0.0%	0.96	0.41	0.96	0.41
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	-251	-183	0.73	0.0%	0.96	0.65	0.96	0.65
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	-9	-9	1.00	100.0%	0.88	0.88		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0	0						
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**-309**	**-214**	**0.69**	**2.8%**	**0.96**	**0.62**	**0.96**	**0.61**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	-97	-91	0.94	0.0%	0.96	0.74	0.96	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	-58	-42	0.72	0.0%	0.96	0.63	0.96	0.63
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	-11	-11	1.00	100.0%	0.88	0.88		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0	0						
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	-78	-121	1.56	0.0%	0.66	0.74	0.66	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**-243**	**-265**	**1.09**	**4.4%**	**0.84**	**0.72**	**0.83**	**0.72**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	-15	-12	0.78	0.0%	0.94	0.66	0.94	0.66
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	-6	-6	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0	0						
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	-62	-86	1.38	0.0%	0.69	0.72	0.69	0.72
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	-30	-57	1.90	0.0%	0.68	0.66	0.68	0.66
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**-113**	**-160**	**1.42**	**5.1%**	**0.72**	**0.70**	**0.71**	**0.69**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*-665*	*-640*	*0.96*	*3.8%*	*0.86*	*0.68*	*0.86*	*0.67*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	-4	-4	1.00	100.0%	0.96	0.96		
LTG_NR_LCE_MCE	**MCE**	**Total**	**-4**	**-4**	**1.00**	**100.0%**	**0.96**	**0.96**		
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	-4	-4	1.00	100.0%	0.65	0.65		
LTG_NR_LCE_MCE	**LCE**	**Total**	**-4**	**-4**	**1.00**	**100.0%**	**0.65**	**0.65**		
LTG_NR_LCE_MCE		*Statewide*	*-8*	*-8*	*1.00*	*100.0%*	*0.78*	*0.78*		
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0	0						
LTG_STREETLIGHT	**SCE**	**Total**	**0**	**0**						
LTG_STREETLIGHT		*Statewide*	*0*	*0*						

Gross First Year Savings (MWh)

Report Name	PA	Standard Report Group	Ex-Ante Gross	Ex-Post Gross	GRR	% Ex-Ante Gross Pass Through	Eval GRR
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	686	1,166	1.70	0.0%	1.70
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	4,217	7,166	1.70	0.0%	1.70
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	102	102	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	66	66	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**5,071**	**8,499**	**1.68**	**3.3%**	**1.70**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	2,988	3,973	1.33	0.0%	1.33
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	1,668	1,860	1.12	0.0%	1.12
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	434	434	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	163	163	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	3,514	4,906	1.40	0.0%	1.40
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**8,767**	**11,336**	**1.29**	**6.8%**	**1.31**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	317	354	1.12	0.0%	1.12
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	151	151	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	477	477	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	5,933	7,858	1.32	0.0%	1.32
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	7,785	15,208	1.95	0.0%	1.95
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**14,663**	**24,047**	**1.64**	**4.3%**	**1.67**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*28,501*	*43,883*	*1.54*	*4.9%*	*1.57*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	31	31	1.00	100.0%	
LTG_NR_LCE_MCE	**MCE**	**Total**	**31**	**31**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	221	221	1.00	100.0%	
LTG_NR_LCE_MCE	**LCE**	**Total**	**221**	**221**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE		*Statewide*	*252*	*252*	*1.00*	*100.0%*	
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	9,420	9,420	1.00	100.0%	
LTG_STREETLIGHT	**SCE**	**Total**	**9,420**	**9,420**	**1.00**	**100.0%**	
LTG_STREETLIGHT		*Statewide*	*9,420*	*9,420*	*1.00*	*100.0%*	

Net First Year Savings (MWh)

Report Name	PA	Standard Report Group	Ex-Ante Net	Ex-Post Net	NRR	% Ex-Ante Net Pass Through	Ex-Ante NTG	Ex-Post NTG	Eval Ex-Ante NTG	Eval Ex-Post NTG
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	658	474	0.72	0.0%	0.96	0.41	0.96	0.41
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	4,048	4,657	1.15	0.0%	0.96	0.65	0.96	0.65
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	85	85	1.00	100.0%	0.83	0.83		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	63	63	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**4,854**	**5,280**	**1.09**	**3.1%**	**0.96**	**0.62**	**0.96**	**0.62**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	2,869	2,933	1.02	0.0%	0.96	0.74	0.96	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	1,601	1,167	0.73	0.0%	0.96	0.63	0.96	0.63
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	378	378	1.00	100.0%	0.87	0.87		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	106	106	1.00	100.0%	0.65	0.65		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	2,323	3,622	1.56	0.0%	0.66	0.74	0.66	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**7,277**	**8,206**	**1.13**	**6.7%**	**0.83**	**0.72**	**0.83**	**0.72**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	297	233	0.78	0.0%	0.94	0.66	0.94	0.66
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	144	144	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	326	326	1.00	100.0%	0.68	0.68		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	4,041	5,671	1.40	0.0%	0.68	0.72	0.68	0.72
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	5,256	10,011	1.90	0.0%	0.68	0.66	0.68	0.66
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**10,064**	**16,385**	**1.63**	**4.7%**	**0.69**	**0.68**	**0.68**	**0.68**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*22,196*	*29,871*	*1.35*	*5.0%*	*0.78*	*0.68*	*0.78*	*0.68*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	30	30	1.00	100.0%	0.96	0.96		
LTG_NR_LCE_MCE	**MCE**	**Total**	**30**	**30**	**1.00**	**100.0%**	**0.96**	**0.96**		
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	145	145	1.00	100.0%	0.66	0.66		
LTG_NR_LCE_MCE	**LCE**	**Total**	**145**	**145**	**1.00**	**100.0%**	**0.66**	**0.66**		
LTG_NR_LCE_MCE		*Statewide*	*175*	*175*	*1.00*	*100.0%*	*0.69*	*0.69*		
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	6,123	6,123	1.00	100.0%	0.65	0.65		
LTG_STREETLIGHT	**SCE**	**Total**	**6,123**	**6,123**	**1.00**	**100.0%**	**0.65**	**0.65**		
LTG_STREETLIGHT		*Statewide*	*6,123*	*6,123*	*1.00*	*100.0%*	*0.65*	*0.65*		

Gross First Year Savings (MW)

Report Name	PA	Standard Report Group	Ex-Ante Gross	Ex-Post Gross	GRR	% Ex-Ante Gross Pass Through	Eval GRR
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	0.1	0.2	1.56	0.0%	1.56
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	0.6	1.0	1.56	0.0%	1.56
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0.0	0.0			
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0.0	0.0			
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**0.8**	**1.2**	**1.55**	**2.2%**	**1.56**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	0.4	0.5	1.19	0.0%	1.19
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	0.3	0.3	1.07	0.0%	1.07
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	0.1	0.1	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	0.6	0.8	1.38	0.0%	1.38
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0.0	0.0			
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**1.4**	**1.7**	**1.24**	**5.4%**	**1.25**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0.1	0.1	1.07	0.0%	1.07
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	0.9	1.1	1.23	0.0%	1.23
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	1.2	2.1	1.77	0.0%	1.77
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**2.2**	**3.4**	**1.51**	**3.1%**	**1.53**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*4.4*	*6.3*	*1.43*	*3.7%*	*1.45*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_LCE_MCE	**MCE**	**Total**	**0.0**	**0.0**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	0.0	0.0	1.00	100.0%	
LTG_NR_LCE_MCE	**LCE**	**Total**	**0.0**	**0.0**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE		*Statewide*	*0.0*	*0.0*	*1.00*	*100.0%*	
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0.0	0.0			
LTG_STREETLIGHT	**SCE**	**Total**	**0.0**	**0.0**			
LTG_STREETLIGHT		*Statewide*	*0.0*	*0.0*			

Net First Year Savings (MW)

Report Name	PA	Standard Report Group	Ex-Ante Net	Ex-Post Net	NRR	% Ex-Ante Net Pass Through	Ex-Ante NTG	Ex-Post NTG	Eval Ex-Ante NTG	Eval Ex-Post NTG
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	0.1	0.1	0.66	0.0%	0.96	0.41	0.96	0.41
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	0.6	0.7	1.06	0.0%	0.96	0.65	0.96	0.65
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.85	0.85		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0.0	0.0						
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0.0	0.0						
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**0.7**	**0.7**	**1.00**	**1.9%**	**0.96**	**0.62**	**0.96**	**0.62**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	0.4	0.4	0.91	0.0%	0.96	0.74	0.96	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	0.2	0.2	0.70	0.0%	0.96	0.63	0.96	0.63
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.86	0.86		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.65	0.65		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	0.4	0.6	1.54	0.0%	0.66	0.74	0.66	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0.0	0.0						
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**1.1**	**1.2**	**1.09**	**5.3%**	**0.82**	**0.72**	**0.82**	**0.72**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0.0	0.0	0.75	0.0%	0.94	0.66	0.94	0.66
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.68	0.68		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	0.6	0.8	1.30	0.0%	0.68	0.72	0.68	0.72
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	0.8	1.4	1.73	0.0%	0.68	0.66	0.68	0.66
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**1.5**	**2.3**	**1.50**	**3.5%**	**0.69**	**0.68**	**0.68**	**0.68**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*3.4*	*4.3*	*1.26*	*3.7%*	*0.78*	*0.68*	*0.78*	*0.68*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.96	0.96		
LTG_NR_LCE_MCE	**MCE**	**Total**	**0.0**	**0.0**	**1.00**	**100.0%**	**0.96**	**0.96**		
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	0.0	0.0	1.00	100.0%	0.66	0.66		
LTG_NR_LCE_MCE	**LCE**	**Total**	**0.0**	**0.0**	**1.00**	**100.0%**	**0.66**	**0.66**		
LTG_NR_LCE_MCE		*Statewide*	*0.0*	*0.0*	*1.00*	*100.0%*	*0.69*	*0.69*		
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0.0	0.0						
LTG_STREETLIGHT	**SCE**	**Total**	**0.0**	**0.0**						
LTG_STREETLIGHT		*Statewide*	*0.0*	*0.0*						

Gross First Year Savings (MTherms)

Report Name	PA	Standard Report Group	Ex-Ante Gross	Ex-Post Gross	GRR	% Ex-Ante Gross Pass Through	Eval GRR
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	-4	-7	1.70	0.0%	1.70
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	-24	-40	1.70	0.0%	1.70
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	-1	-1	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0	0			
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**-29**	**-48**	**1.67**	**3.5%**	**1.70**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	-9	-12	1.33	0.0%	1.33
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	-5	-6	1.12	0.0%	1.12
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	-2	-2	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0	0			
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	-24	-34	1.40	0.0%	1.40
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0			
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**-40**	**-53**	**1.32**	**5.5%**	**1.34**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	-1	-1	1.12	0.0%	1.12
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	0	0	1.00	100.0%	
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0	0			
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	-18	-24	1.32	0.0%	1.32
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	-9	-18	1.95	0.0%	1.95
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**-29**	**-43**	**1.51**	**1.6%**	**1.52**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*-98*	*-145*	*1.48*	*3.7%*	*1.50*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	0	0	1.00	100.0%	
LTG_NR_LCE_MCE	**MCE**	**Total**	**0**	**0**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	-1	-1	1.00	100.0%	
LTG_NR_LCE_MCE	**LCE**	**Total**	**-1**	**-1**	**1.00**	**100.0%**	
LTG_NR_LCE_MCE		*Statewide*	*-2*	*-2*	*1.00*	*100.0%*	
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0	0			
LTG_STREETLIGHT	**SCE**	**Total**	**0**	**0**			
LTG_STREETLIGHT		*Statewide*	*0*	*0*			

Net First Year Savings (MTherms)

Report Name	PA	Standard Report Group	Ex-Ante Net	Ex-Post Net	NRR	% Ex-Ante Net Pass Through	Ex-Ante NTG	Ex-Post NTG	Eval Ex-Ante NTG	Eval Ex-Post NTG
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	-4	-3	0.72	0.0%	0.96	0.41	0.96	0.41
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	-23	-26	1.15	0.0%	0.96	0.65	0.96	0.65
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	-1	-1	1.00	100.0%	0.84	0.84		
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	0	0						
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**PGE**	**Total**	**-28**	**-30**	**1.08**	**3.1%**	**0.96**	**0.62**	**0.96**	**0.61**
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	-8	-9	1.02	0.0%	0.96	0.74	0.96	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	-5	-4	0.73	0.0%	0.96	0.63	0.96	0.63
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	-2	-2	1.00	100.0%	0.87	0.87		
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	0	0						
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	-16	-25	1.56	0.0%	0.66	0.74	0.66	0.74
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	0	0						
LTG_NR_DOWN_MID_STREAM	**SCE**	**Total**	**-31**	**-39**	**1.25**	**6.1%**	**0.78**	**0.73**	**0.77**	**0.73**
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	-1	-1	0.78	0.0%	0.94	0.66	0.94	0.66
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	0	0	1.00	100.0%	0.96	0.96		
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	0	0						
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	-13	-17	1.38	0.0%	0.69	0.72	0.69	0.72
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	-6	-12	1.90	0.0%	0.68	0.66	0.68	0.66
LTG_NR_DOWN_MID_STREAM	**SDGE**	**Total**	**-20**	**-30**	**1.50**	**2.2%**	**0.70**	**0.70**	**0.70**	**0.69**
LTG_NR_DOWN_MID_STREAM		*Statewide*	*-79*	*-99*	*1.26*	*4.1%*	*0.81*	*0.68*	*0.80*	*0.68*
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	0	0	1.00	100.0%	0.96	0.96		
LTG_NR_LCE_MCE	**MCE**	**Total**	**0**	**0**	**1.00**	**100.0%**	**0.96**	**0.96**		
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	-1	-1	1.00	100.0%	0.65	0.65		
LTG_NR_LCE_MCE	**LCE**	**Total**	**-1**	**-1**	**1.00**	**100.0%**	**0.65**	**0.65**		
LTG_NR_LCE_MCE		*Statewide*	*-1*	*-1*	*1.00*	*100.0%*	*0.72*	*0.72*		
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	0	0						
LTG_STREETLIGHT	**SCE**	**Total**	**0**	**0**						
LTG_STREETLIGHT		*Statewide*	*0*	*0*						

APPENDIX AB:

STANDARDIZED PER UNIT SAVINGS

Per Unit (Quantity) Gross Energy Savings (kWh)

Report Name	PA	Standard Report Group	Pass Through	% ER Ex-Ante	% ER Ex-Post	Average EUL (yr)	Ex-Post Lifecycle	Ex-Post First Year	Ex-Post Annualized
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	0	0.0%	0.0%	12.0	1,178.7	154.9	98.2
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	0	0.0%	0.0%	11.7	1,902.6	258.1	163.7
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	1	0.0%		11.4	79.8	8.4	8.4
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	1	0.0%		12.0	1,996.6	166.4	166.4
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	0	0.0%	0.0%	11.7	1,497.3	140.3	129.6
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	0	0.0%	0.0%	12.8	144.9	11.8	11.7
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.9	244.6	49.7	16.6
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	1	71.1%		15.2	109.5	18.0	7.2
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	1	100.0%		15.0	160.6	32.1	10.7
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	1	100.0%		12.0	922.7	230.7	76.9
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0	0.0%	0.0%	16.0	132.3	8.3	8.3
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	0	100.0%	100.0%	15.0	199.0	40.0	13.4
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.6	220.9	45.4	15.1
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	1	0.0%		15.3	363.7	28.2	28.2
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	1	100.0%		14.8	158.5	32.1	10.7
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	1	0.0%		12.0	1,272.0	106.0	106.0
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	1	100.0%		14.8	133.8	26.9	9.0

Per Unit (Quantity) Gross Energy Savings (Therms)

Report Name	PA	Standard Report Group	Pass Through	% ER Ex-Ante	% ER Ex-Post	Average EUL (yr)	Ex-Post Lifecycle	Ex-Post First Year	Ex-Post Annualized
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	0	0.0%	0.0%	12.0	-7.3	-1.0	-0.6
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	0	0.0%	0.0%	11.7	-10.2	-1.4	-0.9
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	1	0.0%		11.4	-0.8	-0.1	-0.1
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	1	0.0%		12.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	0	0.0%	0.0%	11.7	-4.4	-0.4	-0.4
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	0	0.0%	0.0%	12.8	-0.4	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.9	-1.7	-0.3	-0.1
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	1	71.1%		15.2	-0.5	-0.1	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	1	100.0%		15.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	1	100.0%		12.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0	0.0%	0.0%	16.0	-0.4	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	0	100.0%	100.0%	15.0	-0.6	-0.1	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.6	-0.3	-0.1	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	1	0.0%		15.3	-1.1	-0.1	-0.1
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	1	100.0%		14.8	0.0	0.0	0.0
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	1	0.0%		12.0	-14.0	-1.2	-1.2
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	1	100.0%		14.8	-0.7	-0.1	0.0

Per Unit (Quantity) Net Energy Savings (kWh)

Report Name	PA	Standard Report Group	Pass Through	% ER Ex-Ante	% ER Ex-Post	Average EUL (yr)	Ex-Post Lifecycle	Ex-Post First Year	Ex-Post Annualized
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	0	0.0%	0.0%	12.0	479.6	63.0	40.0
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	0	0.0%	0.0%	11.7	1,236.6	167.8	106.4
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	1	0.0%		11.4	70.3	7.0	7.0
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	1	0.0%		12.0	1,909.4	159.1	159.1
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	0	0.0%	0.0%	11.7	1,105.4	103.6	95.7
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	0	0.0%	0.0%	12.8	90.9	7.4	7.3
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.9	180.6	36.7	12.2
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	1	71.1%		15.2	97.2	15.7	6.4
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	1	100.0%		15.0	104.4	20.9	7.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	1	100.0%		12.0	599.8	149.9	50.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0	0.0%	0.0%	16.0	87.1	5.5	5.4
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	0	100.0%	100.0%	15.0	143.6	28.9	9.6
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.6	145.4	29.9	10.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	1	0.0%		15.3	348.3	27.0	27.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	1	100.0%		14.8	108.6	22.0	7.3
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	1	0.0%		12.0	1,221.1	101.8	101.8
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	1	100.0%		14.8	87.7	17.7	5.9

Per Unit (Quantity) Net Energy Savings (Therms)

Report Name	PA	Standard Report Group	Pass Through	% ER Ex-Ante	% ER Ex-Post	Average EUL (yr)	Ex-Post Lifecycle	Ex-Post First Year	Ex-Post Annualized
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_SW	0	0.0%	0.0%	12.0	-3.0	-0.4	-0.2
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_FIXTURE_DOWN_TP	0	0.0%	0.0%	11.7	-6.6	-0.9	-0.6
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_OTHER_PASSTHROUGH	1	0.0%		11.4	-0.7	-0.1	-0.1
LTG_NR_DOWN_MID_STREAM	PGE	PGE_LED_PARKING_PASSTHROUGH	1	0.0%		12.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	PGE	PGE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_FIXTURE_MIDSTREAM	0	0.0%	0.0%	11.7	-3.2	-0.3	-0.3
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_KILOLUMEN_DOWN_SW	0	0.0%	0.0%	12.8	-0.3	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.9	-1.2	-0.3	-0.1
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_OTHER_PASSTHROUGH	1	71.1%		15.2	-0.4	-0.1	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_LED_PARKING_PASSTHROUGH	1	100.0%		15.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SCE	SCE_NO_SAVINGS	1	0.0%		16.0	0.0	0.0	0.0
LTG_STREETLIGHT	SCE	SCE_PASSTHROUGH	1	100.0%		12.0	0.0	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_KILOLUMEN_MIDSTREAM	0	0.0%	0.0%	16.0	-0.3	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_DOWN_DI	0	100.0%	100.0%	15.0	-0.4	-0.1	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_TLED_MIDSTREAM	0	100.0%	100.0%	14.6	-0.2	0.0	0.0
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_OTHER_PASSTHROUGH	1	0.0%		15.3	-1.1	-0.1	-0.1
LTG_NR_DOWN_MID_STREAM	SDGE	SDGE_LED_PARKING_PASSTHROUGH	1	100.0%		14.8	0.0	0.0	0.0
LTG_NR_LCE_MCE	MCE	MCE_PASSTHROUGH	1	0.0%		12.0	-13.5	-1.1	-1.1
LTG_NR_LCE_MCE	LCE	LCE_PASSTHROUGH	1	100.0%		14.8	-0.5	-0.1	0.0

APPENDIX AC:

RESPONSE TO RECOMMENDATIONS

EM&V Impact Study Recommendations

Study Title: Final Impact Evaluation Nonresidential ESPI Deemed Lighting Impact Evaluation

Study Manager: CPUC

ID		Section	Conclusion	Recommendation	Disposition (Accepted, Rejected, or Other)	Disposition Notes (e.g. Description of specific program change or Reason for rejection or Under further review)
1	CPUC	5	Overall, we found higher operating hours – especially within specific sectors like retail establishments – than the PAs claimed. Higher evaluated operating hours lead to more significant annual energy savings. Our evaluation team found HOU claims and associated energy/demand savings used a building type designation that do not correspond to the actual activity level within a facility. For example, out of 146 sites surveyed, 29 sites (retail establishments, hospitals, lodging, manufacturing facilities, and offices) operate 24-hours a day and had much greater reported HOU than claimed.	The ex ante/DEER team should consider utilizing the monitoring data, along with the business hour and self-reported operating schedules collected as part of this evaluation, to support the development of updated operating hour estimates for LED Fixtures and TLEDs. Furthermore, the ex ante/DEER team should consider having businesses that operate 24 hours a day be a unique case, and claimed operating hours should be updated to reflect higher activity within these facilities.		
2	CPUC	5	As a result of the increased hours of operation, the life of the measure decreases, in terms of years. The more the lighting system is used, the sooner it is likely to fail or need to be replaced. This leads to less lifecycle energy savings, sometimes cancelling out the benefit of the increase in annual operating hours.	Future evaluations should continue to monitor the age and condition of existing fixtures like fluorescent technologies. LED tube lamps replace the fluorescent tube lamps, but the existing fixture remains. Understanding the age and condition of that existing fixture would provide more information regarding how long the whole fixture will last before it requires replacement.		

ID		Section	Conclusion	Recommendation	Disposition (Accepted, Rejected, or Other)	Disposition Notes (e.g. Description of specific program change or Reason for rejection or Under further review)
3	CPUC, eTRM	5	The workpapers indicate that measure life should be capped at 12 years for fixtures and 5 years for tubes. The PAs generally followed this guideline, with one exception: SCE and SDG&E capped measure life at 16 years for the fixtures where the quantity installed is the amount of light generated by the lighting system (in lumens.) The 16-year value reflects a version of the workpapers that was in effect before 2020, but is consistent with current eTRM tables.	It is important that eTRM ensure consistency between wording in the Workpapers and the eTRM tables that are intended for use by the PAs. Program goals planning and cost effectiveness analysis are virtually impossible when the measure life "of record" is ambiguous.		
4	PG&E, SCE, SDG&E	6	Although, we found that the programs were fairly influential in the customers' decision to install indoor LEDs, the ex post NTGRs for Fixtures and Kilolumens were significantly less than the ex ante value typically used for these measures.	The ex ante NTGR for LED Fixtures should be reassessed as it is significantly higher than the ex post results. Potentially, the ex ante NTGR for LED tubes, or a number in that range, may be a more appropriate value to use as it was much in line with ex post results.		

ID		Section	Conclusion	Recommendation	Disposition (Accepted, Rejected, or Other)	Disposition Notes (e.g. Description of specific program change or Reason for rejection or Under further review)
5	PG&E, SCE, SDG&E	5,6	The quality of contact information for midstream program participating customers was drastically improved over prior evaluations. Although some participant contact information provided by the IOUs corresponded to distributors or contractors, rather than to the participants, the large majority of customer contact information was reliable. In previous evaluations, we found that some programs provided no customer contact information, or little reliable data.	With the transition to 3P programs that include a Midstream delivery approach, it is important that the PA's continue to reliably collect both customer and distributor contact information to support the evaluation process. The Midstream NTG framework generally calls for values that are based on a combination of customer and distributor survey results.		
6	SCE	5	The evaluation team found evidence of one SCE program incorrectly reporting the unit basis of claimed savings for measures rebated by the total lumens installed, rather than the total number of fixtures or lamps installed.	PAs should carefully review claims data for projects rebated with a unit basis of kilolumens, to confirm that the unit basis is correct, and that the claimed units installed represent the total kilolumens installed rather than the total fixtures installed.		
7	PG&E, SCE, SDG&E	5	While researching and summarizing the DEER HOU, CDF and IE parameters that contribute to the claimed UES values, we confirmed that each PA uses its own system to populate ex ante UES values.	Workbook calculations and supporting documents should identify the exact combination of building type/location that is best suited for mass installations such as those found in the midstream channel.		

APPENDIX A:

UPDATES TO NTG FRAMEWORK

This Appendix describes updates that the evaluation team made to the Nonresidential Net-to-Gross (NTG) framework for downstream programs during for the 2018 evaluation cycle. Evaluators have used this framework with minor modifications since the 2006-2008 evaluation cycle. Team members from both the Group A and Group D evaluation teams coordinated to develop changes that the evaluation team incorporated into the Small Commercial and Lighting evaluations that resulted in an alternative to the PAI-1 score. The evaluation team used these changes for the Program Year 2019 and 2020 (PY19 and PY20) evaluations for the Small Commercial and Nonresidential Lighting evaluations.

Over the last several evaluation cycles, Net-to-Gross (NTG) analysis for Nonresidential programs has used a Self-Report Approach (SRA) that is based on the results of self-report telephone surveys with program participants. The Nonresidential Working Group originally developed the existing Nonresidential Net-to-Gross (NTG) framework during the 2006-2008 evaluation cycle and updated it modestly during the 2010-2012 cycle. They designed the approach to fully comply with the California Energy Efficiency Evaluation: Protocols: Technical, Methodological, and Reporting Requirements for Evaluation Professionals[1] (Protocols) and the Guidelines for Estimating Net-To-Gross Ratios Using the Self-Report Approaches (Guidelines), as demonstrated in the Nonresidential NTGR Methods (Appendix D-1 to the full WO033 Custom Final Report).

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[1] The TecMarket Works Team. California Energy Efficiency Evaluation Protocols: Technical, Methodological, and Reporting Requirements for Evaluation Professionals. Directed by the CPUC's Energy Division, and with guidance from Joint Staff, April 2006.

A-1 STANDARDIZED NONRESIDENTIAL NTG ALGORITHM IMPROVEMENTS

A-1-1 Previous Algorithm and Rationale

The standardized Nonresidential NTG framework incorporates a 0 to 10 scoring system for key questions used to estimate the NTGR. It consists of a 3-score structure, with each score representing a different way of characterizing program influence:

➢ **Program attribution index 1 (PAI–1)** score that reflects the influence of the most important of various program and non-program-related elements in the customer's decision to select the specific program measure at the time they did. Program influence through vendor recommendations is also incorporated in this score.

➢ **Program attribution index 2 (PAI–2)** score that captures the perceived importance of the program (whether rebate, recommendation, training, or other program intervention) relative to non-program factors in the decision to implement the specific measure that was eventually adopted or installed. This score is determined by asking respondents to assign importance values to both the program and most important non-program influences so that the two total 10. The program influence score is reduced in half if respondents say they had already made their decision to install the specific program qualifying measure before they learned about the program.

➢ **Program attribution index 3 (PAI–3)** score that captures the likelihood of various actions the customer might have taken at the time they did, and in the future, if the program had not been available (the counterfactual).

The resulting self-reported NTGR in most cases is simply the average of the PAI-1, PAI-2, and PAI-3 values, divided by 10. The one exception to this is when the respondent indicates a 10 in 10 probability of installing the same equipment at the same time in the absence of the program, in which case the NTGR is based on the average of the PAI-2, and PAI-3 values only. The reasoning is that the customer has responded with absolute certainty that the program did not influence their decision making through their responses to PAI-3, whereas responses to the PAI-1 score typically indicate some level of program influence despite efforts to check and resolve the consistency of their responses.

The rationale for using three separate scores (triangulation [2]), rather than relying on a single metric, is as follows. The objective of the NTGR analysis is to determine the fraction of the gross savings that occurred because of the program. One minus this score is interpreted as freeridership. Some questions are designed to measure the counterfactual by asking the participant several questions about what they would have done in the absence of the program. Other questions attempt to get at the direct influence of the rebate and other forms of assistance on the decision to install efficient equipment. As part of this set of questions, the respondent is prompted to consider other possible non-program influences that might have played a role in the decision. Still other questions attempt to establish the chronology of when the participant first heard about the program and their decision to install the efficient equipment. These three different types of questions are trying to measure three slightly different things with some being more difficult than others for the respondent to assess. For example, it is easier for the respondent to recall whether they found out about the availability of the rebate before or after they decided to buy the efficient equipment than it is to imagine what they would have done in the absence of the program or assess the influence of the rebate. Nevertheless, all three types of questions provide information about the influence of the program that decision makers should find both meaningful and useful.

One of the problems inherent in asking program participants if they would have installed the same equipment or adopted the same energy-saving practices without the program is that we are asking them to recall what has happened in the past. Worse than that is the fact that what we are really asking them, among other things, is report on a hypothetical situation, what they would have done in the absence of the program. In many cases, the respondent may simply not know and/or cannot know what would have happened in the absence of the program. Even if the customer has some idea of what would have happened, there is, of necessity, uncertainty about it. The situation just described is a circumstance ripe for invalid answers (low construct validity) and answers with low reliability, where reliability is defined as the likelihood that a respondent will give the same answer to the same question whenever or wherever it is asked. It is well known in the interview literature that the more factual and concrete the information

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[2] Triangulation, using a variety of research methods and data sources, is a strategy adopted ideally before the data are collected and reduces the risk of systematic biases. In some cases, the decision to use triangulation is adopted after the data are collected and found robust enough to support this approach.

the survey requests, the more accurate responses are likely to be. Where we are asking for motivations and processes in hypothetical situations that occurred in the past, there is room for bias. Using a framework that combines scores based on three different concepts mutes the impact of such bias and increases the accuracy of the resulting NTGR for each project evaluated.

A-1-2 Changes Since the 2006-2008 Evaluation Cycle and Next Steps

The **PAI- 1** score has evolved since the original specification in 2008. The 2008 version called for the score to be based on the highest rating for a program element. Since most decisionmakers would choose to rate at least one program element highly, this often resulted in a PAI-1 score that was significantly higher than either the PAI-2 or PAI-3 scores, and in some cases, led to the elimination of PAI-1 due to it being an outlier. The score was revised in the 2010-2012 cycle to be based on the highest rating for a program influence divided by the sum of the highest-rating for a program influences plus the highest rating for a non-program influence, multiplied by 10. This revised normalized structure solved the problem with outlier results but led to a different issue due to the normalization process yielding mid-range values approximating 5 in nearly all cases, since most decisionmakers give a high score to at least one program element and one non-program element. This issue was flagged in the 2013-2015 Program Performance Assessment of the Nonresidential Downstream Programs, with a recommendation that PAI-1 be eliminated from the NTGR calculation until an alternative formulation could be developed.

The 2017 evaluation of Deemed measures continued use of this standard SRA framework with relatively minor modifications to NTG survey question batteries. Based on the 2013-2015 Program Performance Assessment recommendation, the PAI-1 score was eliminated from the NTG ratio computation. *The Nonresidential NTG Working Group was re-established, in part, to identify an alternative to the current PAI-1 scoring structure.*

A-2 ALTERNATIVE TO CURRENT PAI-1 SCORING STRUCTURE

A-2-1 Issues with Current PAI-1 Score

As discussed previously, a number of issues with the PAI-1 score have emerged in previous evaluations. The observations below are specific to the 2017 Deemed evaluations where these problems resulted in a decision to exclude the PAI-1 score from the NTGR calculation.

The inclusion of the PAI-1 score biased the NTGR towards a value of 0.5. The PAI-1 score tended to converge to a value of around 5. Overall, the PAI-1 score averaged 4.9, with over 80% of the individual scores within 0.5 of that mean (i.e., between 4.4 and 5.4). This was likely due to respondents rating at least one program and one non-program factor very high. Respondents gave a 9 or 10 rating to at least one program factor 72% of the time, and at least one non-program factor 80% of the time. Furthermore, 66% of the time, the respondent's highest rated program and non-program factors were rated equally. Averaging in the PAI-1 score with PAI-2 and PAI-3 will therefore reduce the NTGR.

PAI-1 scores did not appear to be correlated with "no program" responses indicating free ridership. When PAI-1 scores were compared to other survey questions that would indicate a high likelihood for free ridership, they did not correlate well to these metrics. Specifically, we examined the relationship between PAI-1 and two survey questions that we felt were strong indications of free ridership:

N2: Did your organization make the decision to install this new equipment before, after, or at the same time as you became aware of the program rebate?

N6: Now I would like you to think one last time about what action you would have taken if the program had not been available. Which of the following alternatives would you have been MOST likely to do?

1   Install/Delamped fewer units

2   Install standard efficiency equipment or whatever required by code

3   Installed equipment more efficient than code but less efficient than what you installed through the program

4	Done nothing (keep existing equipment as is)
5	Done the same thing I would have done as I did through the program
6	Repair/rewind or overhaul the existing equipment
77	Something else (specify what _____)

The first question (N2) concerns the timing of the decision to install the measure relative to when they became aware of program rebates. For this question, higher levels of free ridership would be expected for those that already made the decision to install their new equipment before they became aware of the program rebate, and PAI-1 scores would be substantially lower for this response than the other two responses. Our expectation was to see significant increases in the PAI scores for the Same Time and After responses, compared to the Before response. This was the case for PAI-2 and PAI-3 scores, however, the PAI-1 scores changed by only 0.08 points.

Another telling indication of program influence is the self-reported action that participants say they would have taken had the program not existed in question N6. Respondents were asked what they would have been most likely to do if the program had not been available. Two common responses were "done nothing and keep existing equipment as is", and "done the same thing I would have done as I did through the program". One would expect relatively high PAI scores for the "done nothing" and relatively low PAI scores for the "done the same thing" responses. The PAI-2 and PAI-3 scores did meet this expectation, but the PAI-1 score differed by only 0.10 points.

Non-program factors may actually be program factors. What we may think is a non-program factor, may actually be a marketing message of the program. For example, better lighting quality may be considered a non-program factor. However, this may be something the program promotes. Therefore, it may be that the influence of better lighting quality on their decision may have been due to the program.

Similarity in concept between PAI-1 and PAI-2 scores. The PAI-1 and PAI-2 scores are based on a similar concept of program influence and are based on self-reported influence scores for individual program and non-program elements. While both scores are intended to represent different ways of characterizing program influence, there is a high degree of similarity between them. Including both

scores in the NTGR calculation amounts to assigning a two-thirds weight to similar program influence metrics and reduces the importance of the PAI-3 "no program" score in the overall calculation. It is possible that PAI-1 may represent another aspect of program influence that PAI-2 may not be capturing, but quantifying this is difficult to do, and it could be equally likely that instead they are capturing the same influence, accounting for double attribution of program influence. Additionally, removing PAI-1 will give a more consistent representation of program influence across respondents.

A-2-2 Alternatives to the PAI-1 Score

We examined a few different alternatives to the PAI_1 score and then calculated the resulting NTGR using each alternative by averaging it with the PAI_2 and PAI_3 scores. The alternatives we considered were as follows:

NTGR_2a – PAI-1 alternative 1 = ratio of average program element score to sum of average program plus non-program element scores. Average all the program element scores and divide by the average of all the program element scores plus the average of the non-program element scores. For example:

Program scores = 10, 8, 7, 6, 6 = average of 7.4

Nonprogram = 9, 9, 4, 4, 4 = average of 6.0

PAI_1 = 7.4/ (7.4+6.0) = 0.55

NTGR_2b – PAI-1 alternative 2 = Ratio of number of highly rated program factors to highly rated non-program factors

Identify the number of scores that rate an 8 or higher and set the PAI score equal to the ratio of the number of high program scores to high program and non-program scores. For example:

Program scores = 10, 8, 7, 6, 6 = 3 high scores

Nonprogram = 9, 9, 4, 4, 4 = 2 high scores

PAI_1 = 3/ (3+2) = 0.6

If you get no high scores, then NTG =0.5

<u>NTGR_2c</u> – PAI-1 alternative 3 = Assign value based on No Program actions (N6). This Approach uses the N6 value and assigns a PAI score as follows.

➢ If N6 = 2,4 then NTGR = 1

    ➢ 2   Install standard efficiency equipment or whatever required by code

    ➢ 4   Done nothing (keep existing equipment as is)

➢ If N6=5 then NTGR = 0

    ➢ 5   Done the same thing I would have done as I did through the program

➢ If N6=1, then NTGR = 1.00 minus the % share they would have installed

    ➢ 1   Install/Delamped fewer units

➢ If N6=3, then NTGR =0.75

    ➢ 3   Installed equipment more efficient than code but less efficient than what you installed through the program

➢ IF N6=6, NTGR=missing – this is an Accelerated Replacement and the efficiency of the action is unknown, therefore this response is excluded from the analysis

    ➢ 6   Repair/rewind or overhaul the existing equipment

➢ If N6=77, the response is reviewed and a judgment made regarding the likely NTGR level, usually a 0, 0.5 or 1

    ➢ 77 Something else (specify what _____)

The overall NTGR_2c is the average of PAI-2, PAI-3, and PAI-N6.

Figure A-1 below shares results from the 2017 Deemed evaluations for question N6. The response category with the largest share is category 5 (Done the same thing I would have done as I did through the program, 45%). Other categories that were commonly selected were 2 (Install standard efficiency

equipment or whatever required by code, 34%), 4 (Done nothing, 19% and 6 (Repair/rewind or overhaul the existing equipment, 19%).

Figure A-1: Distribution of Responses to Question N6 in Small Commercial Evaluation
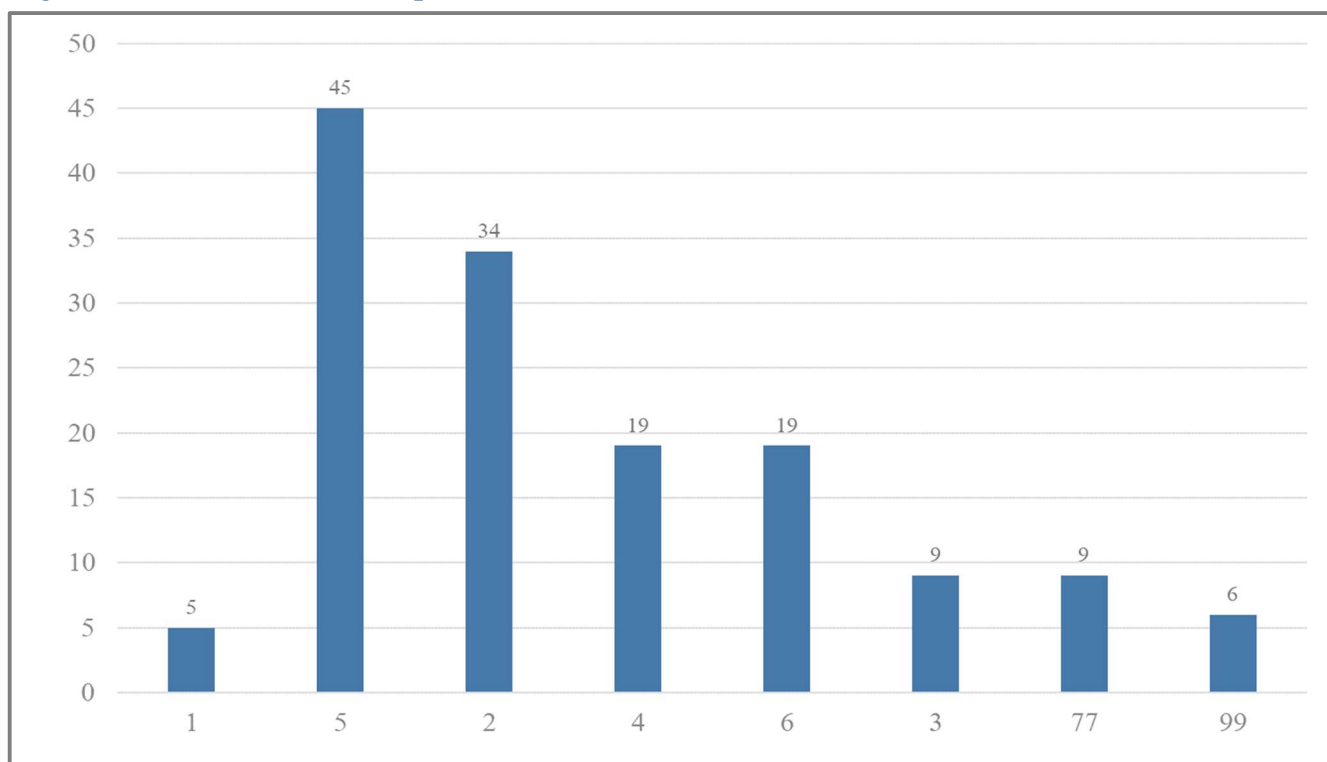
NTGR_2d – PAI-1 alternative 4 = Preponderance of Evidence approach. If there is significant evidence of free ridership, the value is set to 0, if there is significant evidence of program influence, the value is set to 1, or else the PAI-1 alternative algorithm of choice is used to determine the NTGR. Here is the algorithm.

First calculate PAI_2 and PAI_3 and use question N6 shown earlier:

If PAI_2 >= 7 then NTG_2 = 1

Else if PAI_2 <= 3 then NTG_2 = -1

Else NTG_2 = 0

If PAI_3 >= 7 then NTG_3 = 1

Else if PAI_3<= 3 then NTG_3 = -1

Else NTG_3 = 0

IF N6 = 2, 4 (and possibly more options) then NTG_6 = 1

Else if N6 = 5 (and possibly more options) then NTG_6 = -1

Else NTG_6 = 0

THEN:

If sum of NTG2,3,6 >=2, then NTGR = 1 (so in other words you have at least 2 indicators of being net, and no contradictions)

Else, if sum of NTG2,3,6 <= -2, then NTGR = 0, (so in other words you have at least 2 indicators of being a free rider, and no contradictions)

ELSE = NTGR = the standard calculation (the average of PAI2, PAI3 and the PAI-1 alternative algorithm of choice)

A-2-3 Comparison of Results Across Methods

The following two figures graphically illustrate the NTGR results across methods, based on the data collected in the 2017 Deemed evaluations.

Figure A-2 illustrates the distribution of NTGR values for each of the methods tested. Note that NTGR is based on the approach used in the 2017 Deemed evaluation and represents the average of the PAI-2 and PAI-3 scores. NTGR_wPAI1 is the historic 3 score framework, and NTGR_2a through NTGR_2d are the variants described above.

Figure A-2: Distribution of NTGRs Across Alternative Methods
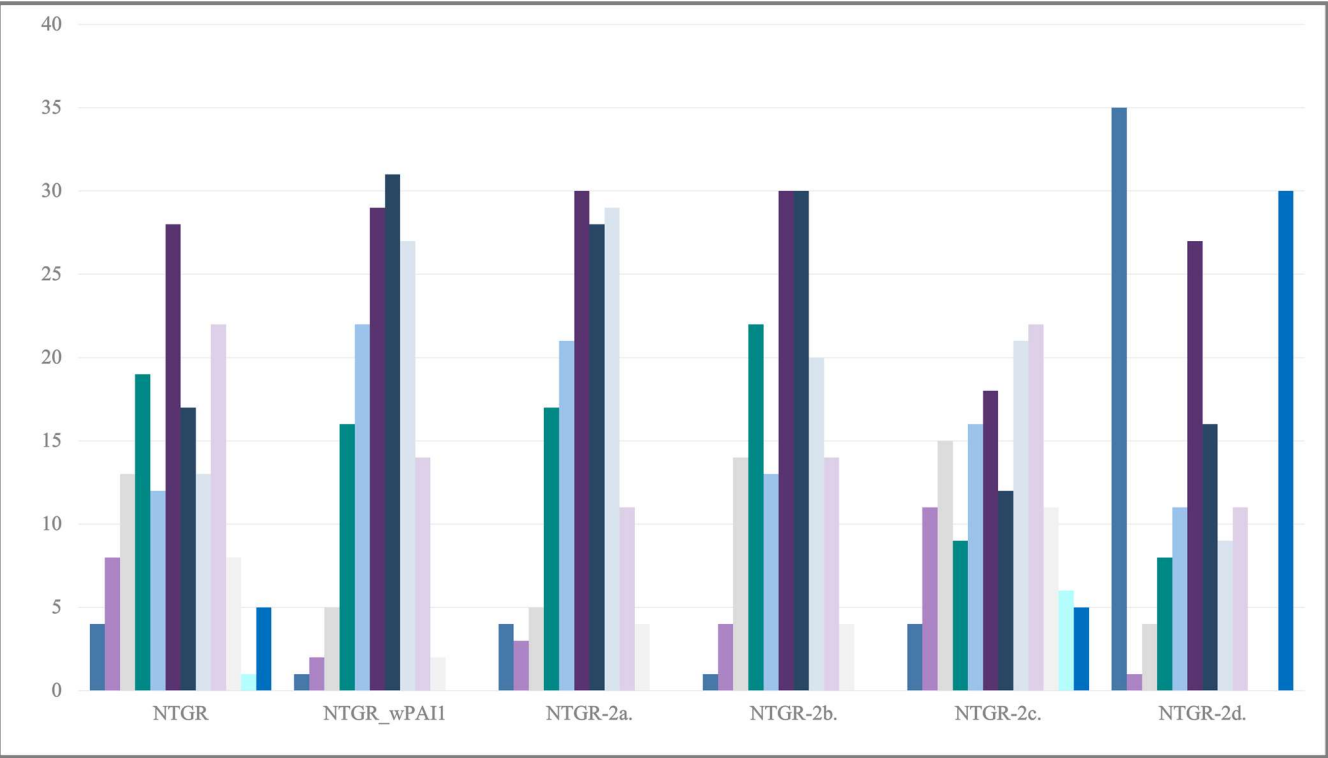
Figure A-3 below provides mean NTGR values and 90% confidence intervals across all six cases. The whiskers indicate the range of values analyzed.

Figure A-3: NTGR Mean Values and Confidence Intervals Across Alternative Methods
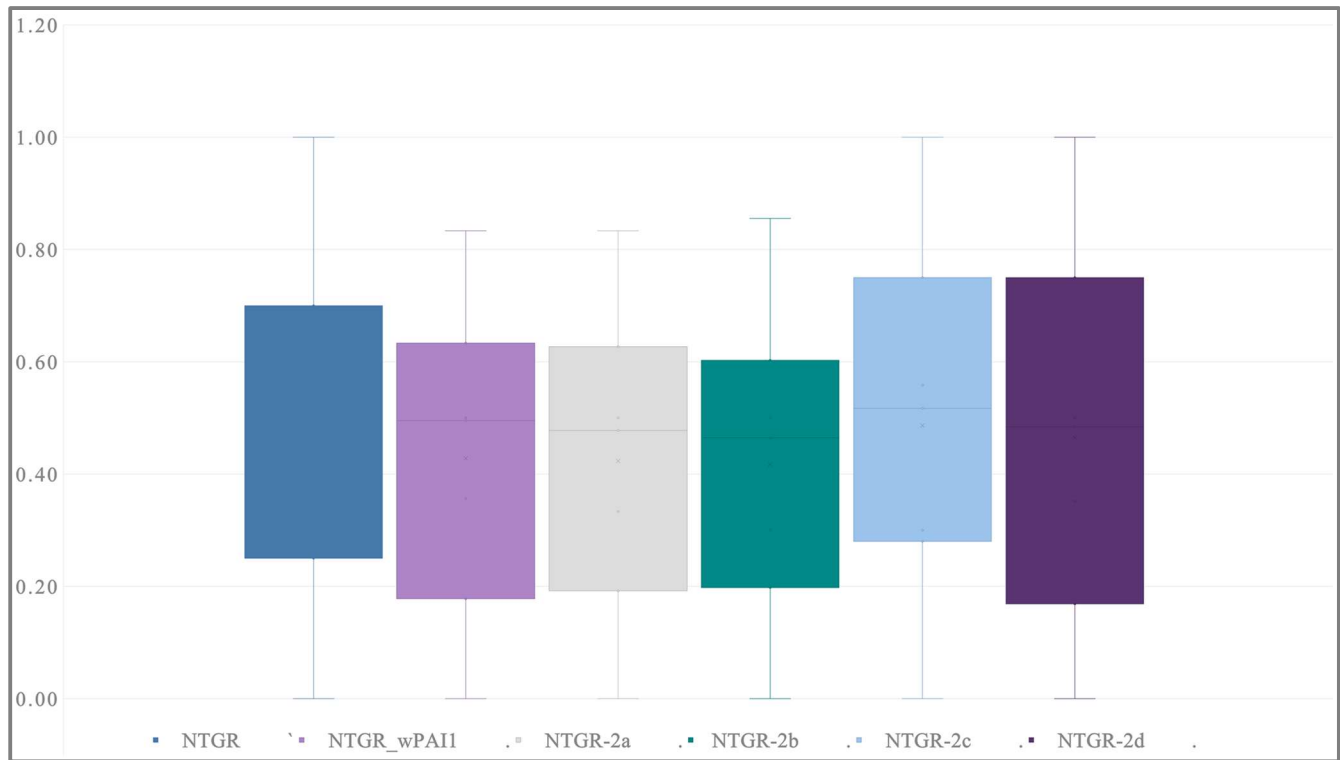
The following observations can be made from these two figures:

➢ From Figure A-2:

➢ NTGR_wPAI1 – note the clustering of NTGRs around the mid-range values of 0.4 to 0.7. This illustrates the issue with the PAI_1. In contrast, the NTGR case, which is based on PAI-2 and PAI-3 only, has a wider distribution of values.

➢ NTGR_2a and NTGR_2b are still relatively narrowly distributed around the 0.5 value, while NTGR_2c and NTGR_2d show much wider variance. Similarly, NTGR_2a and NTGR_2b have relatively narrow standard deviations, while those for NTGR_2c and NTGR_2d are significantly wider.

➢ NTGR_2c values are well-distributed and more homogeneous while NTGR_2d values tend toward the extreme 0 and 1 values in many instances.

➢ In Figure A-3, it is striking how relatively similar the mean NTGR values are, and likely reflects the contribution of the PAI-2 and PAI-3 scores (2/3 weight) in all cases.

A-2-4 Method Change

The core NTGR algorithm has been revised and the current PAI-1 score has been replaced with the N6-based score in NTGR_2c – PAI-1 alternative 3. This option leverages the counterfactual information from the survey more fully, with 2 of three scores derived from it. Further, as noted above, the NTGR_2c values have desirable qualities in that they are more normally distributed across each of the scoring intervals and have higher inter-item correlations.

The three PAI scores using the NTGR_2c approach all represent very different approaches and uses of survey information, whereas the other approaches still have the issue of the revised PAI-1 and PAI-2 scores utilizing similar information. We also feel there are some issues with the other alternate PAI_1 scores such as:

<u>NTGR_2a</u> – PAI-1 alternative 1 = ratio of average program element score to sum of average program plus non-program element scores. Consider the following example where an individual was highly influenced by a couple program factors, not at all influenced by the other program factors, and only moderately influenced by the non-program factors

   Program scores = 10, 10, 0, 0, 0 = average of 4

   Non-program scores = 4, 4, 4, 4, 4 = average of 4

   PAI_1 = 4/(4+4) = 0.5

One could argue that the NTGR in this case should be very high because there was clear influence of the program by more than one factor, and no other factor seemed to be very influential. Yet the NTGR is 0.5, inconsistent with this observation. We do not like this alternative because of this issue, where low factor scores can offset high influential factors.   A customer does not need all factors to be influential for the program to have influenced their decision.

<u>NTGR_2b</u> – PAI-1 alternative 2 = Ratio of number of highly rated program factors to highly rated non-program factors. This alternative tells us if there were multiple factors that influenced their decision, and how many influential program versus non program factors there are. But it does not tell us which

of the influential factors were the most influential, and what may have really driven their decision. Even though a customer may rate two factors a 10 does not mean they were equally influential. The PAI-2 score does address this, however. So, the PAI-2 score on its own is a more accurate representation of attribution than this approach.

NTGR_2d – PAI-1 alternative 4 = Preponderance of Evidence approach. If there is significant evidence of free ridership, the value is set to 0, if there is significant evidence of program influence, the value is set to 1, or else the PAI-1 alternative algorithm of choice is used to determine the NTGR. The issue with this approach is that is uses PAI-2 and PAI-3 in its construction, so it's obviously highly correlated with those values and does not provide as independent a result as, say, using the N6 questions in NTGR_2c.

Given the replacement of PAI-1, for projects that report a high level of vendor influence, it is necessary to incorporate vendor influence into one of the other scores. One option is to include it in PAI-3, and another alternative is to develop a fourth score that reflects vendor influence only.

APPENDIX B:

PARTICIPANT PHONE SURVEY

Participant Survey
PY2020 Nonresidential Deemed Lighting Evaluation

INTRODUCTION AND FINDING CORRECT RESPONDENT

OUTCOME1

This is %n calling on behalf of the CPUC, from PACIFIC MARKET RESEARCH. THIS IS NOT A SALES CALL NOR A SERVICE CALL. May I please speak with ...<%CONTACT> ...<%OLDCONTACT> ... <%BUSINESS> ... the person at your organization that is most knowledgeable about your participation in <%UTILITY>'s <%PROGRAM> program.
!___[IF NEEDED]...This is a fact-finding survey only, authorized by the California Public Utilities Commission.

XX	BEGIN THE INTERVIEW	Continue
101	NO ANSWER	Record response and attempt again at a later time
102	BUSY	Record response and attempt again at a later time
111	CHANGED NUMBER	Record new number and attempt again
107	ANSWERING MACHINE / VOICE MAIL	Record response and attempt again at a later time
104	CALLBACK-Specific	Record response and schedule time to callback
105	CALLBACK-General	Record response and get best time to callback
5	NON-WORKING NUMBER	Record response and resolve record
6	NON-BUSINESS NUMBER	Record response and T&T
14	OTHER PHONE PROBLEM / FAX / MODEM	Record response and resolve record
12	REFUSAL	Record response and T&T
19	ASKED TO BE PLACED ON DNC LIST	Record response and T&T
15	LANGUAGE/HEARING PROBLEM	Record response and T&T
10	CLAIMS TO HAVE BEEN PREVIOUSLY INTERVIEWED	Record response and T&T
94	MAXIMUM CALL ATTEMPTS	Record response and resolve record
900	DUPLICATE PHONE NUMBER	DO NOT LOAD - RESOLVE RECORD
901	ON PMR DNC LIST	DO NOT LOAD - RESOLVE RECORD
999	INVALID PHONE NUMBER	DO NOT LOAD - RESOLVE RECORD
Thank & Terminate PBLOCK NO_ONE	Thank you for your time. For this study, we need to speak to someone about your organization's installation of energy efficient equipment that your organization installed through <%UTILITY>'s <%PROGRAM> program.	END

[IF YOU ARE TRANSFERRED TO ANOTHER PERSON OTHER THAN THE BEST CONTACT]

Q1B   Who would be the person most familiar about your organization's participation in <%UTILITY>'S <%PROGRAM> program? [ENTER NEW CONTACT NAME AND MOVE ON]

[IF NEEDED] This is not a sales call.

[IF NEEDED] This is a fact-finding survey only, and responses will not be connected with your firm in any way. The California Public Utilities Commission wants to better understand how businesses think about and manage their energy consumption.

77	There is no one here who can help you	T&T
02	CALL BACK TO REACH PR0PER PARTY	Record response and get best time to callback
1	Continue Q1B until you find appropriate contact person, record as &NEW CONTACT NAME	Intro3:s

Intro3:S

[IF BEST CONTACT IS AVAILABLE]
Hello, my name is _____%n_____ and I am calling on behalf of the California Public Utilities Commission from PACIFIC MARKET RESEARCH. THIS IS NOT A SALES CALL. We are interested in speaking with the person most knowledgeable about your organization's participation in ... <%UTILITY>'s <%PROGRAM> program during 2020...I was told that would be you.
...Your organization participated in <%UTILITY>'s <%PROGRAM> by installing lighting equipment in 2020.

Through this program, your organization installed....
 <%CUSTOM_MEASURE> on <CUST_INSTALL_DATE>...<CUST_PAID_DATE>...
<%UNITS_1> ... <%MEASURE_1> on <MEASURE_1_DATE>
 <%UNITS_2> ... <%MEASURE_2> on <MEASURE_2_DATE>
 <%UNITS_3> ... <%MEASURE_3> on <MEASURE_3_DATE>
Are you the best person to speak to about your organization's participation in this program?

        [If you need to provide validation for this survey, provide the following contact name and number: Ali Choukeir, California Public Utilities Commission 916-894-5727/ ali.choukeir@cpuc.ca.gov and the following website: www.cpuc.ca.gov/eevalidation]

1	Yes	DISPLAY
2	No, there is someone else	PBLOCK Hi
3	No and I don't know who to refer you to	Thank&Terminate
5	Property management company handles this	PMNAME
99	Don't know/refused	Thank&Terminate

PMNAME   May I have the name and contact information of your property management company?

1	Yes – RECORD	Record Response and T&T
88	Refused	Thank&Terminate
99	Don't Know	Thank&Terminate

PBLOCK Hi Who would be the person at this location who is most knowledgeable about this facility's energy using equipment? [Enter New Contact Name and move on.]

77	Record Name, as &CONTACT	May_I
88	Refused	Thank&Terminate
99	Don't know	Thank&Terminate

May_I May I speak with him/her?

77	Yes	Intro3:s
88	No (not available right now@, set cb)	Get best time to callback

DISPLAY Before we start, I would like to inform you that for quality control purposes, this call may be monitored by my supervisor.

Today we're conducting a very important study on the energy needs and perceptions of organizations like yours. We are interested in how organizations like yours think about and manage their energy consumption.

Your input will allow the California Public Utilities Commission to build and maintain better energy savings programs for customers like you. And we would like to remind you, your responses will not be connected with your organization in any way. For more information about opting out and how we use and secure your information, see our Privacy Policy at https://pac01.us?PP.

SCREENER

VERIFY For verification purposes only, may I please have your name?

77	Get name	Scrn_Addr
88	Refused	Scrn_Addr
99	Don't know	Scrn_Addr

DISPLAY For the sake of expediency, I will refer to ....<%UTILITY>'s <%PROGRAM> ...program as the PROGRAM.

Scrn_Addr First, I'd like to ask you a few questions about your organization and facility. Our records show your organization is located at %ADDRESS in %CITY. Is that correct?

[CONTINUE IF ADDRESS REPORTED BY RESPONDENT IS SIMILAR ENOUGH]

1	Yes	Bus_Name
2	No	CORRECT
88	Refused	COMMENT
99	Don't Know	COMMENT

COMMENT We were attempting to reach <%UTILITY>'s customer at <%ADDRESS> and since you cannot confirm this address, those are all the questions that we have for you today, on behalf of the California Public Utilities Commission, thank you for your time.

CORRECT May I have your correct address?

%CORRECT	Corrected Address	COMPARE

COMPARE Are these addresses similar or totally different?
Computer Address - %ADDRESS
Corrected Address - &CORRECT

1	Similar	Bus_Name
2	Totally Different	COMMENT2

COMMENT2	We were attempting to reach the <%UTILITY> customer at <%ADDRESS> in <%CITY> and since that does not match your address, then we must have mis-dialed the telephone number. Those are all the questions that we have for you today, on behalf of the California Public Utilities Commission. Thank you for your time and cooperation.	Thank and Terminate

BUS_NAME Our records show your organization's name as: <%BUSINESS> <%CONTACT> <%OLDCONTACT>. Is that correct?

1	Yes	INCENT
2	No	Bus_Correct
88	Refused	COMMENT
99	Don't Know	COMMENT

BUS_CORRECT What is the correct name for your organization?

&BUS_CORRECT	Corrected Business	INCENT

INCENT What percentage of the cost of your rebated equipment was covered by the program?

77	RECORD RESPONSE	A1gg
101	REFUSED	FM050
102	DON'T KNOW	A1gg

A1gg What incentive amount did your organization receive from the program towards your energy efficient equipment installation?

77	RECORD VERBATIM	FM050
88	Refused	FM050
99999	Don't know	FM050

FM050 What is the main business ACTIVITY at this facility? [DO NOT READ] (SINGLE RESPONSE)

1	Offices (non-medical)	V1
2	Restaurant/Food Service	V1
3	Food Store (grocery/liquor/convenience)	V1
4	Agricultural (farms, greenhouses)	V1
5	Retail Stores	V1
6	Warehouse	V1
7	Health Care	V1
8	Education	V1
9	Lodging (hotel/rooms)	V1
10	Public Assembly (church, fitness, theatre, library, museum, convention)	V1
11	Services (hair, nail, massage, spa, gas, repair)	V1
12	Industrial (food processing plant, manufacturing)	V1
13	Laundry (Coin Operated, Commercial Laundry Facility, Dry Cleaner)	V1
14	Condo Assoc./Apartment Mgr (Garden Style, Mobile Home Park, High-rise, Townhouse)	V1
15	Public Service (fire/police/postal/military)	V1
77	OPEN\Record Other Service Shop	V1
88	Refused	V1
99	Don't know	V1

ROLE OF CONTRACTORS

V1 Did you use a contractor/vendor to install any of the energy efficient measures that were purchased through the program?

1	Yes	V2
2	No	AP9
88	Refused	AP9
99	Don't Know	AP9

If V1 = 1 then ask; else skip to AP9

V2   How did you come into contact with the contractor/vendor?

1	They contacted you	V2b
2	You contacted them	V3
3	You had worked with them before	V2a
77	OTHER - Record	V3
88	Refused	V3
99	Don't Know	V3

Ask if V2 = 3; else skip to V2b

V2a   In relation to this project, did the vendor/contractor approach you about your energy efficient equipment retrofit/installation?

1	Yes	V2ab
2	No	V3
88	Refused	V3
99	Don't Know	V3

Ask if V2a=1 **else skip to V2b**

V2ab	Did the VENDOR recommend purchasing high efficiency equipment instead of standard efficiency	
1	Yes	V2b
2	No	V2b
88	Refused	V2b
99	Don't Know	V2b

Ask if V2 = 1 or V2a = 1; else skip to V3

V2b   On a scale of 0 - 10, with 0 being NOT AT ALL LIKELY and 10 is VERY LIKELY, how likely is it that your organization would have installed this new equipment had the contractor/vendor not contacted you?

1	0-10 response	V3
88	Refused	V3
99	Don't Know	V3

V3   Did the contractor/vendor tell you about or recommend the program?

1	Yes	V3a
2	No	AP9
88	Refused	AP9
99	Don't Know	AP9

V3a.   Did you install what your VENDOR recommended?

1	Yes	V4
2	No	V4
88	Refused	V4
99	Don't Know	V4

Ask if V3 = 1; else skip to AP9

V4 Prior to coming into contact with the contractor/vendor, did your organization have plans to replace/install this equipment?

1	Yes	V4a
2	No	V4a
88	Refused	V4a
99	Don't Know	V4a

V4a Using the same scale of 0 - 10 as before, how likely is it that your organization would have installed the new energy efficient equipment had the contractor/vendor not recommended it?

1	0-10 response	V4b
88	Refused	V4b
99	Don't Know	V4b

V4b Using the same scale, how likely is it that your organization would have installed the energy efficient equipment with the same level of efficiency if the contractor/vendor had not recommended to do so?

1	0-10 response	V40
88	Refused	V40
99	Don't Know	V40

V40 On a scale of 0 - 10, with 0 being not at all important and 10 being very important, how important was the input from the contractor you worked with in deciding which specific equipment to install?

1	0-10 response	AP9
88	Refused	AP9
99	Don't Know	AP9

PROGRAM AWARENESS

Next, I'd like to ask you about various energy efficiency programs and what influenced your program participation.

How did you FIRST learn about <%UTILITY>'s program? [DO NOT READ ANSWERS](SINGLE RESPONSE)

AP9		
1	Bill insert	AP9a
2	Program literature	AP9a
3	Account representative	AP9a
4	Program approved vendor	AP9a
5	Program representative	AP9a
6	Utility or program website	AP9a
7	Trade publication	AP9a
8	Conference	AP9a
9	Newspaper article	AP9a
10	Word of mouth	AP9a
11	Previous experience with it	AP9a
12	Company used it at other locations	AP9a
13	Contractor	AP9a
14	Result of an audit	AP9a
15	Part of a larger expansion or remodeling effort	AP9a
77	Other (RECORD VERBATIM)	AP9a
88	Refused	A1b
99	Don't know	A1b

If AP9 in (1-77) then ask; else skip to [MEASURE]

How ELSE did you learn about <%UTILITY>'s program? [DO NOT READ LIST, ACCEPT
MULTIPLES]

AP9a

1	Bill insert	N33
2	Program literature	N33
3	Account representative	N33
4	Program approved vendor	N33
5	Program representative	N33
6	Utility or program website	N33
7	Trade publication	N33
8	Conference	N33
9	Newspaper article	N33
10	Word of mouth	N33
11	Previous experience with it	N33
12	Company used it at other locations	N33
13	Contractor	N33
14	Result of an audit	N33
15	Part of a larger expansion or remodeling effort	N33
66	No other sources	N33
77	Other (RECORD VERBATIM)	N33
88	Refused	N33
99	Don't know	N33

If AP9 = 3 or AP9A = 3 then ask; else skip to [MEASURE]

You mentioned that you have a Utility or Program Administrator Account Rep.
Can you give me his or her name?
!!___Do you have his/her email address?
 !___Do you have a phone number for him/her?
N33   !___Do you have a cell phone number for him/her?\,

77	RECORD NAME, Phone, Email, etc.	A3A
88	Refused	A3A
99	Don't know	A3A

PROGRAM LIGHTING EQUIPMENT

Ask if LIGHTING = 1; else skip to NEXT BATTERY

Comment	One way that organizations like yours can reduce their energy use is to install more energy efficient lighting equipment. I would like to ask you about the lighting changes you made as part of your participation in <%UTILITY>'s program.	A3[A]

ASK IF LT_QTY_x > 0; ELSE SKIP TO A3a[A-C]

According to our records, your organization installed <%LT_QTY_x> <%LT_MEAS_x> through <%UTILITY>'s program, is this correct?

A3[A-C]

		DEEMED_INS TALL_DATE_ NU
1	Yes - Quantity is Correct	
2	Yes - Installed Different Quantity	A3_QTY
3	No, did not install	DISPLAY
88	Refused	DISPLAY
99	Don't know	DISPLAY

ASK A3a[A-C] if LT_QTY_x = 0

According to our records, your organization installed <%LT_MEAS_x> through <%UTILITY>'s program, is this correct?

A3a[A-C]

1	Yes	A3_QTY
2	No, did not install	DISPLAY
88	Refused	DISPLAY
99	Don't know	DISPLAY

DISPLAY

IF A3[A-C](3 - 99), READ: "We must conduct this study with someone that knows about the installation of this measure." and ABANDON USER. Else continue with A3[A-C]_QTY

Ask if A3[A-C] = 2 or A3a[A-C] = 1

Approximately how many units of <%LT_MEAS_x> were installed under the %PROGRAM program? An estimate is ok.

A3[A-C]_QTY

		DEEMED_INS TALL_DATE_ NU
77	Record #	
8888	Refused	A3_OTH
9999	Don't know	A3_OTH

IF A3_QTY IN (88, 99)

A3[A-C]_OTH Would you say that the number of <%LT_MEAS_x> -installed-are…

1	less than 10 units	DEEMED_INSTALL_DATE_NU
2	11 - 50 units	DEEMED_INSTALL_DATE_NU
3	50 - 100 units	DEEMED_INSTALL_DATE_NU
4	More than 100 units	DEEMED_INSTALL_DATE_NU
88	Refused	DEEMED_INSTALL_DATE_NU
99	Don't know	DEEMED_INSTALL_DATE_NU

IF ^UNRECORDED(DEEM_INSTALL_DATEx)

DEEM_INSTALL_D ATEx_NU	Our records indicate that your organization <installed> ...<%LT_MEAS_x> on <%DEEM_INSTALL_DATEx). _____ Is this correct?	
1	Yes	LI18
2	No	DEEM_INSTA LL_YEAR
88	Refused	DEEM_INSTA LL_YEAR
99	Don't know	DEEM_INSTA LL_YEAR

IF UNRECORDED(DEEM_INSTALL_DATEx) & ^UNRECORDED(DEEM_PAID_DATEx)

DISPLAY	According to our records, your organization received a rebate for the installation> of ...<%LT_MEAS_x>... on <%DEEM_PAID_DATEx>.

IF DEEM_INSTALL_DATEx_NU in (2,88,99) | (UNRECORDED(DEEM_INSTALL_DATEx) & ^UNRECORDED(DEEM_PAID_DATEx))

DEEM_INSTALL_Y EARx	In what year did you install <%LT_MEAS_x>? (PROBE FOR BEST GUESS)	
1	2019	DEEM_INSTA LL_MONTHx
2	2020	DEEM_INSTA LL_MONTHx
88	Refused	LI18
99	Don't know	LI18

IF DEEM_INSTALL_YEARx in (1-3)

DEEM_INSTALL_M
ONTHx   And what month? {If they can not recall month, try to get the season.}

1	January	LI18
2	February	LI18
3	March	LI18
4	April	LI18
5	May	LI18
6	June	LI18
7	July	LI18
8	August	LI18
9	September	LI18
10	October	LI18
11	November	LI18
12	December	LI18
13	Fall	LI18
14	Winter	LI18
15	Spring	LI18
16	Summer	LI18
88	Refused	LI18
99	Don't know	LI18

If A3[A-C] is 1 or 2;
Ask only if CFLx = 1 and (LT_QTY_x > 1 | A3[A-C]_QTY > 1); else skip to LI181[A-C]
Of the CFLs you received through the program, what percentage do you estimate were placed into
LI18[A-C]   storage for later use?

77	Open Record	LI181
101	Refused	LI181
102	Don't know	LI181

Ask only if LEDx = 1 and (LT_QTY_x > 1 | A3[A-C]_QTY > 1); else skip to LI182[A-C]
Of the LEDs you received through the program, what percentage do you estimate were placed into
LI181[A-C]   storage for later use?

77	Open Record	LI182
101	Refused	LI182
102	Don't know	LI182

ASK ONLY IF LEDRLx = 1 and (LT_QTY_x > 1 | A3[A-C]_QTY > 1); else skip to LI183[A-C]

LI182[A-C]

Of the LED Reflector Lamps you received through the program, what percentage do you estimate were placed into storage for later use?

77	Open Record	LI183
101	Refused	LI183
102	Don't know	LI183

ASK ONLY IF LEDOUTx = 1 and (LT_QTY_x > 1 | A3[A-C]_QTY > 1); else skip to LI184[A-C]

LI183[A-C]

Of the LED Outdoor lighting you received through the program, what percentage do you estimate were placed into storage for later use?

77	Open Record	LI184
101	Refused	LI184
102	Don't know	LI184

ASK ONLY IF LEDINTx = 1 and (LT_QTY_x > 1 | A3[A-C]_QTY > 1); else skip to LI185[A-C]

LI184[A-C]

Of the LED fixtures/lamps you received through the program, what percentage do you estimate were placed into storage for later use?

77	Open Record	LI185
101	Refused	LI185
102	Don't know	LI185

ASK ONLY IF LEDDOWNx = 1 and (LT_QTY_x > 1 | A3[A-C]_QTY > 1); else skip to LI19[A-C]

LI185[A-C]

Of the LED Downlighting you received through the program, what percentage do you estimate were placed into storage for later use?

77	Open Record	LI19
101	Refused	LI19
102	Don't know	LI19

IF C5 <> 1 and (LT_QTY_x >1 | A3[A-C]_QTY > 1) ASK LI19[A-C]; else skip to LI190[A-C]

LI19[A-C]

Were any of the program provided <%LT_MEAS_x> installed at another facility? If so, what percentage would you estimate?

77	Yes, #record percentage	LI190
101	Refused	LI190
102	Don't know	LI190

ASK ONLY IF LEDOUTx = 1

LI190[A-C] Where did you install the LED outdoor lighting that you received through the program? (ACCEPT MULTIPLE RESPONSES)

1	Parking lots	LI191
2	Garages	LI191
3	Walkways	LI191
4	Patios/Outdoor seating areas	LI191
5	Outside door	LI191
77	Other	LI191
88	Refused	LI191
99	Don't know	LI191

ASK ONLY IF LEDINTx = 1

LI191[A-C] Where did you install the LED fixtures/lamps that you received through the program? (ACCEPT MULTIPLE RESPONSES)

1	Open office	LI191a
2	Private office	LI191a
3	Hallway	LI191a
4	Lobby	LI191a
5	Stairwell	LI191a
6	Kitchen/Break area	LI191a
7	Restrooms	LI191a
8	Dining	LI191a
9	Retail space	LI191a
10	Conference room	LI191a
11	Warehouse	LI191a
12	Storage	LI191a
13	Outdoor	LI191a
14	Guest rooms	LI191a
15	Gymnasium	LI191a
77	Other	LI191a
88	Refused	LI191a
99	Don't know	LI191a

ASK ONLY IF LEDINTx = 1
ASK ONLY FOR RESPONSE CATEGORIES SELECTED IN QUESTION LI191[A-C]
IF ONLY ONE RESPONSE, THEN SET THAT RESPONSE TO 100%
If LI191[A-C] only equaled 88 or 99, then SKIP to LI191c

What percentage of the LED lamps/fixtures were installed in each of these areas? (TOTAL SHOULD SUM TO 100%)

LI191a[A-C]		
1	Open office	LI191c
2	Private office	LI191c
3	Hallway	LI191c
4	Lobby	LI191c
5	Stairwell	LI191c
6	Kitchen/Break area	LI191c
7	Restrooms	LI191c
8	Dining	LI191c
9	Retail space	LI191c
10	Conference room	LI191c
11	Warehouse	LI191c
12	Storage	LI191c
13	Outdoor	LI191c
14	Guest rooms	LI191c
15	Gymnasium	LI191c
77	Other	LI191c
888	Refused	LI191b
999	Don't know	LI191b

If LI191a[A-C] = 88 or 99 then Ask, else Skip to LI191c

LI191b[A-C] Where was the primary area where you installed the LED fixtures/lamps that you received through the program? (ACCEPT ONLY ONE RESPONSE)

1	Open office	LI191c
2	Private office	LI191c
3	Hallway	LI191c
4	Lobby	LI191c
5	Stairwell	LI191c
6	Kitchen/Break area	LI191c
7	Restrooms	LI191c
8	Dining	LI191c
9	Retail space	LI191c
10	Conference room	LI191c
11	Warehouse	LI191c
12	Storage	LI191c
13	Outdoor	LI191c
14	Guest rooms	LI191c
15	Gymnasium	LI191c
77	Other	LI191c
88	Refused	LI191c
99	Don't know	LI191c

LI191c[A-C] Of the LED fixtures/lamps you received through the program, are any of the lights being controlled by occupancy sensors, dimming or daylighting controls, or other types of controls? [If Yes, probe for which type; accept multiples]

1	No controls (i.e., manual on-off switches)	LI192
2	Occupancy Sensors	LI191d
3	Dimming Controls	LI191d
4	Daylighting Controls	LI191d
5	Energy Management System	LI191d
6	Dynamic lighting systems that vary energy input based on control settings	LI191d
77	Other specify	LI191d
88	Refused	LI192
99	Don't know	LI192

ASK ONLY FOR RESPONSE CATEGORIES SELECTED IN QUESTION LI191[A-C]
If LI191[A-C] only equaled 88 or 99, then SKIP to LI192
Else, IF ONLY ONE RESPONSE and LI191c[A-C] in (2,3,4,5,6,77), THEN SET THAT RESPONSE
TO 1, and skip to LI192

LI191d[A-C]   Of the areas you mentioned above where the lighting was installed, which of these areas were controlled.

1	Open office	LI192
2	Private office	LI192
3	Hallway	LI192
4	Lobby	LI192
5	Stairwell	LI192
6	Kitchen/Break area	LI192
7	Restrooms	LI192
8	Dining	LI192
9	Retail space	LI192
10	Conference room	LI192
11	Warehouse	LI192
12	Storage	LI192
13	Outdoor	LI192
14	Guest rooms	LI192
15	Gymnasium	LI192
77	Other	LI192
88	Refused	LI192
99	Don't know	LI192

ASK ONLY IF LEDDOWNx = 1

LI192[A-C] Where did you install the LED downlighting that you received through the program? (ACCEPT MULTIPLE RESPONSES)

1	Open office	LI20
2	Private office	LI20
3	Hallway	LI20
4	Lobby	LI20
5	Stairwell	LI20
6	Kitchen/Break area	LI20
7	Restrooms	LI20
8	Dining	LI20
9	Retail space	LI20
10	Conference room	LI20
11	Warehouse	LI20
12	Storage	LI20
13	Outdoor	LI20
14	Guest rooms	LI20
77	Other	LI20
88	Refused	LI20
99	Don't know	LI20

	What type of lighting was removed and replaced when you installed <%LT_MEAS_x> through the	
LI20[A-C]	program? [MULTIPLE RESPONSE]	
1	High performance T8 (1" diameter bulbs)	LI22
2	T8 fluorescent fixtures (1" diameter bulbs)	LI22
3	T10 fluorescent fixtures	LI22
4	T12 Fixtures (1.5" diameter bulbs)	LI22
5	Compact HID (High Density Discharge) Fixtures	LI21
6	Screw-in Modular CFLs	LI22
7	Hardwire CFL Fixtures	LI22
8	Incandescent	LI22
9	CFL Exit Signs	LI22
10	LED Exit Signs	LI22
11	Halogen bulbs	LI22
12	Reflectors	LI22
13	Electronic Ballast	LI22
14	Magnetic Ballast	LI22
15	Manual Switches	LI22
16	Lighting Controls, Time Clock	LI22
17	Lighting Controls, Occupancy Sensor	LI22
18	Lighting Controls, Bypass/Delay Timers	LI22
19	Lighting Controls, Photocell	LI22
20	Other Fluorescent	LI22
21	Fat/Thick Tubes	LI22
22	Skinny/Thin Tubes	LI22
23	T5 Fixtures (5/8" diameter)	LI22
24	Screw-in LEDs	LI22
25	Screw-in LEDs Reflector Lamps	LI22
26	LED Fixtures or Panels (e.g., replacement for linear fixtures)	LI22
66	DID NOT REMOVE ANYTHING-ADDITIONAL EQUIP ONLY	NTGCHECK1
77	Other (PLEASE SPECIFY)	LI22

ASK IF LI20[A-C] = 5; else skip to LI22[A-C]

	Were the HID lamps you removed High Pressure Sodium, Metal Halide, Mercury Vapor or	
LI21[A-C]	Incandescent?	
1	High pressure sodium	LI22
2	Metal Halide	LI22
3	Mercury Vapor	LI22
4	Incandescent	LI22
88	Refused	LI22
99	Don't know	LI22

If LI20[A-C]^= 66 then ask; else skip to end of DEEMED Loop

LI22[A-C] Approximately how old was the equipment that were removed and replaced? Would you say…

1	Less than 5 years old	LI23
2	Between 5 and 10 years old	LI23
3	Between 10 and 15 years old	LI23
4	More than 15 years old	LI23
88	Refused	LI23
99	Don't know	LI23

LI23[A-C] How would you describe the removed equipment's condition? Would you say they were in…

1	Poor condition	LI24
2	Fair condition	LI24
3	Good condition	LI24
88	Refused	LI24
99	Don't know	LI24

ASK IF LT_QTY_x > 1 | A3[A-C]_QTY > 1

LI24[A-C] Approximately what percentage of the lighting equipment that was removed and replaced was broken or not working prior to installing <%LT_MEAS_x>?

%	Percent	LI30
101	Refused	LI30
102	Don't know	LI30

ASK IF LIGHTING=1

LI30 Considering all of the lighting changes we just discussed, approximately what percentage of the facility's lighting was affected by those changes?

%	Percent	HB1
101	Refused	HB1
102	Don't know	HB1

HIGH BAY

If LEDINTx = 1 ; else skip to DEL5

HB1 Thinking about all of the types of LED fixtures/lamps that were installed through the program, what is the highest height, in feet, above the area they light? [IN FEET] [PROBE FOR HEIGHT - 13 FEET OR HIGHER IS CONSIDERED HB AND WILL TRIGGER FOLLOW-UP QUESTIONS]

1	Record number of feet	HB2
88	Refused	HB2
99	Don't know	HB2

IF HB1 < 13 then ask; else skip to HB3

Just to double check, was any of the LED lighting installed through the program at a height of 13 or more feet above the area it is meant to light? This would qualify as HIGH BAY lighting.

HB2

1	Yes	HB3
2	No	DEL5
88	Refused	DEL5
99	Don't know	DEL5

ASKI IF (HB1 >> 12 & HB1 <> 88 & HB1 <> 99) | HB2(1)

HB3   What is the main kind of LED Fixture located at this height?

1	Linear LED (T-LED)	DEL5
2	Integrated LED Troffers	DEL5
3	Round LED High Bay (similar shape to an HID fixture)	DEL5
4	Panel LED	DEL5
77	OPEN\RECORD OTHER	DEL5
88	Refused	DEL5
99	Don't know	DEL5

DEL5   Is the amount of lighting better, worse, or the same than before your LED retrofit?

1	Better	DEL11
2	Worse	NEXT SECTION (NTG BATTERY)
3	Same	NEXT SECTION (NTG BATTERY)
88	Refused	DEL11
99	Don't know	DEL11

If DEL5 in (1, 88, 99) then ask; else skip to NTG BATTERY

Did you install additional lighting equipment to increase the amount of lighting in the LED retrofitted area(s)?

DEL11

1	Yes	NEXT SECTION (NTG BATTERY)
2	No	
88	Refused	
99	Don't know	

	NET TO GROSS BATTERY	
DISPLAY	For the sake of expediency, during this next battery we will be referring to the ..... program as THE PROGRAM and we will be referring to the installation of ...<%NTGMEASURE>... as THE MEASURE.	

IF MULTIPLE = 1, THEN ASK. ELSE AA3

Our records show that your organization installed more than one MEASURE through the <%UTILITY>'s <%PROGRAM> Program. They are … <%QTY_1> <%MEASURE1>, <%QTY_2> <%MEASURE2>, <%QTY_3> <%MEASURE3>. Was there a single decision making process for the installation of this equipment, or was there a separate decision making process for each type of equipment?

A1b.

1	Single decision making process	AA3
2	Separate decision making process for each type of equipment	AA3
88	Refused	AA3
99	Don't know	AA3

There are usually a number of reasons why an organization like yours decides to participate in energy efficiency programs like this one. In your own words, can you tell me why you decided to participate in this program?

AA3

1	To replace old or outdated equipment	AA3a
2	As part of a planned remodeling, build-out, or expansion	N2
3	To gain more control over how the equipment was used	N2
4	Maintenance downtime/associated expenses for old equipment were too high	AA3a
5	Had process problems and were seeking a solution	N2
6	To improve equipment performance	N2
7	To improve production as a result of the change in equipment	N2
8	To comply with codes set by regulatory agencies	N2
9	To improve visibility/plant safety	N2
10	To comply with company policies regarding regular equipment retrofits or remodeling	AA3a
11	To get a rebate from the program	N2
12	To protect the environment	N2
13	To reduce energy costs	N2
14	To reduce energy use/power outages	N2
15	To update to the latest technology	N2
16	To improve the comfort level of the facility	N2
77	RECORD VERBATIM	N2
88	Don't know	N2
99	Refused	N2

IF AA3=1, 4 or 10 THEN ASK. ELSE N2

AA3a   Had the equipment that you replaced reached the end of its useful life?

1	Yes	N2
2	No	N2
88	Refused	N2
99	Don't know	N2

N2   Did your organization make the decision to install this new equipment before after, or at the same time as you became aware of that rebates [IF NEEDED: to reduce the cost of the measure] were available through the PROGRAM?

1	Before	N3a
2	After	N3a
3	Same time	N3a
88	Refused	N3a
99	Don't know	N3a

DISPLAY   Next, I'm going to ask you to rate the importance of the program as well as other factors that might have influenced your decision to install this equipment. There are many equipment features that you may consider in your purchase decisions other than energy efficiency. These might include such features as the performance of the equipment or how well it fits into your space. However, in the following questions, we are interested specifically in how the program might or might not have affected your decisions about the energy efficiency of the equipment. That is, we are interested in what influenced you to choose the equipment you did rather than a less efficient version. Using a scale of 0 to 10 where 0 means not at all important and 10 means extremely important, how would you rate the importance of...

N3a   The age or condition of the old equipment

#	Record 0 to 10 score (_____)	N3aa
88	Refused	N3b
99	Don't know	N3b

IF N3a > 5 and NTG_TYPE >= 2 THEN ASK

N3aa   How, specifically, did this enter into your decision to install/delamp this equipment?

77	RECORD VERBATIM	N3b
88	Don't know	N3b
99	Refused	N3b

N3b   Availability of the PROGRAM rebate [IF NEEDED: to reduce the cost of the measure]

#	Record 0 to 10 score (_____)	N3bb
88	Refused	N3c
99	Don't know	N3c

IF N3b > 7 AND NTG_TYPE >= 2, THEN ASK

N3bb   Why do you give it this rating?

77	Record VERBATIM	N3D
88	Refused	N3D
99	Don't know	N3D

If V1 = 1 THEN ASK; ELSE SKIP TO N3e
Recommendation from an equipment vendor that sold you the equipment and/or installed it for you

N3d   [VENDOR_1]

#	Record 0 to 10 score (_____)	N3e
88	Refused	N3e
99	Don't know	N3e

N3e   Your previous experience with similar types of energy efficient projects?

#	Record 0 to 10 score (_____)	N3f
88	Refused	N3f
99	Don't know	N3f

N3f   Your previous experience with <%UTILITY>'s program or a similar utility program?

#	Record 0 to 10 score (_____)	N3g
88	Don't know	N3g
99	Refused	N3g

NTG_TYPE >= 2 THEN ASK, ELSE N3h

N3g   Information from the Program, Utility, or Program Administrator training course?

#	Record 0 to 10 score (_____)	N3gg
88	Refused	N3h
99	Don't know	N3h

IF N3g > 5, THEN ASK

N3gg   What type of information was provided during the training?

77	Record VERBATIM	N3ggg
88	Refused	N3h
99	Don't know	N3h

N3ggg   How, specifically, did this enter into your decision to install/delamp this equipment?

77	RECORD VERBATIM	N3h
88	Don't know	N3h
99	Refused	N3h

N3h   Information from the Program, Utility, or Program Administrator Marketing materials?

#	Record 0 to 10 score (_____)	N3hh
88	Refused	N3j
99	Don't know	N3j

IF N3h > 5 and NTG_TYPE >= 1, THEN ASK

N3hh   What type of information was provided that pertained to the PROJECT?

77	Record VERBATIM	N3hhh
88	Refused	N3j
99	Don't know	N3j

IF N3hh = 77, THEN ASK

N3hhh   How, specifically, did this enter into your decision to install/delamp this energy efficient equipment?

77	RECORD VERBATIM	N3j
88	Don't know	N3j
99	Refused	N3j

IF NTG_TYPE >= 1

N3j   Standard practice in your business/industry

#	Record 0 to 10 score (_____)	N3k
88	Refused	N3k
99	Don't know	N3k

If AP9 = 3 or AP9a = 3 THEN ASK; ELSE SKIP TO N3m

N3l   Endorsement or recommendation by your account rep?

#	Record 0 to 10 score (_____)	N3ll
88	Refused	N3m
99	Don't know	N3m

IF N3l > 5 & NTG_TYPE >= 2 THEN ASK

N3ll   What did they recommend?

77	Record VERBATIM	N3lll
88	Refused	N3m
99	Don't know	N3m

IF N3LL(77)

N3lll   How specifically did this enter into your decision to install this project using energy efficient equipment?

77	RECORD VERBATIM	N3m
88	Don't know	N3m
99	Refused	N3m

IF NTG_TYPE >= 1, ASK

N3m   Corporate policy or guidelines

#	Record 0 to 10 score (_____)	N3mm
88	Refused	N3n
99	Don't know	N3n

IF N3m > 5, THEN ASK

N3mm   How, specifically, did this enter into your decision to install/delamp this equipment?

77	RECORD VERBATIM	N3n
88	Don't know	N3n
99	Refused	N3n

N3n   Payback or return on investment of installing this equipment

#	Record 0 to 10 score (_____)	N3o
88	Refused	N3o
99	Don't know	N3o

N3o   Improved product quality

#	Record 0 to 10 score (_____)	N3oo
88	Refused	N3p
99	Don't know	N3p

IF N3o > 5, THEN ASK

N3oo   How, specifically, did this enter into your decision to install/delamp this equipment?

77	RECORD VERBATIM	N3p
88	Don't know	N3p
99	Refused	N3p

IF FM050 = 12 AND NTG_TYPE >= 2, THEN ASK, ELSE SKIP TO N3r

N3p   Compliance with state or federal regulations such as Title 24, air quality, OSHA, or FDA regulations

#	Record 0 to 10 score (_____)	N3pp
88	Refused	N3r
99	Don't know	N3r

IF N3p > 5, THEN ASK

N3pp   How, specifically, did this enter into your decision to upgrade to energy efficient equipment?

77	RECORD VERBATIM	N3r
88	Don't know	N3r
99	Refused	N3r

ASK IF NTG_TYPE >= 1

N3r   Compliance with your organization's normal remodeling or equipment replacement practices?

#	Record 0 to 10 score (_____)	N3rrr
88	Refused	N3s
99	Don't know	N3s

IF AA3(2|10)&N3R(6||10);

N3RRR   According to your organization's remodeling and equipment replacement policies, how often are you supposed to replace this type of equipment? [IF NEEDED: in terms of the number of years]

# yrs	Record Number of Years	N3rr
88	Refused	N3rr
99	Don't know	N3rr

IF N3r > 5, THEN ASK

N3rr   How, specifically, did this enter into your decision to install/delamp this equipment?

77	RECORD VERBATIM	N3s.
88	Don't know	N3s.
99	Refused	N3s.

N3s   Were there any other factors we haven't discussed that were influential in your decision to install/delamp this MEASURE?

1	Nothing else influential	CC1
77	Record verbatim	N3ss
88	Refused	CC1
99	Don't know	CC1

ASK IF N3s = 77

N3ss   Using the same zero to 10 scale, how would you rate the influence of this factor?

#	Record 0 to 10 score (_____)	CC1
88	Refused	CC1
99	Don't know	CC1

CONSISTENCY CHECKS ON N3p, N3q and N3r
If NTG_TYPE >=2
IF AA3 = 8, AND N3p < 4, THEN ASK
You indicated earlier that compliance with codes or regulatory policies was one of the reasons you did the project. However, just now you scored the importance of compliance with state or federal regulations or standards such as Title 24, air quality, OSHA, or FDA regulations in your decision making fairly low, why is that?

CC1

77	RECORD VERBATIM	CC1a
88	Don't know	CC1a
99	Refused	CC1a

IF AA3 ^= 8, and N3p > 7, THEN ASK
You indicated earlier that compliance with codes or regulatory policies was not one of the primary reasons you did the project. However, just now you scored the importance of compliance with state or federal regulations or standards such as Title 24,air quality, OSHA, or FDA regulations in your decision making fairly high, why is that?

CC1a

77	RECORD VERBATIM	CC3
88	Don't know	CC3
99	Refused	CC3

IF AA3 = 2 or 10, AND N3r < 4, THEN ASK

NCC3 — You indicated earlier that a regularly scheduled retrofit was one of the reasons you did the project. However, just now you scored the importance of compliance with your company's regularly scheduled retrofit or equipment replacement in your decision making fairly low, why is that?

77	RECORD VERBATIM	NCC3a
88	Don't know	NCC3a
99	Refused	NCC3a

IF AA3 ^= 2 and AA3 ^= 9 and AA3^=10 AND N3r > 7 THEN ASK

NCC3a — You indicated earlier that a regularly scheduled retrofit was NOT one of the reasons you did the project. However, just now you scored the importance of compliance with your company's regularly scheduled retrofit or equipment replacement in your decision making fairly high, why is that?

77	RECORD VERBATIM	**P1**
88	Don't know	**P1**
99	Refused	**P1**

PAYBACK BATTERY

If INCENT <> 100 AND NTG_TYPE >= 1, THEN ASK; ELSE SKIP TO P3

P1 — What financial calculations does your company typically make before proceeding with the installation of energy efficient equipment like you installed through the program?

1	Payback	P2A
2	Return on investment	P2B
77	Record VERBATIM	P3
88	Don't know	P3
99	Refused	P3

If P1 = 1 THEN ASK; ELSE SKIP TO P2B

P2A — What is your threshold in terms of the payback or return on investment your company uses before deciding to proceed with installing energy efficient equipment like you installed through the program? Is it…

1	0 to 6 months	P3
2	6 months to 1 year	P3
3	1 to 2 years	P3
4	2 to 3 years	P3
5	3 to 5 years	P3
6	Over 5 years	P3
88	Don't know	P3
99	Refused	P3

IF P1 = 2 THEN ASK

P2B What is your ROI?

1	Record ROI____;	P3

P3 Did the rebate move your energy efficient equipment project within this acceptable range?

1	Yes	P4
2	No	P3a
88	Don't know	P3a
99	Refused	P3a

If P3 = 1 THEN ASK; ELSE SKIP TO P3A

P4 On a scale of 0 to 10, with a zero meaning NOT AT ALL IMPORTANT and 10 meaning Very Important, how important in your decision was it that the project was in the acceptable range?

#	Record 0 to 10 score (_____)	P3a
88	Refused	P3a
99	Don't know	P3a

CONSISTENCY CHECKS ON N3b and P3
IF P3 = 1, AND N3b < 5, THEN ASK

P3a The rebate seemed to make the difference between meeting your financial criteria and not meeting them, but you are saying that the rebate didn't have much effect on your decision, why is that?

77	Record VERBATIM	P3e
88	Don't know	P3e
99	Refused	P3e

IF P3 = 2, AND N3b > 5, THEN ASK

P3e The rebate didn't cause the installation of energy efficient equipment to meet your company's financial criteria, but you said that the rebate had an impact on the decision to install this energy efficient equipment. Why did it have an impact?

77	Record VERBATIM	N33
88	Don't know	N33
99	Refused	N33

DISPLAY

IF N3D(8||10) | N3E(8||10) | N3F(8||10) | N3J(8||10) | N3M(8||10) | N3N(8||10) | N3O(8||10) | N3P(8||10) | N3R(8||10);

Next, with regard to your decision to implement this energy efficient MEASURE *instead of either less energy efficient or standard efficiency equipment*, I would like you to rate the importance of the PROGRAM as opposed to other Non-program factors that may have influenced your decision such as...(SCAN BELOW AND READ TO THEM THOSE FACTORS THAT INFLUENCED THEIR DECISION)

(READ ITEMS WHERE THEY GAVE A RATING OF 8 or higher)

Program-related factors

<%N3B> Availability of the PROGRAM rebate	...@[%N3B>@
<%N3G> Information from the Program, Utility, or Program Administrator training course?	...@[%N3G>@
<%N3H> Information from the Program, Utility, or Program Administrator Marketing materials?	...@[%N3H>@
<%N3L> Endorsement or recommendation by your account rep?	...@[%N3L>@

Non-Program factors

<%N3D> Equipment Vendor recommendation	...@[%N3D>@
<%N3E> Previous experience with this measure	...@[%N3E>@
<%N3F> Previous experience with this program	...@[%N3F>@
<%N3J> Standard practice in your business/industry	...@[%N3J>@
<%N3M> Corporate policy or guidelines	...@[%N3M>@
<%N3N> Payback on investment.	...@[%N3N>@
<%N3O> To improve production as a result of lighting,	...@[%N3O>@
<%N3P> Compliance with state or federal regulations or standards such as Title 24, air quality, OSHA, or FDA regulations	...@[%N3P>@
<%N3R> Compliance with normal maintenance or retrocommissioning policies or your companies regularly scheduled retrofit or lighting replacement	...@[%N3R>@

DISPLAY

If you were given 10 points to award in total, how many points would you give to the importance of the program and how many points would you give to these other non-program factors in choosing to go with energy-efficient equipment rather than a less efficient version of the equipment?

N41   How many of the ten points would you give to the importance of the PROGRAM in your decision?

#	Record 0 to 10 score (_____)	N42
88	Refused	N42
99	Don't know	N42

N42   and how many points would you give to all of these other non-program factors?

#	Record 0 to 10 score (_____)	N41P
88	Refused	N41P
99	Don't know	N41P

If N41 <> 88 and N41 <> 99 and N42 <> 88 and N42 <> 99, compute N41 + N42. While N41+N42 <> 10, display:

__We want these two sets of numbers to equal 10.

<%N41> for Program influence and

<%N42> for Non Program factors

DISPLAY

Next, I would like for you to consider the importance of the PROGRAM in your decision to install your equipment *at the time you did* rather than waiting to install new equipment sometime in the future, regardless of the actual efficiency of the equipment you selected. Please rate the importance of the program on this timing decision as opposed to other non-program factors that may have influenced your decision.

If Needed - else skip…
If you were given 10 points to award in total, how many points would you give to the importance of the program and how many points would you give to these other non-program factors in your decision to install your equipment at the time you did rather than waiting to install new equipment sometime in the future.

N41P

How many of the ten points would you give to the importance of the PROGRAM in your decision TO INSTALL YOUR EQUIPMENT AT THE TIME YOU DID?

#	Record 0 to 10 score (_____)	N42P
88	Refused	N42P
99	Don't know	N42P

N42P and how many points would you give to all of these other non-program factors?

#	Record 0 to 10 score (_____)	REPLACE
88	Refused	REPLACE
99	Don't know	REPLACE

If N41P <> 88 and N41P <> 99 and N42P <> 88 and N42P <> 99, compute N41P + N42P. While N41P+N42P <> 10, display:

__We want these two sets of numbers to equal 10.

<%N41P> for Program influence and

<%N42P> for Non Program factors

ASK ALL

REPLACE

Was the installation of this measure....<%NTGMEASURE> ...a replacement of existing equipment or was it additional equipment you installed in your facility?

1	Replace/Modification/Retrofit	DISPLAY
2	Add-on	DISPLAY
88	Refused	DISPLAY
99	Don't know	DISPLAY

DISPLAY   Now I would like you to think about the action you would have taken with regard to the installation of this equipment if the program had not been available.

IF REPLACE(1) | ~~DELAMP == 1~~

Using a likelihood scale from 0 to 10, where 0 is not at all likely and 10 is extremely likely, if THE PROGRAM had NOT BEEN AVAILABLE, what is the likelihood that you would have installed exactly the same program-qualifying energy efficient equipment that you did for this project

N5   regardless of when you would have installed it?

#	Record 0 to 10 score (_____)	N5a
88	Refused	N5B
99	Don't know	N5B

IF REPLACE(2) THEN ASK; ELSE SKIP TO N6

Using a likelihood scale from 0 to 10, where 0 is Not at all likely and 10 is Extremely likely, if THE PROGRAM had NOT BEEN AVAILABLE, what is the likelihood that you would have installed exactly the same energy efficient equipment at the same time as you did?

N5aa

#	Record 0 to 10 score (_____)	N6
88	Don't know	N6
99	Refused	N6

CONSISTENCY CHECKS

IF N3b > 7 and N5 > 7, THEN ASK

When you answered ...<%N3B> ... for the question about the influence of the rebate, I would interpret that to mean that the rebate was quite important to your decision to install. Then, when you answered ..<%N5>... for how likely you would be to install the same equipment **without** the rebate, it sounds like the rebate was not very important in your installation decision.
I want to check to see if I am misunderstanding your answers or if the questions may have been unclear. Will you explain in your own words, the role the rebate played in your decision to install

N5a   this efficient equipment?

77	Record VERBATIM	NN5aa
88	Don't know	NN5aa
99	Refused	NN5aa

Would you like for me to change your score on the importance of the rebate that you gave a rating of <%N3B> and/or change your rating on the likelihood you would install the same equipment

NN5aa   without the rebate which you gave a rating of <%N5> and/or we can change both if you wish?

1	No change	N5b
77	Record how they would rate rebate influence and how they would rate likelihood to install without the rebate	N5b
88	Don't know	N5b
99	Refused	N5b

ASK IF REPLACE(1)

	Using the same scale as before, if the program had not been available, what is the likelihood that you would have done this project at the same time as you did?	
N5b		

#	Record 0 to 10 score (_____)	N5bb
88	Refused	N5bb
99	Don't know	N5bb

If N5b < 9 THEN ASK; ELSE SKIP TO N6

N5bb   Why do you say that?

77	Record VERBATIM	N6
88	Don't know	N6
99	Refused	N6

ADDITIONAL BASELINE INPUT

	Now I would like you to think one last time about what action you would have taken if the program had not been available. Which of the following alternatives would you have been MOST likely to do?	
N6		

1	Install/Delamped fewer units	N6aa
2	Install standard efficiency equipment or whatever required by code	N6aa
3	Installed equipment more efficient than code but less efficient than what you installed through the program	N6aa
4	Done nothing (keep existing equipment as is)	N6ba
5	Done the same thing I would have done as I did through the program	N6aa
6	Repair/rewind or overhaul the existing equipment	N7
77	Something else (specify what _____)	N6ca
88	Don't know	N6ca
99	Refused	N6ca

If N6 = 1,2,3,5   ASK, ELSE N6ba

	Would you have [FILL IN RESPONSE TO N6 for N6 = 1,2, 3, 5] at the same time as you did under the program, within a year, or at a later time?	
N6aa		

1	Same time	N7
2	Within one year	N7
3	At a later time	N6ab
88	Don't know	N7
99	Refused	N7

N6ab How many years later would it have been?

77	Record VERBATIM	N7
88	Don't know	N6ac
99	Refused	N7

N6ac Would it have been….

1	Less than one year	N7
2	About a year	N7
3	A couple of years	N7
4	A few years	N7
5	More than four years	N7
88	Don't know	N7
99	Refused	N7

If N6 = 4 THEN ASK, ELSE N6ca

N6ba How long would you have waited to replace your equipment?

1	Less than one year	N7
2	About a year	N7
3	A couple of years	N7
4	A few years	N7
5	More than four years	N7
88	Don't know	N7
99	Refused	N7

IF N6=77, 88, 99 THEN ASK, ELSE N7

N6ca Would you still have replaced your equipment at the same time as you did under the program, within a year, or at a later time?

1	Same time	N7
2	Within one year	N7
3	At a later time	N6cb
88	Don't know	N7
99	Refused	N7

N6cb How many years later would it have been?

77	Record VERBATIM	N6
88	Don't know	N6cc
99	Refused	N6

N6cc   Would it have been….

1	Less than one year	N7
2	About a year	N7
3	A couple of years	N7
4	A few years	N7
5	More than four years	N7
88	Don't know	N7
99	Refused	N7

CONSISTENCY CHECK

Ask if N6 = (1, 2, 3, 4) and ((N5 > 8 and N5b > 8) OR N5aa > 8)

In an earlier response, you said that if the program had not been available, there was a very high likelihood that you would have installed exactly the same equipment as you did through the program. However, just now you have indicated that you would not have installed the same equipment as you did without the benefit of the program. Can you explain to me why there is this

N7   difference?

77	Record VERBATIM	N6a
88	Don't know	N6a
99	Refused	N6a

Ask if N6(1);

How many fewer units would you have installed/Delamped? (It is okay to take an answer such as

N6a   ...HALF...or 10 percent   fewer ... etc.)

77	RECORD VERBATIM	ER2
88	Refused	ER2
99	Refused	ER2

Ask if N6(3);

Can you tell me what model or efficiency level you were considering as an alternative? (It is okay to take an answer such as … 10 percent more efficient than code or 10 percent less efficient than the

N6b   program equipment)

77	RECORD VERBATIM	ER2
88	Don't know	ER2
99	Refused	ER2

Ask if N6(6);

N6c   How long do you think the repaired equipment would have lasted before requiring replacement?

77	RECORD VERBATIM	ER2
88	Don't know	ER2
99	Refused	ER2

EARLY REPLACEMENT BATTERY

[IF N5b < 8 and A3 = 1, 4, 8, or 10 THEN ASK. ELSE SKIP TO PP1]

DISPLAY	Earlier, when I asked you a question about why you decided to implement the project using high efficiency equipment, you gave reasons related to <A3> Now I would like to ask you some follow up questions regarding these responses you gave me.

ER2

IF REPLACE(1) AND N6c IS UNRECORDED;

ER2 — How many more years do you think your equipment would have gone before failing and required replacement?

77	___ Estimated Remaining Useful Life (in years)	ER6
88	Don't know	ER6
99	Refused	ER6

IF AA3 = 4, THEN ASK

ER6 — How much downtime did you experience in the past year?

77	_____Downtime Estimate (in weeks)	ER9
88	Don't know	ER9
99	Refused	ER9

ER9 — In your opinion, based on the economics of operating this equipment, for how many more years could you have kept this equipment functioning?

Yrs	___ Estimated Remaining Useful Life	ER15
88	Don't know	ER15
99	Refused	ER15

IF AA3 = 8, THEN ASK

ER15 — Can you briefly describe the specific code/regulatory requirements that this project addressed?

77	RECORD VERBATIM	ER19
88	Don't know	ER19
99	Refused	ER19

IF AA3 = 10, THEN ASK

ER19 — Can you briefly describe the specific company policies regarding regular/normal maintenance/replacement policy(ies) that were relevant to this project? Or briefly describe the specific company policies regarding regular equipment retrofits and remodeling?

77	RECORD VERBATIM	PP1
88	Don't know	PP1
99	Refused	PP1

PROCESS QUESTIONS - ASK ALL

PP1   What do you believe the PROGRAM'S primary strengths are?

77	Record VERBATIM	PP2
88	Don't know	PP2
99	Refused	PP2

PP2   What concerns do you have about the PROGRAM, if any? (IF NEEDED: What do you view as the primary features that need to be improved?)

77	Record VERBATIM	PP4
88	Don't know	PP4
99	Refused	PP4

PP4   On a scale of 0 - 10, where 0 is completely dissatisfied and 10 is completely satisfied, how would you rate your OVERALL satisfaction with the <%PROGRAM>?

#	Record 0 to 10 score (_____)	PP5
88	Refused	PP5
99	Don't know	PP5

IF PP4 < 4 THEN ASK; ELSE SKIP TO LT2

PP5   Why do you say that?

77	Record VERBATIM	LT2
88	Don't know	LT2
99	Refused	LT2

LONG TERM INFLUENCE

IF N3f > 4, THEN ASK, ELSE GO TO OPERATING HOURS SECTION

DISPLAY   Now I'd like you to think about your organization's experiences with %UTILITY's energy efficiency programs and efforts over the longer term, for example, over the past 5, 10, or even 20 years.
In an earlier question, you indicated that your previous experience with utility energy efficiency programs was a factor that influenced your decision to implement this PROJECT. I would like to ask you a few questions about this experience.   LT2

LT2   For how many years have you been participating in %UTILITY's energy efficiency programs?

# yrs	Record Number of Years	LT3
88	Refused	LT3
99	Don't know	LT3

LT3   During this time, how many times has your organization participated in these PROGRAM(s)?

1	7 to 10 times, or more	CA6
2	4 to 7 times	CA6
3	2 to 4 times	CA6
4	less than 2 times	CA6
88	Refused	LT6
99	Don't know	LT6

CA6

IF LT3(1||4);
What type of equipment did you install through this (these) program(s)? [READ RESPONSE CATEGORIES]

1	Indoor lighting	LT6
2	Cooling equipment	LT6
3	Natural gas equipment, such as water heater, furnace or appliances	LT6
4	Insulation or windows	LT6
5	Refrigeration	LT6
6	Industrial process equipment	LT6
7	Greenhouse heat curtains	LT6
8	Food service equipment	LT6
77	OPEN \SOMETHING OTHER (specify)	LT6
88	Refused	LT6
99	Don't Know	LT6

LT6   What factors led you to participate in these program(s)?

77	Record VERBATIM	LT7
88	Refused	LT7
99	Don't know	LT7

LT7   And exactly how did that experience help to convince you to install this energy efficient equipment?

77	Record VERBATIM	LT8
88	Refused	LT8
99	Don't know	LT8

IF LT3 = 1 or 2, THEN ASK. ELSE GO TO OPERATING HOURS SECTION

Have these programs had any long-term influence on your organization's energy efficiency related practices and policies that go beyond the immediate effect of incentives on individual projects?
[DO NOT READ: Examples are causing them to add energy efficiency procurement policies, internal incentive or reward structures for improving energy efficiency, or adoption of energy management best practices.]

LT8

1	Yes	ALWAYS
2	No	ALWAYS
88	Refused	ALWAYS
99	Don't know	ALWAYS

OPERATING HOURS

DISPLAY We'd like to ask a few questions about how the COVID-19 pandemic may have affected your organization's operation hours.

COV_1 Were your organization's operation hours affected by the COVID-19 pandemic over the past year and a half?

1	Yes	COV_2
2	No	ALWAYS
88	Refused	COV_2
99	Don't Know	COV_2

COV_2 Is our organization's operation hours back to what you would consider to be normal?

1	Yes	COV_2_YearX
2	No	COV_3
88	Refused	COV_3
99	Don't Know	COV_3

COV_2_YEARx Approximately when would you say your operation hours returned to normal? [best guess of month and year]

COV_2_YEARx Year (PROBE FOR BEST GUESS)

1	2020	COV_2_Monthx
2	2021	COV_2_Monthx
88	Refused	COV_4
99	Don't know	COV_4

IF DEEM_INSTALL_YEARx in (1-3)

COV_2_MONTHx   And what month? {If they can not recall month, try to get the season.}

1	January	COV_4
2	February	COV_4
3	March	COV_4
4	April	COV_4
5	May	COV_4
6	June	COV_4
7	July	COV_4
8	August	COV_4
9	September	COV_4
10	October	COV_4
11	November	COV_4
12	December	COV_4
13	Fall	COV_4
14	Winter	COV_4
15	Spring	COV_4
16	Summer	COV_4
88	Refused	COV_4
99	Don't know	COV_4

COV_3   Do you expect your organization's operation hours to return to normal in the next year?

1	Yes	COV_3_Months
2	No	COV_3_open
88	Refused	COV_3_open
99	Don't know	COV_3_open

COV_3_Months   In approximately how many months do you expect your operation hours to return to normal?

1	Record # months	COV_3_open
77	Less than 1 month	COV_3_open
88	Refused	COV_3_open
99	Don't know	COV_3_open

COV_3_open	How are your current hours of operation different than what you expect them to be when they are back to normal?	
77	Open Record	COV_4
88	Refused	COV_4
99	Don't know	COV_4

COV_4	During the COVID-19 pandemic, was your organization fully closed for any period of time?	
1	Yes	COV_4a
2	No	COV_5
88	Refused	COV_5
99	Don't know	COV_5

COV_4a	For approximately how many months was your organization fully closed?	
1	Record # months	COV_5
77	Less than 1 month	COV_5
88	Refused	COV_5
99	Don't know	COV_5

COV_5	During the COVID-19 pandemic, were your organization's hours of operation significantly reduced while you remained open?	
1	Yes	COV_5a
2	No	ALWAYS
88	Refused	ALWAYS
99	Don't know	ALWAYS

COV_5a	In what way were your organization's hours of operation reduced during this time?	
1	Record Open	COV_5b
88	Refused	COV_5b
99	Don't know	COV_5b

COV_5b	For approximately how many months did this reduction in operating hours occur?	
1	Yes	ALWAYS
2	No	ALWAYS
88	Refused	ALWAYS
99	Don't know	ALWAYS

ALWAYS

IF COV_3 = 1 then DISPLAY:

The next few questions are to help us get a full understanding of your organization's operational hours. They are focused on what you expect your typical operating hours to be when your organization returns back to normal operation.

ELSE DISPLAY:

The next few questions are to help us get a full understanding of your organization's operational hours. They are focused on your current typical operating hours.

ALWAYS	Is your organization operation 24 hours a day, 7 days a week?	
1	Yes	HOLIDAYS
2	No	HOLIDAYS
88	Refused	HOLIDAYS

HOLIDAYS	Does your facility close for any holidays during the year? If so, which one(s)?	
1	New Year's Day - January 1	DAYS
2	Martin Luther King Jr. Day - (3rd Monday in January)	DAYS
3	President's Day - (3rd Monday in February)	DAYS
4	Memorial Day - (Last Monday in May)	DAYS
5	Independence Day - July 4th (Or Surrounding Monday/Friday if July 4 is a weekend)	DAYS
6	Labor Day - (First Monday in September)	DAYS
7	Thanksgiving - (4th Thursday in November)	DAYS
8	Day after Thanksgiving	DAYS
9	Christmas Eve - December 24	DAYS
10	Christmas Day - December 25	DAYS
66	NO HOLIDAY CLOSURES	DAYS
77	Other - Specify	DAYS
88	Refused	DAYS
99	Don't Know	DAYS

DAYS	Ask if ALWAYS = 2 or 88; else skip to CUSTOMER CHARACTERISTICS; Is your facility closed any of the 7 days of the week? If so, which days are you CLOSED?	
1	Monday	MONDAY_OPEN
2	Tuesday	MONDAY_OPEN
3	Wednesday	MONDAY_OPEN
4	Thursday	MONDAY_OPEN
5	Friday	MONDAY_OPEN
6	Saturday	MONDAY_OPEN
7	Sunday	MONDAY_OPEN
66	Open EVERYDAY	MONDAY_OPEN
88	REFUSED	MONDAY_OPEN
99	DON'T KNOW	MONDAY_OPEN

MONDAY_OPEN	**Ask if ALWAYS(2 or 88)&^DAYS(1); else skip to TUESDAY_OPEN;** What time did you open your facility on MONDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	MONDAY_CLOSE
88	REFUSED	MONDAY_CLOSE
99	DON'T KNOW	MONDAY_CLOSE

MONDAY_CLOSE	**IF MONDAY_OPEN(1‖64)** What time did you close your facility on MONDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	TUESDAY_OPEN
88	REFUSED	TUESDAY_OPEN
99	DON'T KNOW	TUESDAY_OPEN

TUESDAY_OPEN	**Ask if ALWAYS(2 or 88)&^DAYS(2); else skip to WEDNESDAY_OPEN;** What time did you open your facility on TUESDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	TUESDAY_CLOSE
88	REFUSED	TUESDAY_CLOSE
99	DON'T KNOW	TUESDAY_CLOSE

IF TUESDAY_OPEN(1||65)

TUESDAY_CLOSE   What time did you close your facility on TUESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	WEDNESDAY_OPEN
88	REFUSED	WEDNESDAY_OPEN
99	DON'T KNOW	WEDNESDAY_OPEN

Ask if ALWAYS(2 or 88)&^DAYS(3); else skip to THURSDAY_OPEN;

WEDNESDAY_OPEN   What time did you open your facility on WEDNESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	WEDNESDAY_CLOSE
88	REFUSED	WEDNESDAY_CLOSE
99	DON'T KNOW	WEDNESDAY_CLOSE

IF WEDNESDAY_OPEN(1||65)

WEDNESDAY_CLOSE   What time did you close your facility on WEDNESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	THURSDAY_OPEN
88	REFUSED	THURSDAY_OPEN
99	DON'T KNOW	THURSDAY_OPEN

Ask if ALWAYS(2 or 88)&^DAYS(4); else skip to FRIDAY_OPEN;

THURSDAY_OPEN   What time did you open your facility on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	THURSDAY_CLOSE
88	REFUSED	THURSDAY_CLOSE
99	DON'T KNOW	THURSDAY_CLOSE

IF THURSDAY_OPEN(1||65)

THURSDAY_CLOSE   What time did you close your facility on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	FRIDAY_OPEN
88	REFUSED	FRIDAY_OPEN
99	DON'T KNOW	FRIDAY_OPEN

Ask if ALWAYS(2 or 88)&^DAYS(5); else skip to SATURDAY_OPEN;

FRIDAY_OPEN   What time did you open your facility on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	FRIDAY_CLOSE
88	REFUSED	FRIDAY_CLOSE
99	DON'T KNOW	FRIDAY_CLOSE

IF FRIDAY_OPEN(1||65)

FRIDAY_CLOSE   What time did you close your facility on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SATURDAY_OPEN
88	REFUSED	SATURDAY_OPEN
99	DON'T KNOW	SATURDAY_OPEN

Ask if ALWAYS(2 or 88)&^DAYS(6); else skip to SUNDAY_OPEN;

SATURDAY_OPEN   What time did you open your facility on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SATURDAY_CLOSE
88	REFUSED	SATURDAY_CLOSE
99	DON'T KNOW	SATURDAY_CLOSE

IF SATURDAY_OPEN(1||65)

SATURDAY_CLOSE   What time did you close your facility on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SUNDAY_OPEN
88	REFUSED	SUNDAY_OPEN
99	DON'T KNOW	SUNDAY_OPEN

Ask if ALWAYS(2 or 88)&^DAYS(7); else skip to DIFF_SCHEDULE;

SUNDAY_OPEN   What time did you open your facility on SUNDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SUNDAY_CLOSE
88	REFUSED	SUNDAY_CLOSE
99	DON'T KNOW	SUNDAY_CLOSE

IF SUNDAY_OPEN(1||65)

SUNDAY_CLOSE	What time did you close your facility on SUNDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	DIFF_SCHEDULE
88	REFUSED	DIFF_SCHEDULE
99	DON'T KNOW	DIFF_SCHEDULE

DIFF_SCHEDULE	Some facilities have different schedules for certain times of the year. Does your organization maintain a different schedule for certain months of the year?	
1	Yes	MONTHS
2	No	LGT_SCHD_1
88	REFUSED	LGT_SCHD_1
99	DON'T KNOW	LGT_SCHD_1

MONTHS	**Ask if DIFF_SCHEDULE = 1; Else skip to LGT_SCHD_1;** During which months of the year did the schedule vary from the times I just recorded?	
1	January	ALT_ALWAYS
2	February	ALT_ALWAYS
3	March	ALT_ALWAYS
4	April	ALT_ALWAYS
5	May	ALT_ALWAYS
6	June	ALT_ALWAYS
7	July	ALT_ALWAYS
8	August	ALT_ALWAYS
9	September	ALT_ALWAYS
10	October	ALT_ALWAYS
11	November	ALT_ALWAYS
12	December	ALT_ALWAYS
88	REFUSED	ALT_ALWAYS
99	DON'T KNOW	ALT_ALWAYS

ALT_ALWAYS	Was your organization operation 24 hours a day, 7 days a week?	
1	Yes	LGT_SCHD_1
2	No	ALT_DAYS
88	Refused	ALT_DAYS

If ^ALT_ALWAYS(1) then ask; Else SKIP to
LGT_SCHD_1;
During this alternate schedule, was your facility closed
any of the 7 days of the week? If so, which days were
ALT_DAYS you CLOSED?

1	Monday	ALT_MONDAY_OPEN
2	Tuesday	ALT_MONDAY_OPEN
3	Wednesday	ALT_MONDAY_OPEN
4	Thursday	ALT_MONDAY_OPEN
5	Friday	ALT_MONDAY_OPEN
6	Saturday	ALT_MONDAY_OPEN
7	Sunday	ALT_MONDAY_OPEN
66	Open EVERYDAY	ALT_MONDAY_OPEN
88	REFUSED	ALT_MONDAY_OPEN
99	DON'T KNOW	ALT_MONDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(1); else skip to ALT_TUESDAY_OPEN;

For the alternate schedule, what time did you open your
ALT_MONDAY_OPEN facility on MONDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_MONDAY_CLOSE
88	REFUSED	ALT_MONDAY_CLOSE
99	DON'T KNOW	ALT_MONDAY_CLOSE

IF ALT_MONDAY_OPEN(1||64)

ALT_MONDAY_CLOSE What time did you close your facility on MONDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_TUESDAY_OPEN
88	REFUSED	ALT_TUESDAY_OPEN
99	DON'T KNOW	ALT_TUESDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(2); else skip to ALT_WEDNESDAY_OPEN;

What time did you open your facility on TUESDAY
ALT_TUESDAY_OPEN during your alternate schedule?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_TUESDAY_CLOSE
88	REFUSED	ALT_TUESDAY_CLOSE
99	DON'T KNOW	ALT_TUESDAY_CLOSE

IF ALT_TUESDAY_OPEN(1||65)

ALT_TUESDAY_CLOSE   What time did you close your facility on TUESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_WEDNESDAY_OPEN
88	REFUSED	ALT_WEDNESDAY_OPEN
99	DON'T KNOW	ALT_WEDNESDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(3); else skip to ALT_THURSDAY_OPEN;

ALT_WEDNESDAY_OPEN   What time did you open your facility on WEDNESDAY during your alternate schedule?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_WEDNESDAY_CLOSE
88	REFUSED	ALT_WEDNESDAY_CLOSE
99	DON'T KNOW	ALT_WEDNESDAY_CLOSE

IF ALT_WEDNESDAY_OPEN(1||65)

ALT_WEDNESDAY_CLOSE   What time did you close your facility on WEDNESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_THURSDAY_OPEN
88	REFUSED	ALT_THURSDAY_OPEN
99	DON'T KNOW	ALT_THURSDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(4); else skip to ALT_FRIDAY_OPEN;

ALT_THURSDAY_OPEN   What time did you open your facility on THURSDAY during your alternate schedule?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_THURSDAY_CLOSE
88	REFUSED	ALT_THURSDAY_CLOSE
99	DON'T KNOW	ALT_THURSDAY_CLOSE

ALT_THURSDAY_OPEN(1||65)

ALT_THURSDAY_CLOSE   What time did you close your facility on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_FRIDAY_OPEN
88	REFUSED	ALT_FRIDAY_OPEN
99	DON'T KNOW	ALT_FRIDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(5); else skip to ALT_SATURDAY_OPEN;

ALT_FRIDAY_OPEN — What time did you open your facility on FRIDAY during this alternate schedule?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_FRIDAY_CLOSE
88	REFUSED	ALT_FRIDAY_CLOSE
99	DON'T KNOW	ALT_FRIDAY_CLOSE

IF ALT_FRIDAY_OPEN(1||65)

ALT_FRIDAY_CLOSE — What time did you close your facility on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_SATURDAY_OPEN
88	REFUSED	ALT_SATURDAY_OPEN
99	DON'T KNOW	ALT_SATURDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(6); else skip to ALT_SUNDAY_OPEN;
I recorded that during your alternate schedule you were also open on Saturday. What time did you open your facility on SATURDAY?

ALT_SATURDAY_OPEN

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_SATURDAY_CLOSE
88	REFUSED	ALT_SATURDAY_CLOSE
99	DON'T KNOW	ALT_SATURDAY_CLOSE

IF ALT_SATURDAY_OPEN(1||65)

ALT_SATURDAY_CLOSE — What time did you close your facility on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_SUNDAY_OPEN
88	REFUSED	ALT_SUNDAY_OPEN
99	DON'T KNOW	ALT_SUNDAY_OPEN

Ask if DIFF_SCHEDULE(1)&^ALT_DAYS(7); else skip to LGT_SCHD_1;
I recorded that during your alternate schedule you were also open on Sunday. What time did you open your facility on SUNDAY?

ALT_SUNDAY_OPEN

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	ALT_SUNDAY_CLOSE
88	REFUSED	ALT_SUNDAY_CLOSE
99	DON'T KNOW	ALT_SUNDAY_CLOSE

IF ALT_SUNDAY_OPEN(1||65)

ALT_SUNDAY_CLOSE   What time did you close your facility on SUNDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	LGT_SCHD_1
88	REFUSED	LGT_SCHD_1
99	DON'T KNOW	LGT_SCHD_1

IF LI191[A] = 88 OR 99 SKIP TO CC2a
IF LI191a[A] = (88 OR 99) AND LI191b[A] = (88 OR 99) THEN SKIP TO CC2a

Did ALL of the new lighting equipment generally operate in tandem with the facility schedule you just provided?

LGT_SCHD_1   PROBE AS NEEDED:

That is, the lights generally got turned on when the facility opened and got shut off when the facility closed ==> ANSWER: Yes.

Or is the schedule of operation instead different for some of the new lighting equipment due to schedule differences for certain areas in the facility or other factors ==> ANSWER: No.

1	Yes	LGT_SCHD_2
2	No	LGT_AA1_1
88	REFUSED	LGT_AA1_1
99	DON'T KNOW	LGT_AA1_1

LGT_SCHD_2

Thinking about how lights operated on average across all the different areas of the facility, what percent of the new lighting equipment would generally be illuminated during the hours the facility was open?

That is, what percentage of the new lighting would be turned on when the facility was open, on average?

	ENTER PERCENTAGE_____	LGT_SCHD_3
888	REFUSED	LGT_SCHD_3
999	DON'T KNOW	LGT_SCHD_3

LGT_SCHD_3   Now thinking about when the facility is closed; what percentage of the new lighting would still be turned on, even though the facility was closed?

	ENTER PERCENTAGE_____	CC2A
888	REFUSED	CC2A
999	DON'T KNOW	CC2A

IF LGT_SCHED_1 = 1, then SKIP TO CC2A

Create the following variables:

Let %Activity_Area_1 = the area description corresponding to the maximum percentage value from LI191a[A] (therefore, Open office, Private office, Hallway, etc..)
Note – this is only the value that corresponds to Measure #1

If LI191a[A] = (88 or 99) AND LI191b[A] is (NOT 88 or 99) then
Let %Activity_Area_1 = LI191b[A]

If {the max value LI191a[A] >= 80%} OR
{LI191a[A] = (88 or 99) AND LI191b[A] is (NOT 88 or 99)}
then
Let %LgtAreas = 1; and
Let %Activity_Area_2 = missing

Else
Let %LgtAreas = 2; and
Let %Activity_Area_2 = the area description corresponding to the second highest percentage value from LI191a[A]

Thinking only about the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>** , did this lighting generally operate in tandem with the facility schedule you just provided?

PROBE AS NEEDED:

LGT_AA1_1   That is, did the **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>** generally get turned on when the facility opened and get shut off when the facility closed ==> ANSWER: Yes.

Or was the schedule of operation instead different for the **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>** ==> ANSWER: No.

1	Yes	LGT_AA2_1
2	No	LGT_AA2_1
88	REFUSED	LGT_AA2_1
99	DON'T KNOW	LGT_AA2_1

IF <%LgtAreas> = 1 then Skip to LGT_AA1_2

Now, thinking only about the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>**, did this lighting generally operate in tandem with the facility schedule you just provided?

LGT_AA2_1   PROBE AS NEEDED:

That is, did the **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>** get turned on when the facility opened and get shut off when the facility closed ==> ANSWER: Yes.

Or was the schedule of operation instead different for the **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>** ==> ANSWER: No.

1	Yes	LGT_AA1_2
2	No	LGT_AA1_2
88	REFUSED	LGT_AA1_2
99	DON'T KNOW	LGT_AA1_2

LGT_AA1 _2

IF LGT_AA1_1 = 1 THEN ASK, ELSE SKIP TO LGT AA2_2

Thinking only about the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>**, what percentage of this new lighting would be turned on when the facility was open, on average?

	ENTER PERCENTAGE_____	LGT_AA1_3
888	REFUSED	LGT_AA1_3
999	DON'T KNOW	LGT_AA1_3

LGT_AA1_3

Thinking about when the facility is closed; what percentage of the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>**, would still be turned on, even though the facility was closed?

	ENTER PERCENTAGE_____	LGT_AA2_2
888	REFUSED	LGT_AA2_2
999	DON'T KNOW	LGT_AA2_2

LGT_AA2 _2

IF LGT_AA2_1 = 1 THEN ASK, ELSE SKIP TO ALWAYS_AA1

Thinking only about the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>**, what percentage of this new lighting would be turned on when the facility was open, on average?

	ENTER PERCENTAGE_____	LGT_AA2_3
888	REFUSED	LGT_AA2_3
999	DON'T KNOW	LGT_AA2_3

LGT_AA2_3

Thinking about when the facility is closed; what percentage of the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>**, would still be turned on, even though the facility was closed?

	ENTER PERCENTAGE_____	ALWAYS AA_1
888	REFUSED	ALWAYS AA_1
999	DON'T KNOW	ALWAYS AA_1

Ask if LGT_AA1_1 = (2, 88 or 99); else skip to SAME_AA1_AA2;

ALWAYS_AA1

Now we'd like you to think about lighting schedules in the facility that DO NOT coincide with the facility schedule of operation. We'd like you to only consider the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>**

Was the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>** always on, 24 hours a day, 7 days a week?

1	Yes	SAME_AA1_AA2
2	No	DAYS_1
88	Refused	DAYS_1

DAYS_1

For the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>**, were the lights not used at all during any of the 7 days of the week? If so, which days were the lights always OFF?

1	Monday	MONDAY_OPEN_1
2	Tuesday	MONDAY_OPEN_1
3	Wednesday	MONDAY_OPEN_1
4	Thursday	MONDAY_OPEN_1
5	Friday	MONDAY_OPEN_1
6	Saturday	MONDAY_OPEN_1
7	Sunday	MONDAY_OPEN_1
66	Open EVERYDAY	MONDAY_OPEN_1
88	REFUSED	MONDAY_OPEN_1
99	DON'T KNOW	MONDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(1); else skip to TUESDAY_OPEN_1;

MONDAY_OPEN_1

For this first unique lighting schedule, what time were the lights turned on on MONDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	MONDAY_CLOSE_1
88	REFUSED	MONDAY_CLOSE_1
99	DON'T KNOW	MONDAY_CLOSE_1

IF MONDAY_OPEN_1(1||64)

MONDAY_CLOSE_1	And what time were the lights turned off on MONDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	TUESDAY_OPEN_1
88	REFUSED	TUESDAY_OPEN_1
99	DON'T KNOW	TUESDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(2); else skip to WEDNESDAY_OPEN_1;

TUESDAY_OPEN_1	What time were the lights turned on on TUESDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	TUESDAY_CLOSE_1
88	REFUSED	TUESDAY_CLOSE_1
99	DON'T KNOW	TUESDAY_CLOSE_1

IF TUESDAY_OPEN_1(1||65)

TUESDAY_CLOSE_1	And what time were the lights turned off on TUESDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	WEDNESDAY_OPEN_1
88	REFUSED	WEDNESDAY_OPEN_1
99	DON'T KNOW	WEDNESDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(3); else skip to THURSDAY_OPEN_1;

WEDNESDAY_OPEN_1	What time were the lights turned on on WEDNESDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	WEDNESDAY_CLOSE_1
88	REFUSED	WEDNESDAY_CLOSE_1
99	DON'T KNOW	WEDNESDAY_CLOSE_1

IF WEDNESDAY_OPEN_1(1‖65)

WEDNESDAY_CLOSE_1   And what time were the lights turned off on WEDNESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	THURSDAY_OPEN_1
88	REFUSED	THURSDAY_OPEN_1
99	DON'T KNOW	THURSDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(4); else skip to FRIDAY_OPEN_1;

THURSDAY_OPEN_1   What time were the lights turned on on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	THURSDAY_CLOSE_1
88	REFUSED	THURSDAY_CLOSE_1
99	DON'T KNOW	THURSDAY_CLOSE_1

IF THURSDAY_OPEN_1(1‖65)

THURSDAY_CLOSE_1   And what time were the lights turned off on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	FRIDAY_OPEN_1
88	REFUSED	FRIDAY_OPEN_1
99	DON'T KNOW	FRIDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(5); else skip to SATURDAY_OPEN_1;

FRIDAY_OPEN_1   What time were the lights turned on on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	FRIDAY_CLOSE_1
88	REFUSED	FRIDAY_CLOSE_1
99	DON'T KNOW	FRIDAY_CLOSE_1

IF FRIDAY_OPEN_1(1||65)

FRIDAY_CLOSE_1   And what time were the lights turned off on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SATURDAY_OPEN_1
88	REFUSED	SATURDAY_OPEN_1
99	DON'T KNOW	SATURDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(6); else skip to SUNDAY_OPEN_1;

SATURDAY_OPEN_1   What time were the lights turned on on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SATURDAY_CLOSE_1
88	REFUSED	SATURDAY_CLOSE_1
99	DON'T KNOW	SATURDAY_CLOSE_1

IF SATURDAY_OPEN_1(1||65)

SATURDAY_CLOSE_1   And what time were the lights turned off on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SUNDAY_OPEN_1
88	REFUSED	SUNDAY_OPEN_1
99	DON'T KNOW	SUNDAY_OPEN_1

Ask if ALWAYS_AA1(2 or 88)&^DAYS_1(7); else skip to LIGHTING_SCHEDULES_1_1;

SUNDAY_OPEN_1   What time were the lights turned on on SUNDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SUNDAY_CLOSE_1
88	REFUSED	SUNDAY_CLOSE_1
99	DON'T KNOW	SUNDAY_CLOSE_1

SUNDAY_CLOSE_1	And what time were the lights turned off on SUNDAY?	
	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	LGT_AA1_4
88	REFUSED	LGT_AA1_4
99	DON'T KNOW	LGT_AA1_4

LGT_AA1 _4	Now, I'd like you to consider this unique lighting schedule we've been discussing for the new <%LT_MEAS_1> that was installed in the <%Activity_Area_1>. And think of the period of time when the lights are typically on, versus typically off. Even though the lighting is typically on, 100% of the lights may not be on that full time. And conversely, even though the lighting may typically be off, some lights may still be left on. For the period when lighting is typically on, what percentage of this new lighting, on average, would actually be turned on?	
	ENTER PERCENTAGE_____	LGT_AA1_5
888	REFUSED	LGT_AA1_5
999	DON'T KNOW	LGT_AA1_5

LGT_AA1_5	And conversely, what percent of these new <%LT_MEAS_1> that was installed in the <%Activity_Area_1> might actually be turned on, on average, during the time period when the lighting was typically off.	
1	ENTER PERCENTAGE_____	SAME_AA1_AA2
88	REFUSED	SAME_AA1_AA2
99	DON'T KNOW	SAME_AA1_AA2

SAME_AA1_AA2	**ASK IF <%LgtAreas = 2> and LGT_AA2_1 = (2, 88 or 99); ELSE SKIP TO CC2a** Now we'd like to talk about just one more lighting schedule. For this lighting schedule, we would like you to consider the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>** Does this lighting in the **<%Activity_Area_2>** operate according to the same schedule as the **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_1>**?		

1	Yes	CC2a
2	No	ALWAYS _AA2
88	Refused	ALWAYS _AA2

ALWAYS_AA2	Was the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>** always on, 24 hours a day, 7 days a week?	

1	Yes	CC2a
2	No	DAYS_2
88	Refused	DAYS_2

DAYS_2	For the new **<%LT_MEAS_1>** that was installed in the **<%Activity_Area_2>**, were the lights not used at all during any of the 7 days of the week? If so, which days were the lights always OFF?	

1	Monday	MONDAY_OPEN_2
2	Tuesday	MONDAY_OPEN_2
3	Wednesday	MONDAY_OPEN_2
4	Thursday	MONDAY_OPEN_2
5	Friday	MONDAY_OPEN_2
6	Saturday	MONDAY_OPEN_2
7	Sunday	MONDAY_OPEN_2
66	Open EVERYDAY	MONDAY_OPEN_2
88	REFUSED	MONDAY_OPEN_2
99	DON'T KNOW	MONDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(1); else skip to TUESDAY_OPEN_2;

MONDAY_OPEN_2   For this second unique lighting schedule, what time were the lights turned on on MONDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	MONDAY_CLOSE_2
88	REFUSED	MONDAY_CLOSE_2
99	DON'T KNOW	MONDAY_CLOSE_2

IF MONDAY_OPEN_2(1‖64)

MONDAY_CLOSE_2   And what time were the lights turned off on MONDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	TUESDAY_OPEN_2
88	REFUSED	TUESDAY_OPEN_2
99	DON'T KNOW	TUESDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(2); else skip to WEDNESDAY_OPEN_2;

TUESDAY_OPEN_2   What time were the lights turned on on TUESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	TUESDAY_CLOSE_2
88	REFUSED	TUESDAY_CLOSE_2
99	DON'T KNOW	TUESDAY_CLOSE_2

IF TUESDAY_OPEN_2(1‖65)

TUESDAY_CLOSE_2   And what time were the lights turned off on TUESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	WEDNESDAY_OPEN_2
88	REFUSED	WEDNESDAY_OPEN_2
99	DON'T KNOW	WEDNESDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(3); else skip to THURSDAY_OPEN_2;

WEDNESDAY_OPEN_2   What time were the lights turned on on WEDNESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	WEDNESDAY_CLOSE_2
88	REFUSED	WEDNESDAY_CLOSE_2
99	DON'T KNOW	WEDNESDAY_CLOSE_2

IF WEDNESDAY_OPEN_2(1||65)

WEDNESDAY_CLOSE_2   And what time were the lights turned off on WEDNESDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	THURSDAY_OPEN_2
88	REFUSED	THURSDAY_OPEN_2
99	DON'T KNOW	THURSDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(4); else skip to FRIDAY_OPEN_2;

THURSDAY_OPEN_2   What time were the lights turned on on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	THURSDAY_CLOSE_2
88	REFUSED	THURSDAY_CLOSE_2
99	DON'T KNOW	THURSDAY_CLOSE_2

IF THURSDAY_OPEN_2(1||65)

THURSDAY_CLOSE_2   And what time were the lights turned off on THURSDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	FRIDAY_OPEN_2
88	REFUSED	FRIDAY_OPEN_2
99	DON'T KNOW	FRIDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(5); else skip to SATURDAY_OPEN_2;

FRIDAY_OPEN_2   What time were the lights turned on on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	FRIDAY_CLOSE_2
88	REFUSED	FRIDAY_CLOSE_2
99	DON'T KNOW	FRIDAY_CLOSE_2

IF FRIDAY_OPEN_2(1||65)

FRIDAY_CLOSE_2   And what time were the lights turned off on FRIDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SATURDAY_OPEN_2
88	REFUSED	SATURDAY_OPEN_2
99	DON'T KNOW	SATURDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(6); else skip to SUNDAY_OPEN_2;

SATURDAY_OPEN_2   What time were the lights turned on on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SATURDAY_CLOSE_2
88	REFUSED	SATURDAY_CLOSE_2
99	DON'T KNOW	SATURDAY_CLOSE_2

IF SATURDAY_OPEN_2(1||65)

SATURDAY_CLOSE_2   And what time were the lights turned off on SATURDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SUNDAY_OPEN_2
88	REFUSED	SUNDAY_OPEN_2
99	DON'T KNOW	SUNDAY_OPEN_2

Ask if ALWAYS_AA2(2 or 88)&^DAYS_2(7); else skip to LIGHTING_SCHEDULES_1_2;

SUNDAY_OPEN_2   What time were the lights turned on on SUNDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	SUNDAY_CLOSE_2
88	REFUSED	SUNDAY_CLOSE_2
99	DON'T KNOW	SUNDAY_CLOSE_2

IF SUNDAY_OPEN_2(1||65)

SUNDAY_CLOSE_2   And what time were the lights turned off on SUNDAY?

	Record Time 1AM - 12:30 AM in 12 hour format by half hour as 1-24	LGT_AA2_4
88	REFUSED	LGT_AA2_4
99	DON'T KNOW	LGT_AA2_4

LGT_AA2 _4   Now, I'd like you to consider this unique lighting schedule we've been discussing for the new <%LT_MEAS_1> that was installed in the <%Activity_Area_2>. And think of the period of time when the lights are typically on, versus typically off. Even though the lighting is typically on, 100% of the lights may not be on that full time. And conversely, even though the lighting may typically be off, some lights may still be left on.

For the period when lighting is typically on, what percentage of this new lighting, on average, would actually be turned on?

1	ENTER PERCENTAGE_____	LGT_AA2_5
88	REFUSED	LGT_AA2_5
99	DON'T KNOW	LGT_AA2_5

LGT_AA2_5   And conversely, what percent of these new <%LT_MEAS_1> that was installed in the <%Activity_Area_2> might actually be turned on, on average, during the time period when the lighting was typically off.

1	ENTER PERCENTAGE_____	CC2a
88	REFUSED	CC2a
99	DON'T KNOW	CC2a

CUSTOMER CHARACTERISTICS

We're almost finished. Now, I'd like to ask you questions regarding your facility.

CC2a   What is the total square footage at this facility?

77	RECORD Square feet	CC2c
88	Refused	CC3
99	Don't know	CC3

IF CC2a IN (88, 99)

CC3   Would you say that the floor area is ...?

1	less than 1,500 sq. ft.	CC2c
2	1,500 - 5,000 sq. ft.	CC2c
3	5,000 - 10,000 sq. ft.	CC2c
4	10,000 – 25,000 sq. ft.	CC2c
5	25,000 – 50,000 sq. ft.	CC2c
6	50,000 – 75,000 sq. ft.	CC2c
7	75,000 – 100,000 sq. ft.	CC2c
8	over 100,000 sq. ft. (ag area)	CC2c
88	Refused	CC2c
99	Don't know	CC2c

CC2c   Is the entire floor area of this facility heated or cooled?

1	Yes	CC3a
2	No	CC2d
88	Refused	C0
99	Don't know	C0

CC2d   What percentage of the floor area is heated or cooled?

77	Percent	CC3a
88	Refused	C0
99	Don't know	C0

If CC2d > 0 or CC2c = 1; else skip to C0

CC3a   Is your space heated using electricity or gas or something else?

1	Electricity	C0
2	Gas	C0
3	Both electricity and gas	C0
4	Propane	C0
77	OPEN\Other-record	C0
88	Refused	C0
99	Don't know	C0

C0   About what percentage of your operating costs does energy account for?

1	Less than 1 percent	CC4
2	1-2 percent	CC4
3	3-5 percent	CC4
4	6-10 percent	CC4
5	11-15 percent	CC4
6	16-20 percent	CC4
7	21-50 percent	CC4
8	Over 51 percent	CC4
88	Refused	CC4
99	Don't Know	CC4

CC4   Does your organization own, lease, or manage the facility?

1	Own	C5
2	Lease/Rent	C5
3	Manage	C5
88	Refused	C5
99	Don't know	C5

C5   How many locations does your organization have. Is it....

1	This facility only	CC6
2	2 to 4 locations	CC6
3	5 to 10 locations	CC6
4	11 to 25 locations	CC6
5	more than 25 locations	CC6
88	Don't know	CC6
99	Refused	CC6

CC6   How active a role does your organization take in making purchase decisions related to energy using equipment at this facility? Would you say you are…

1	Very active – involved in all phases and have veto power	CC7
2	Somewhat active – we approve decisions and provide some input and review	CC7
3	Slightly active – we have a voice but it's not the dominant voice	CC7
4	Not active at all – we're part of a larger firm	CC7
5	Not active at all – our firm doesn't get involved in these issues	CC7
88	Refused	CC7
99	Don't know	CC7

CC7   Does your firm have a maintenance company that you use to maintain any of your building systems such as lighting, HVAC, refrigeration, or food service equipment?

1	Yes	CC11a
2	No	CC11a
88	Refused	CC11a
99	Don't Know	CC11a

CC11a   In what year was your facility built, approximately?

7777	Year	CC12a
8888	Refused	CC11b
9999	Don't know	CC11b

If CC11a in (88, 99) then ask; else skip to CC12a

CC11b   Would you say it was…

1	After 2010	CC12a
2	Between 2006 and 2010	CC12a
3	Between 2000 and 2005	CC12a
4	In the 1990s	CC12a
5	In the 1980s	CC12a
6	In the 1970s	CC12a
7	In the 1960s or	CC12a
8	Before 1960	CC12a
88	Don't know	CC12a
99	Refused	CC12a

CC12a   In what year was this organization established at this location?

7777	Year	BC090
8888	Refused	CC12b
9999	Don't know	CC12b

If CC12a in (88, 99) then ask; else skip to BC090

CC12b   Would you say it was…

1	After 2010	BC090
2	Between 2006 and 2010	BC090
3	Between 2000 and 2005	BC090
4	In the 1990s	BC090
5	In the 1980s	BC090
6	In the 1970s	BC090
7	In the 1960s or	BC090
8	Before 1960	BC090
88	Don't know	BC090
99	Refused	BC090

ADDITIONAL FACILITY CHARACTERISTICS

BC090   Has the square footage of the facility increased, decreased or remained the same since January 2018?

1	Increase in square footage	BC100
2	Decrease in square footage	BC110
3	Stayed the same	Vendor_Name
88	Refused	Vendor_Name
99	Don't know	Vendor_Name

If BC090 = 1 then ask; else skip to BC110

BC100   How many square feet were added?

77	Square feet	BC120
88	Refused	BC120
99	Don't know	BC120

If BC090 = 2 then ask; else skip to BC120

BC110   By how many square feet was the facility reduced?

77	Square feet	BC120
88	Refused	BC120
99	Don't know	BC120

If BC090 in (1, 2) then ask; else skip to CA15

BC120   In what year did this <%BC090> occur?

1	2018	OtherChanges
2	2019	OtherChanges
3	2020	OtherChanges
88	Refused	OtherChanges
99	Don't know	OtherChanges

	Did you make any other equipment changes to your facility, since 2018? Probe for any other	
OtherChanges	changes to lighting, HVAC, refrigeration installs, etc.	
77	YES - RECORD VERBATIM	OtherChg_Date
02	No	Vendor_Name
99	Don't know	Vendor_Name

OtherChg_Date	Approximately when did these changes occur	
77	RECORD VERBATIM	Vendor_Name
99	Don't know	Vendor_Name

Ask if V1(1)

	Earlier you stated that you had a vendor/contractor that helped you with the installation of the lighting equipment that was installed through the <%UTILITY> Program. Could you provide me	
Vendor_Name	with their name and phone number?	
1	Cannot provide	END
77	Record Name, Phone Number, Email Address or any other information they can provide. More is better.	END
88	Refused	END
99	Don't know	END

	Those are all the questions I have for you today. On behalf of the CPUC, I would like to thank	
END	you very much for your kind cooperation. Have a good day.	

APPENDIX C:

DISTRIBUTOR NTG PHONE SURVEY

Distributor NTG Survey Instrument for 2020 Midstream Programs

Introduction

AA1   This is <%Interviewer> calling on behalf of the California Public Utilities Commission from <%SURVEY FIRM>> regarding your firm's involvement with the sales and/or installations of ...<%MEASURE_LONG>… through ...<%PROGRAM_LONG> ... between January 1, 2020 and December 31, 2020.____Our records indicate that ...<%CONTACT>... would be the person most knowledgeable about this. Are they available?

    1   Yes   A1

    2   No   AA2

AA2   Who would be the person most knowledgeable about your firm's involvement with the ...<%PROGRAM > during 2020?

    1   Record name and phone number and start over

A1   <%UTILITY>... has indicated that your firm participates in the <% PROGRAM > and was involved in selling and/or installing energy-efficient...<%MEASURE> throughout their service territory during 2020. Is this correct?

    1   Yes   A2

    2   No   Thank and Terminate

[DO NOT READ: The following question will determine if we ask about influences on their recommendations. Please be sure to be thorough with this question. If they truly only installed this equipment, then a "No" is fine]

A2   According   to   <%UTILITY>,   your   firm   promotes   and   sells   program-qualifying...<%MEASURE> through the <% PROGRAM>. Is that correct??

  1   Yes   A3

  2   No   Just questions for installs

READ: Throughout the remainder of this survey, for the sake of brevity, I'm going to refer to the <%PROGRAM> qualifying equipment that you sell as "%MEASURE".

The focus of this survey is on your business' sales and promotional practices of <%MEASURE> **before** the COVID-19 shutdown. Please answer the following questions based on your business' approach during 2020; that is, before the COVID-19 shutdown.

A3   Now, I'm going to ask you about the various strategies you might have used to sell program-qualified equipment. Please indicate which ones you have used. [READ]

  ___ Upsell contractors to purchase program-qualified units

  ___ Upsell customers to purchase program-qualified units

  ___ Conduct training workshops for contractors

  ___ Increase marketing of program-qualified units

  ___ Reduce the prices of program-qualified units

  ___ Increase the stocking or assortment of program-qualified units

  ___ Increased signage on sales floor

  ___ Discuss the benefits of program-qualified units with contractors

___ Discuss the benefits of program-qualified units with customers

___ Other (Please describe: _____)

Next, I am going to ask you to rate the importance of the various <%PROGRAM> and non-program factors in influencing your decision to recommend <%MEASURE> to contractors and your other customers. Think of the degree of importance as being shown on a scale with equally spaced units from 0 to 10, where 0 means not at all important and 10 means very important, so that an importance rating of 8 shows twice as much influence as a rating of 4.

A4   Using this 0-to-10 scale, please rate the following in terms of their importance in your decision to recommend <%MEASURE> to contractors and your other customers.

(Do not read – note that these are the program factors)

Program incentive	Record 0 to 10 score (_____)
Program promotional materials	Record 0 to 10 score (_____)
Program-provided training of sales staff	Record 0 to 10 score (_____)
Information from <%UTILITY> website	Record 0 to 10 score (_____)

(Do not read – note that these are the non-program factors)

Increased awareness of LED benefits among contractors and customers	Record 0 to 10 score (_____)
Reduced high-efficiency LED Lighting prices from Manufacturers	Record 0 to 10 score (_____)
Availability of manufacturers' promotional rebates/spiffs	Record 0 to 10 score (_____)

Information about the cost-effectiveness of more

efficient units   Record 0 to 10 score (_____)

Increased stocking of high-efficiency LED Lighting   Record 0 to 10 score (_____)

Past participation in <%UTILITY> rebate or audit program Record 0 to 10 score (_____)

A4a   Was there any other important way that the <%PROGRAM> influenced the recommendations you provide regarding <%MEASURE>? (if yes…) What was the most important other way?

RECORD ANSWER HERE:

A4aa   Using a 0 to 10 scale, how important did this factor influence the recommendations you made regarding <%MEASURE>?

Record 0 to 10 score (_____)   A5

Next, I am going to ask you to rate the importance of the <%PROGRAM> in general in influencing your decision to recommend <%MEASURE>to contractors and your other customers.

A5   Using this 0 to 10 scale where 0 is NOT AT ALL IMPORTANT and 10 is EXTREMELY IMPORTANT, how important was the <%PROGRAM>, including incentives as well as program services and information, in influencing your decision to recommend that contractors and your other customers purchase the energy efficient <%MEASURE> at this time?

Record 0 to 10 score (_____)   A6

Next, I would like you to rate the importance of the program factors as a group in your decision to implement these sales strategies as opposed to other non-program factors as a group that might have influenced your decision.

Program factors include: [READ IN A MINIMUM OF TWO PROGRAM FACTORS, SELECTED BY CHOOSING THOSE THAT RECEIVED THE HIGHEST TWO SCORES AMONG ALL PROGRAM COMPONENTS IN THE PROGRAM COMPONENTS SECTION in A4]

Non-program factors include: [READ IN A MINIMUM OF TWO NON-PROGRAM FACTORS, SELECTED BY CHOOSING THOSE THAT RECEIVED THE HIGHEST TWO SCORES AMONG ALL NON-PROGRAM COMPONENTS IN THE PROGRAM COMPONENTS SECTION in A4.]

A5a. Now, if you were given 10 points to award in total, how many points would give to the importance of the program factors as a group and how many points would you give to the non-program factors as a group?

 #   Record 0 to 10 value (_____) [List just the value for the program factors]

A6   And using a 0 to 10 likelihood scale where 0 is NOT AT ALL LIKELY and 10 is EXTREMELY LIKELY, if the <%PROGRAM>, including incentives as well as program services and information, had not been available, what is the likelihood that you would have recommended this specific <%MEASURE> to contractors and your other customers?

 #   Record 0 to 10 score (_____)   A7

A7   Approximately, in what percent of sales situations did you recommend <%MEASURE>before you learned about the <%PROGRAM>?

 %   Record PERCENTAGE   A8

A8   And approximately in what percent of sales situations do you recommend <%MEASURE>now that you have worked with the <%PROGRAM>?

     %   Record PERCENTAGE   A9

A9   And what role, if any, has the <%PROGRAM> played in your increasing your recommendations of <%MEASURE> since you began working with the Program?

     Record Answer

A10   Approximately, what percentage of your lighting sales over the last 12 months that were installed in <%UTILITY>'s service territory are LEDs that qualify for incentives from the program?

     %   Record PERCENTAGE   A11

A11   On a 0 to 100 percent scale, in what percent of sales situations do you encourage your contractors and other customers in <%UTILITY>'s territory to purchase program qualifying ...<%MEASURE>...?
     %   Record PERCENTAGE   A11a

IF A11 << 100;

A11a   In what situations do you NOT encourage your contractors and other customers to purchase energy efficient equipment if they qualify for a rebate? Why is that?

   RECORD ANSWER HERE:

A12   Of those installations of ...<%MEASURE>... in <%UTILITY>'s service territory that qualify for incentives, approximately what percentage do not receive the incentive?

   RECORD ANSWER HERE:

IF A12 >> 0;

A13   Why do you think they do not receive the incentive?

RECORD ANSWER HERE:

A14   Do you also sell ...<%MEASURE>.. in areas where your contractors and other customers do not have access to incentives for energy efficient models?

     1   Yes   A14a

     2   No   A16

A14a. And what role, if any, have the California utilities' rebate programs played in your decision to promote and sell <%MEASURE> in areas where contractors and your other customers do not have access to incentives for energy efficient models?

RECORD ANSWER HERE:

A15   About what percent of your sales of ...<%MEASURE> ... are represented by these areas where incentives are not offered?

RECORD ANSWER HERE:

IF A15 >> 10 & A15 << 101;

A15a   And approximately what percentage of your sales of...<%MEASURE >..in these areas are the energy efficient models that would qualify for incentives in <%UTILITY>'s service territory?

RECORD ANSWER HERE:

A16   Have you changed your equipment stocking practices as a result of the <%UTILITY> Program?

     1   Yes   A16a

     2   No   A17

    A16a How so?   **RECORD ANSWER HERE:**

IF A14=1

A17   Do you promote energy efficient models equally in areas with and without incentives?

     1   Yes   END

     2   No   END

END   Those are all the questions I have for you today. Thank you very much for your time.

END OF SURVEY

APPENDIX D:

SELF-REPORT AND BUSINESS HOUR METHODOLGY

This section includes a copy of a paper published as part of the 2015 International Energy Program Evaluation Conference (IEPEC). The paper explains the methodology used to leverage self-reported operating hours for lighting installed in commercial buildings when a large-scale monitoring effort is not feasible.

Are the Lights Really ON?
Leveraging a Cost Effective Approach to Estimate Lighting Usage in Nonresidential Buildings

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ABSTRACT

There are a number of methods by which lighting usage can be estimated within nonresidential buildings. These methods range from the inexpensive, but less accurate – utilizing a facility's business hour schedule – to the more efficient, but more costly – installing onsite monitoring equipment. The difficulty with the first approach is that it ignores the variability in a facility's lighting load shape throughout open hours and does not capture any usage during closed hours or shoulder hours, which generally refer to the hours just before opening and right after closing. The latter approach involves extensive on-site visits that involve the installation of monitoring equipment over a long period of time.

This paper will discuss the methods and findings that were developed from comparing business hours and customer self-reported lighting usage to actual monitored lighting data. These results will provide evaluators with two cost effective methods for obtaining accurate lighting usage estimates within nonresidential buildings. With the self-report method, a ratio (or adjustment factor) of actual logger to self-report usage has been developed for linear and non-linear technologies at the building type and activity area level throughout open business hours. With the second approach, a usage rate (based on actual logger data) has been developed for three periods outside of open hours – an open/closed shoulder rate and a closed rate.

Introduction

This paper discusses methods that evaluators can leverage which are cost effective alternatives to installing onsite monitoring equipment to estimate lighting usage in nonresidential buildings. The paper relies on the results that were garnered from three extensive evaluation studies that were conducted within California. The onsite data collection effort for these studies included the installation of over

3,200 loggers monitoring CFLs and LEDs at more than 900 sites and roughly 5,000 loggers monitoring linear fluorescents at almost 900 sites. Along with the installation of monitoring equipment, auditors also collected business hour schedules from the site contact, including seasonal and holiday hours as well as hourly self-reported estimates of lighting usage by activity area.

This paper will discuss the methods and findings that were developed from comparing business hours and self-reported lighting usage to actual monitored lighting usage. With the self-report method, a ratio (or adjustment factor) of actual logger to self-report usage has been developed for each technology, building type and activity area throughout open business hours. With the second approach, a usage rate (based on actual logger data) has been developed for three periods outside of open hours – an open/closed shoulder rate, which is defined as two hours prior to opening and two hours after close and a closed rate, which is defined as all closed hours not within the shoulder hours.

Background

This paper leverages a method for estimating lighting usage in nonresidential buildings that was first presented at the 2011 IEPEC conference, *"Is the Customer Always Right? Two Cost-Effective Methods for Determining Lighting Usage in Commercial Buildings"* and expands upon those findings by including additional logger data that were collected for three impact evaluations prepared by Itron, Inc. for the California Public Utilities Commission – *2006-2008 Small Commercial Contract Group Direct Impact Evaluation Report* (Sm Com),[1] *2010-2012 Nonresidential Downstream Lighting Impact Evaluation* (NRL)[2] and *2010-2012 LED Impact Evaluation* (LED).[3] The primary purpose of those studies was to evaluate the California investor owned utilities' energy efficiency claims for each of the program periods detailed above. Each of these evaluations involved an extensive statewide phone survey effort and on-site verification as well as time-of-use data collection for several high impact lighting measures, including CFLs, LEDs and linear technologies installed in nonresidential buildings.

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[1]   The Small Com Report can be found at www.CALMAC.org. Study ID: CPU0019.01.
[2]   The NRL Report can be found at www.CALMAC.org. Study ID: CPU0078.01.
[3]   The LED Report can be found at www.CALMAC.org. Study ID: CPU0101.01.

Data Sources

The three main sources of on-site data that were used in this paper from the evaluations detailed above were participant business hours, participant self-reported lighting usage and lighting logger data. Participant business hours were collected as part of the initial phone survey and were confirmed by an auditor at the time of the on-site visit. In order to capture any variability in business hour operations throughout the year, the auditor not only collected the open and close time for each day of the week, but they also captured any seasonal operations and holiday schedules.

Self-reported lighting usage was gathered at the time of the on-site visit. Since different activity areas[4] within a building generally have different lighting usage schedules, the site contact was asked to estimate the operating schedules for each of the activity areas where rebated measures were installed. The site contact was the individual who met with the surveyor onsite and, typically, was most knowledge about the facility's operations. These self-reported operating hours were collected as the percent of time "ON" per hour for each hour in each day of the week.

The time-of-use data were obtained through the installation of lighting loggers. A technical description of the lighting loggers and the installation/extraction procedures can be found in the NRL Report, Appendix G. Lighting loggers using optical sensors were the predominant type used for these studies, however, when lighting was not accessible, logging was done at the electrical panel where circuit amperage could be collected in order to develop lighting load shapes. As part of the on-site visit, surveyors attempted to log every representative activity area where rebated measures were installed. These loggers were generally in the field for anywhere from four weeks to one year.

Processing of Data

After the loggers were extracted, the data was processed into a percent "ON" per hour format such that the actual lighting usage for each activity area could be compared to the business and self-reported hours

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[4] Activity areas are defined as areas within the facility that have different occupancy and usage patterns. For example, the restroom(s) in a retail establishment may have a different usage pattern throughout business hours than the retail sales area.

of operation. Figure 1 provides a site-specific example of those comparisons. The figure presents the average logger data collected for a typical weekday in the office area of an office building. The vertical axis represents the percent "ON" per hour for that day. The business hours have a value of one when the office building is open and a value of zero during closed hours. Likewise, the site contact self-reported that the lighting within the office area was "ON" eighty percent of the time throughout the open hours.

Figure D-1: Actual, Self-Reported Lighting Usage and Business Hours for a Logger Monitoring an Office
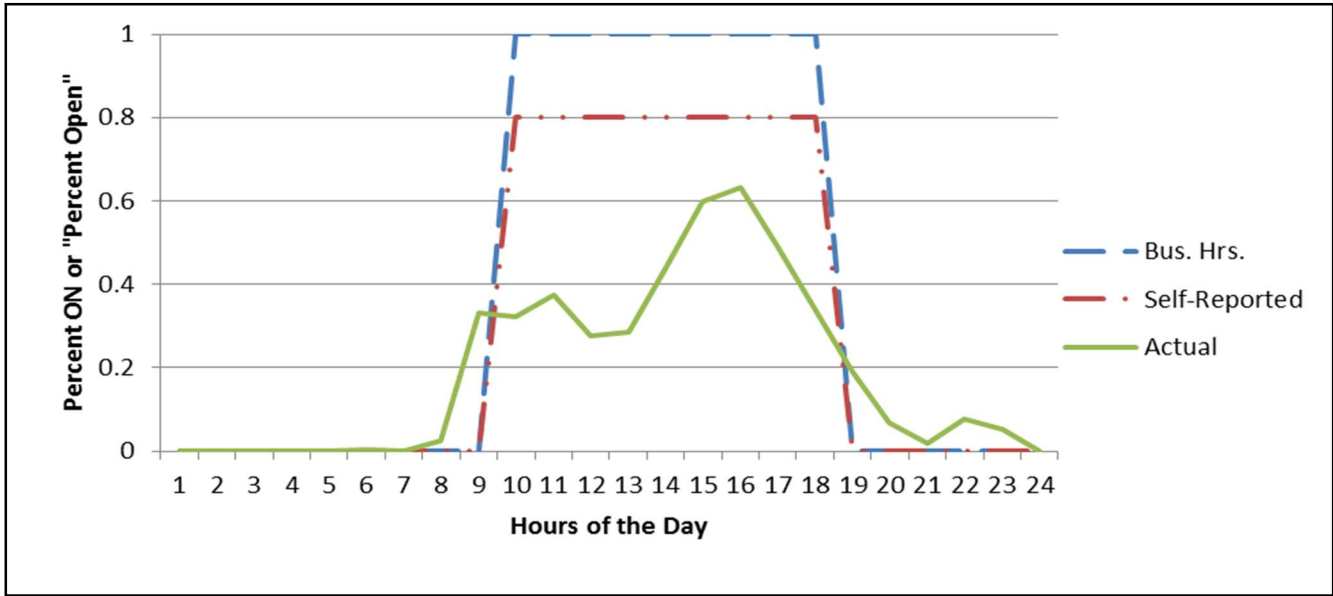
Figure 1 reveals a few important distinctions that, ultimately, represent the motivation behind this analysis. The first is that business hours may not be a reliable proxy to use in developing usage shapes and lighting load impacts. Customer self-reported lighting usage, which was garnered from the on-site visit, is 20 percent less than business hour estimates throughout the open period. The second is that actual lighting usage, which was garnered from monitoring data, is much less than both business hour and self-report estimates throughout open hours and there is significant hourly variability throughout that time frame. The third is that business hours and self-reports (in this case) do not account for any lighting usage throughout time periods prior to open or after close.

However, the intent of this analysis was not to accurately predict lighting usage at a single site, but rather for a large sample of similar technologies, building types and space types. In order to aggregate these adjustments and usage rates, logger data was compared to the business hours of the facility and each self-reported schedule at the facility. As mentioned above, for each hour in each day, four usage periods were generated for each facility – Open, Open Shoulder, Closed Shoulder and Closed. The actual and self-reported usage rates were then calculated for each logger by use period within the site and each logger was aggregated to a site-activity area level by measure. This aggregation only occurred when there was more than one logger installed in similar space types. The aggregation from individual loggers to activity areas was done based on the number of lamps that each logger was monitoring.

Results

Two sets of data were generated from the analysis detailed above – usage rates and adjustment factors. The results from the usage rates can be applied by knowing business operating hours, building type and activity areas and, in the case of the adjustment factors, by knowing the customer self-reported operating schedules which is typically gathered from on-site data collection.

Business Hour Rates

The business hour rates represent the actual average usage found in the logger sample for each use period by technology, building type and activity area. The usage rate represents a constant factor than can be applied to all hours within each use period and includes data from normal operation schedules as well as seasonal operations, where applicable. If a participant had more than one business operating schedule and logger data was collected during those times, the single hourly average usage rate for that logger (for each use period) was developed by weighting the number of days in the year represented in each schedule. Each individual logger was then weighted by the total number of lamps represented by the logger along with the total number of hours associated with each use period.

Table 1 and Table 2 present the results from that aggregation. Building type-activity area combinations for which at least six sites were monitored are included in these tables. The "Other" building type and

"Other Miscellaneous" activity area represent all the unique building type or building type-space types where there were less than six sites represented in the sample.

Self-Report Adjustment Factors

The adjustment factor represents the actual monitored usage divided by the self-reported use. Again, these ratios were generated at the technology, building type and activity area level much like the business hour rates, but are applied only for the open period. The reason why adjustment factors were not developed for the shoulder and closed periods is that self-reported usage was often claimed to be zero during these periods. A zero value cannot be adjusted by a multiplicative factor, therefore a constant factor is more appropriate when analyzing the closed and shoulder periods.

Table 1 and Table 2 present the results associated with the adjustment factor analysis. The self-reported usage can then be multiplied by the adjustment factor to generate a proxy percent "ON" value throughout the open hours by technology, building type and activity area. Also presented are the averages by technology and building type alone.

Table D-1: Self-Reported Adjustment Factors – Non-Linear Fluorescent

| Building Type | Activity Area | # Sites | Self-Reported Adjustment | | Business Hour Usage Rates | | |
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
Assembly	Classroom	8	9%	0.53	0.00	0.03	0.01
	Dining	15	57%	0.88	0.25	0.34	0.16
	HallwayLobby	67	69%	0.87	0.35	0.32	0.16
	Kitchen/Break Room	15	34%	0.58	0.14	0.15	0.06
	Office	28	67%	0.53	0.07	0.14	0.05
	OtherMisc	34	58%	0.85	0.18	0.23	0.10
	Recreation	16	39%	0.40	0.05	0.10	0.04
	Religious Worship	31	25%	0.64	0.04	0.09	0.03
	Restrooms	53	35%	0.84	0.18	0.23	0.11
	Storage	38	27%	0.88	0.11	0.11	0.05
	All	119	50%	0.79	0.17	0.21	0.09
Education – Primary/Secondary	OtherMisc	15	70%	0.68	0.04	0.14	0.04
	Restrooms	17	38%	0.97	0.06	0.09	0.03
	Storage	6	28%	0.34	0.02	0.04	0.02
	All	26	60%	0.71	0.05	0.12	0.04
Grocery	OtherMisc	7	70%	0.98	0.64	0.13	0.04
	Storage	6	36%	1.54	0.10	0.10	0.02
	All	9	56%	1.13	0.43	0.12	0.04
Health/Medical-Clinic	Comm/Ind Work	6	36%	0.12	0.00	0.01	0.00
	HallwayLobby	47	82%	0.79	0.29	0.36	0.15
	Kitchen/Break Room	8	43%	0.95	0.75	0.82	0.21
	Office	28	85%	0.49	0.11	0.19	0.03
	OtherMisc	12	55%	0.26	0.04	0.11	0.03
	Restrooms	32	15%	1.04	0.03	0.05	0.01
	Storage	13	9%	3.82	0.06	0.05	0.05
	All	77	52%	0.42	0.24	0.30	0.10
Lodging	Comm/Ind Work	13	28%	1.14	0.05	0.01	0.01
	Dining	10	70%	0.91	0.06	0.18	0.07
	Guest Rooms	93	34%	0.24	0.10	0.05	0.07
	HallwayLobby	55	81%	0.87	0.21	0.19	0.25
	Kitchen/Break Room	12	51%	0.67	0.40	0.27	0.13
Lodging	Office	13	81%	0.42	0.05	0.09	0.07
	OtherMisc	13	46%	1.18	0.02	0.06	0.09

Building Type	Activity Area	# Sites	Self-Reported Adjustment		Business Hour Usage Rates		
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
	Restrooms	39	32%	0.22	0.16	0.15	0.09
	Storage	13	27%	0.70	0.43	0.22	0.14
	All	**109**	**38%**	**0.36**	**0.11**	**0.08**	**0.08**
Office - Large	HallwayLobby	21	86%	0.85	0.28	0.69	0.42
	Office	6	90%	0.69	0.34	0.44	0.25
	OtherMisc	8	41%	0.68	0.05	0.15	0.08
	Restrooms	11	30%	1.82	0.24	0.37	0.13
	All	**28**	**72%**	**0.87**	**0.26**	**0.53**	**0.31**
Office - Small	Conference Room	9	29%	0.87	0.06	0.11	0.01
	HallwayLobby	47	73%	0.76	0.29	0.33	0.15
	Kitchen/Break Room	12	44%	0.85	0.06	0.08	0.03
	Office	39	82%	0.76	0.07	0.25	0.03
	OtherMisc	13	50%	0.71	0.45	0.17	0.28
	Restrooms	90	19%	0.93	0.06	0.08	0.03
	Storage	22	33%	0.66	0.13	0.14	0.03
	All	**151**	**55%**	**0.77**	**0.16**	**0.20**	**0.08**
Other	OtherMisc	22	54%	0.83	0.24	0.24	0.37
	All	**22**	**54%**	**0.83**	**0.24**	**0.24**	**0.37**
Other Industrial	HallwayLobby	14	88%	0.82	0.13	0.21	0.04
	Office	11	81%	0.57	0.03	0.09	0.04
	OtherMisc	9	48%	0.74	0.19	0.19	0.09
	Restrooms	29	13%	1.32	0.08	0.04	0.01
	Storage	7	25%	0.49	0.06	0.06	0.02
	All	**49**	**63%**	**0.73**	**0.09**	**0.12**	**0.04**
Restaurant	Dining	101	87%	0.91	0.24	0.32	0.06
	HallwayLobby	43	82%	0.80	0.43	0.38	0.29
	Kitchen/Break Room	33	93%	0.90	0.49	0.33	0.11
	Office	16	35%	1.16	0.29	0.27	0.12
	OtherMisc	8	62%	0.92	0.39	0.23	0.12
	Restrooms	70	52%	0.98	0.31	0.31	0.14
Restaurant	RetailSales	10	94%	0.80	0.40	0.52	0.31
	Storage	54	42%	1.11	0.28	0.19	0.09
	All	**170**	**82%**	**0.90**	**0.30**	**0.34**	**0.12**
	Office	4	97%	0.98	0.61	0.13	0.03

| Building Type | Activity Area | # Sites | Self-Reported Adjustment | | Business Hour Usage Rates | | |
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
Retail - Large	OtherMisc	6	90%	0.96	0.39	0.51	0.27
	Restrooms	13	35%	1.35	0.25	0.26	0.13
	RetailSales	23	95%	1.02	0.20	0.10	0.02
	Storage	8	33%	0.25	0.07	0.05	0.06
	All	**39**	**95%**	**1.02**	**0.20**	**0.10**	**0.02**
Restaurant	Auto Repair	6	80%	0.63	0.19	0.29	0.15
	Comm/Ind Work	9	80%	0.82	0.16	0.06	0.02
	HallwayLobby	23	85%	0.63	0.30	0.28	0.17
	Kitchen/Break Room	9	40%	0.62	0.12	0.13	0.09
	Office	28	64%	1.19	0.39	0.37	0.28
	OtherMisc	14	72%	0.58	0.15	0.19	0.02
	Restrooms	126	15%	1.16	0.05	0.06	0.03
	RetailSales	98	87%	0.98	0.31	0.19	0.09
	Services	9	96%	0.91	0.34	0.43	0.17
	All	**227**	**79%**	**0.96**	**0.27**	**0.19**	**0.10**
Warehouse	OtherMisc	11	83%	0.72	0.10	0.21	0.07
	Restrooms	15	6%	0.90	0.01	0.01	0.00
	All	**24**	**62%**	**0.73**	**0.08**	**0.17**	**0.06**

The results from the adjustment factor analysis for non-linear technologies (CFLs and LEDs) reveal that site contacts generally over-estimate lighting usage in their facilities for most building types. For example, the average overall self-reported lighting usage throughout open hours in office – small was 55 percent. However, the overall adjustment factor is 0.77, which reveals that actual usage, on average, was roughly 25 percent lower.[5] For retail – large, site contacts were generally accurate in predicting usage throughout open hours (1.02 adjustment factor). This was driven predominantly by an almost identical self-report to actual in retail sales areas.

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[5] A 42 percent actual divided by the 55 percent self-report yields an adjustment factor of 0.77 throughout open hours.

The results from the usage rate analysis reveal that facilities experience measured lighting loads throughout closed hours. The most significant loads come during the two hours prior to opening and two hours after close (the shoulder periods). For example, the average usage for restaurants for each hour in the open and closed shoulder period was 0.30 and 0.34, respectively. Likewise, the usage rate throughout all other closed hours was 0.12 with the most significant load being generated in retail sales areas and hallways/lobbies.

Table D-2: Self-Reported Adjustment Factors – Linear Fluorescent

| Building Type | Activity Area | # Sites | Self-Reported Adjustment | | Business Hour Usage Rates | | |
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
Assembly	Classroom	30	64%	0.47	0.05	0.12	0.02
	Conference Room	7	55%	0.55	0.14	0.27	0.06
	Dining	14	63%	0.64	0.27	0.11	0.06
	HallwayLobby	32	91%	0.42	0.17	0.33	0.13
	Kitchen/Break Room	31	43%	0.83	0.18	0.22	0.07
	Office	43	66%	0.57	0.26	0.20	0.06
	OtherMisc	28	91%	0.61	0.35	0.33	0.20
	Recreation	21	75%	0.63	0.11	0.26	0.06
	Religious Worship	8	30%	0.31	0.05	0.06	0.04
	Restrooms	23	47%	1.45	0.42	0.47	0.28
	Storage	24	45%	0.78	0.37	0.36	0.15
	All	**70**	**76%**	**0.57**	**0.21**	**0.26**	**0.11**
Education – Primary/Secondary	Classroom	48	76%	0.67	0.03	0.14	0.02
	HallwayLobby	24	78%	1.00	0.22	0.45	0.16
	Kitchen/Break Room	22	62%	0.98	0.22	0.26	0.07
	Office	32	76%	0.91	0.13	0.25	0.06
	OtherMisc	24	76%	0.74	0.11	0.37	0.06
	Restrooms	23	46%	1.24	0.10	0.22	0.04
	Storage	11	10%	1.49	0.02	0.12	0.02
	All	**59**	**74%**	**0.72**	**0.07**	**0.20**	**0.04**
Grocery	OtherMisc	6	84%	0.71	0.09	0.29	0.09
	RetailSales	14	95%	1.01	0.54	0.31	0.16

| Building Type | Activity Area | # Sites | Self-Reported Adjustment | | Business Hour Usage Rates | | |
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
	Storage	7	73%	0.97	0.33	0.22	0.15
	All	**14**	**91%**	**0.96**	**0.45**	**0.30**	**0.15**
Health/Medical-Clinic	Comm/Ind Work	15	81%	0.79	0.06	0.30	0.04
	HallwayLobby	40	91%	0.89	0.24	0.46	0.18
	Kitchen/Break Room	19	68%	0.87	0.21	0.37	0.05
	Office	44	69%	0.83	0.17	0.29	0.06
	OtherMisc	17	77%	0.52	0.05	0.27	0.01
	Patient Rooms	10	28%	0.51	0.06	0.20	0.02
	Restrooms	15	22%	1.38	0.07	0.17	0.06
	Storage	18	32%	1.18	0.02	0.06	0.02
	All	**54**	**75%**	**0.73**	**0.15**	**0.32**	**0.08**
Laundry	OtherMisc	7	100%	0.93	0.54	0.52	0.34
	All	**7**	**100%**	**0.93**	**0.54**	**0.52**	**0.34**
Office - Large	Comm/Ind Work	6	88%	0.74	0.37	0.54	0.24
	Conference Room	13	33%	0.92	0.04	0.09	0.04
	HallwayLobby	16	94%	0.85	0.43	0.48	0.26
	Kitchen/Break Room	12	82%	0.93	0.36	0.52	0.23
	Office	22	90%	0.77	0.42	0.55	0.25
	OtherMisc	10	44%	1.00	0.32	0.38	0.27
	Storage	11	55%	0.99	0.10	0.12	0.11
	All	**26**	**82%**	**0.80**	**0.39**	**0.51**	**0.24**
Office - Small	Comm/Ind Work	17	79%	0.77	0.14	0.22	0.10
	Conference Room	22	58%	0.80	0.17	0.17	0.02
	Copy Room	11	80%	0.96	0.24	0.16	0.01
	HallwayLobby	52	89%	0.84	0.19	0.21	0.05
	Kitchen/Break Room	38	69%	0.84	0.17	0.23	0.04
	Office	92	82%	0.76	0.14	0.24	0.05
	OtherMisc	16	75%	0.81	0.36	0.22	0.15
	Restrooms	13	40%	0.84	0.05	0.14	0.05
	Storage	34	52%	0.84	0.13	0.10	0.04
	All	**105**	**78%**	**0.79**	**0.16**	**0.22**	**0.05**
Other	OtherMisc	12	40%	1.65	0.18	0.14	0.02
	All	**12**	**40%**	**1.65**	**0.18**	**0.14**	**0.02**

Building Type	Activity Area	# Sites	Self-Reported Adjustment		Business Hour Usage Rates		
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
Other Industrial	Auto Repair	7	92%	0.99	0.47	0.07	0.06
	Comm/Ind Work	83	85%	0.85	0.28	0.32	0.14
	Conference Room	16	9%	0.81	0.00	0.02	0.01
	HallwayLobby	40	83%	0.76	0.33	0.36	0.23
	Kitchen/Break Room	25	56%	1.34	0.20	0.25	0.06
	Office	66	73%	0.90	0.12	0.18	0.05
	OtherMisc	20	66%	0.94	0.10	0.38	0.09
	Restrooms	23	14%	3.27	0.15	0.15	0.08
	RetailSales	6	84%	0.95	0.35	0.30	0.22
	Storage	53	74%	0.88	0.18	0.18	0.08
	All	**133**	**75%**	**0.90**	**0.23**	**0.27**	**0.11**
Restaurant	Dining	19	79%	0.82	0.15	0.20	0.04
	Kitchen/Break Room	21	91%	0.92	0.60	0.57	0.22
	OtherMisc	13	93%	0.90	0.26	0.26	0.03
	Storage	11	79%	0.89	0.52	0.30	0.05
	All	**29**	**85%**	**0.88**	**0.33**	**0.33**	**0.10**
Retail - Large	Auto Repair	7	78%	1.04	0.50	0.39	0.02
	Comm/Ind Work	6	97%	0.94	0.49	0.49	0.29
	Conference Room	7	18%	1.41	0.05	0.09	0.02
Retail - Large	HallwayLobby	11	96%	0.95	0.77	0.53	0.17
	Kitchen/Break Room	12	80%	0.95	0.47	0.45	0.29
	Office	25	80%	0.96	0.38	0.43	0.14
	OtherMisc	9	93%	0.73	0.58	0.39	0.21
	Restrooms	11	74%	1.28	0.59	0.70	0.44
	RetailSales	32	97%	0.99	0.61	0.58	0.41
	Storage	35	94%	0.61	0.52	0.48	0.31
	All	**51**	**94%**	**0.82**	**0.56**	**0.51**	**0.31**
Retail - Small	Auto Repair	45	85%	0.88	0.13	0.29	0.03
	Comm/Ind Work	38	94%	0.91	0.25	0.30	0.09
	HallwayLobby	39	84%	0.95	0.15	0.19	0.05
	Kitchen/Break Room	33	81%	0.79	0.17	0.16	0.04
	Office	84	82%	0.84	0.10	0.16	0.01
	OtherMisc	23	84%	0.89	0.17	0.13	0.03

| Building Type | Activity Area | # Sites | Self-Reported Adjustment | | Business Hour Usage Rates | | |
			Self-Reported Usage	Adjustment Factor	Open Shoulder	Closed Shoulder	Closed
	Restrooms	19	24%	0.91	0.05	0.12	0.02
	RetailSales	104	96%	0.96	0.15	0.15	0.04
	Services	15	93%	0.91	0.27	0.33	0.09
	Storage	75	68%	1.03	0.16	0.22	0.06
	All	**208**	**88%**	**0.93**	**0.16**	**0.20**	**0.04**
	Comm/Ind Work	14	91%	0.76	0.24	0.14	0.06
	Conference Room	12	30%	1.04	0.02	0.05	0.01
	HallwayLobby	20	70%	0.73	0.26	0.10	0.04
	Kitchen/Break Room	17	57%	0.90	0.19	0.17	0.05
Warehouse	Office	44	85%	0.69	0.18	0.13	0.06
	OtherMisc	22	45%	0.76	0.05	0.08	0.02
	Restrooms	17	23%	1.52	0.13	0.13	0.04
	Storage	58	71%	0.83	0.21	0.20	0.06
	All	**87**	**73%**	**0.78**	**0.19**	**0.16**	**0.05**

The results from the adjustment factor analysis for linear technologies yield similar results to the non-linear lighting analysis for some building types and different results for others. The similarities and differences result from both the self-reported lighting usage as well as the accuracy of the self-report. For example, the self-reported usage for non-linear and linear technologies throughout open hours were 79 percent and 88 percent, respectively. However, the adjustment factors for each technology (0.96 and 0.93) reveal that sit contacts over-estimated usage by a similar margin.

The results from the business factor analysis for linear technologies also reveal that facilities experience measured lighting loads throughout closed hours. For some building types like retail – large and office – large, those loads are quite substantial.

Application of Results

By applying the adjustment factors to the open time period and the usage rates to the closed and shoulder time periods, 8,760 load shapes can be developed at the measure and activity area level for each building

type. As mentioned above, these estimation techniques are meant to be applied to a large sample of sites and are not meant to accurately predict usage at a single site. For the adjustment factors and usage rates, since business hours can vary considerably from one site to another, they are applied to each site in the sample individually and then aggregated together. Figure 2 provides an example of this for a non-linear technology (CFL or LED) installed in an office area of an office building. An adjustment factor of 0.76 was multiplied by the self-reported usage during open hours (from Table 1) and business rates (from Table 1) were applied to the closed and shoulder period for each site. These individual site profiles were then aggregated together to create a population-wide estimate of usage.

Figure D-2: Population Business Hours, Self-Report, Actual Usage and Self-Report Adjustment/ Usage Rate
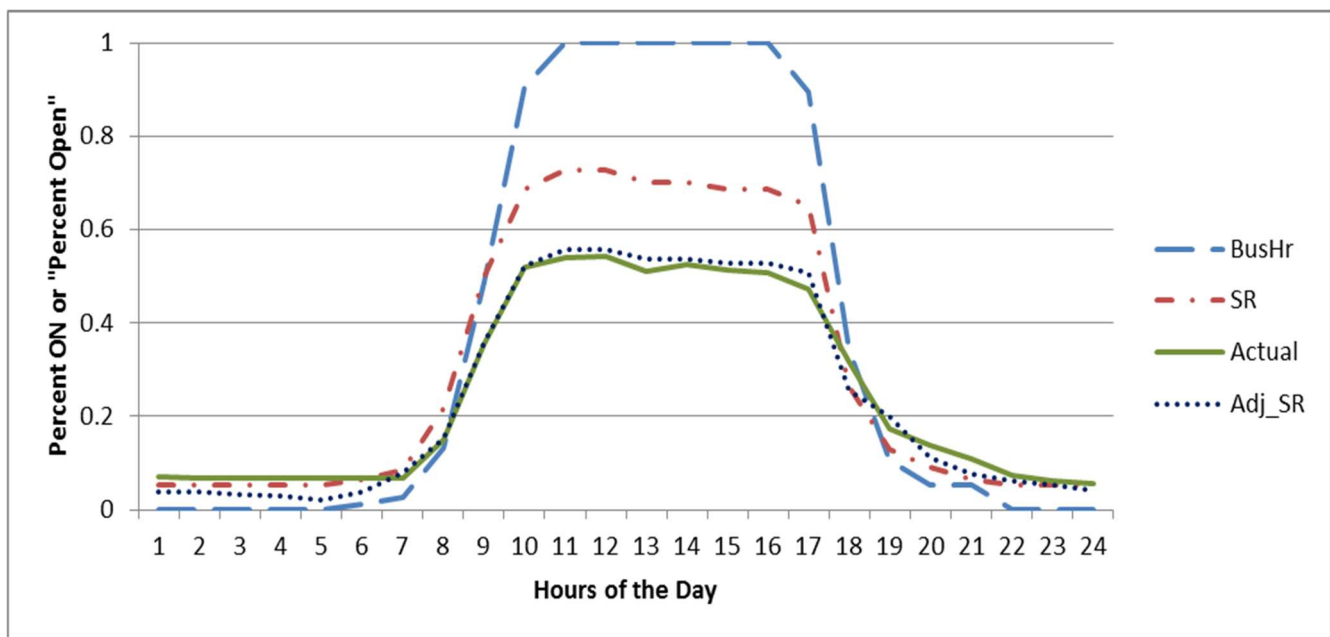
Conclusion

These results will provide evaluators with two cost effective methods for obtaining accurate lighting usage estimates within nonresidential buildings. Evaluators can apply these methods by using data collected throughout the on-site verification process. These data include the facility's business hour schedule and the self-reported lighting schedule for each activity area of measure installation. Likewise,

evaluators can properly weight the activity area lighting load shapes to the site level by confirming the number of measure installations (by activity area). Evaluators can then apply the adjustment factors to the self-reported usage data collected on-site and apply the usage rates to the business operating hours to develop more reliable estimates of lighting load shapes. Furthermore, since these results are developed at the technology, building type, activity area and use period level, evaluators can better understand lighting operation nuances at a much more disaggregated level than by relying simply on annual operating hour estimates.

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APPENDIX E:

MEASURE NAME MAPPING

PY20 NONRES LIGHTING IMPACT REPORT

MeasureClass	Channel	NormUnit	Measurename
INDOOR FIXTURE	DirectInstall	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 11800 TO 14799 LUMENS AND >= 110 LPW AND < 130 LPW (SWLG011F)
INDOOR FIXTURE	DirectInstall	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 14800 TO 18499 LUMENS AND >= 130 LPW (SWLG011S)
INDOOR FIXTURE	DirectInstall	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 18500 TO 23099 LUMENS AND >= 120 LPW AND < 130 LPW (SWLG011H)
INDOOR FIXTURE	DirectInstall	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 18500 TO 23099 LUMENS AND >= 130 LPW (SWLG011T)
INDOOR FIXTURE	DirectInstall	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 23100 TO 29999 LUMENS AND >= 125 LPW AND < 135 LPW (SWLG011I)
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 11800 TO < 14800 LUMENS AND >= 110 LPW AND 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 11800 TO < 14800 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 14800 TO < 18500 LUMENS AND >= 120 LPW AND < 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 14800 TO < 18500 LUMENS AND >= 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 18500 TO < 23100 LUMENS AND >= 120 LPW AND < 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 18500 TO < 23100 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 18500 TO < 23100 LUMENS AND >= 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 23100 TO < 30000 LUMENS AND >= 125 LPW AND < 135 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 23100 TO < 30000 LUMENS AND >= 135 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 23100 TO < 30000 LUMENS AND >= 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 30000 TO < 39000 LUMENS AND >= 135 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 30000 TO < 39000 LUMENS AND >= 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 39000 TO < 50700 LUMENS AND >= 140 LPW AND < 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 4500 TO < 5400 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 50700 TO < 65900 LUMENS AND >= 125 LPW AND < 135 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 5400 TO < 6500 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 6500 TO < 7800 LUMENS AND >= 110 LPW AND < 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 6500 TO < 7800 LUMENS AND >= 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 7800 TO < 9400 LUMENS AND >= 150 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 9400 TO < 11800 LUMENS AND >= 110 LPW AND < 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 9400 TO < 11800 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Downstream	FIXTURE	LED HIGHBAY LUMINAIRE RATED FROM 9400 TO < 11800 LUMENS AND >= 130 LPW AND < 150 LPW
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 11800 TO 14799 LUMENS AND >= 130 LPW (SWLG011R)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 14800 TO 18499 LUMENS AND >= 130 LPW (SWLG011S)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 18500 TO 23099 LUMENS AND >= 130 LPW (SWLG011T)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 23100 TO 29999 LUMENS AND >= 125 LPW AND < 135 LPW (SWLG011I)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 23100 TO 29999 LUMENS AND >= 135 LPW (SWLG011U)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 4500 TO 5399 LUMENS AND >= 130 LPW (SWLG011M)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 7800 TO 9399 LUMENS AND >= 130 LPW (SWLG011P)
INDOOR FIXTURE	Midstream	FIXTURE	LIGHTING-LED FIXTURE: HIGHBAY LUMINAIRE RATED FROM 9400 TO 11799 LUMENS AND >= 130 LPW (SWLG011Q)
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 11800 TO < 14800 LUMENS AND >= 110 LPW AND < 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 11800 TO < 14800 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 14800 TO < 18500 LUMENS AND >= 120 LPW AND < 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 14800 TO < 18500 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 18500 TO < 23100 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 23100 TO < 30000 LUMENS AND >= 125 LPW AND < 135 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 23100 TO < 30000 LUMENS AND >= 135 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 30000 TO < 39000 LUMENS AND >= 125 LPW AND < 135 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 30000 TO < 39000 LUMENS AND >= 135 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 39000 TO < 50700 LUMENS AND >= 135 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 4500 TO < 5400 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 50700 TO < 65900 LUMENS AND >= 135 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 5400 TO < 6500 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 6500 TO < 7800 LUMENS AND >= 110 LPW AND < 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 6500 TO < 7800 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 7800 TO < 9400 LUMENS AND >= 130 LPW
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 9400 TO < 11800 LUMENS AND >= 110 LPW AND < 130 LPW

MeasureClass	Channel	NormUnit	Measurename
INDOOR FIXTURE	Midstream	KILOLUMEN	LED HIGHBAY LUMINAIRE RATED FROM 9400 TO < 11800 LUMENS AND >= 130 LPW
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X2, >=125 TO 139 LPW (SWLG012I)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X2, >=140 LPW (SWLG012J)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X4, >=125 TO 139 LPW (SWLG012G)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X4, >=140 LPW (SWLG012H)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED DIRECT LINEAR AMBIENT 4FT., RETROFIT KIT. >=125 TO 139 LPW (SWLG012U)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW DIRECT/INDIRECT AMBIENT LUMINAIRE - 4FT., >=125 TO 139 LPW (SWLG012O)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW DIRECT/INDIRECT AMBIENT LUMINAIRE - 4FT., >=140 LPW (SWLG012P)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW DIRECT/INDIRECT AMBIENT LUMINAIRE - 8FT., >=125 TO 139 LPW (SWLG012Q)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW DIRECT/INDIRECT AMBIENT LUMINAIRE - 8FT., >=140 LPW (SWLG012R)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW LUMINAIRE - SIZE 2X2, >=125 TO 139 LPW, (SWLG012C)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW LUMINAIRE - SIZE 2X2, >=140 LPW (SWLG012D)
KILOLUMEN LUMINAIRE	DirectInstall	KILOLUMEN	LIGHTING - INTERIOR LED NEW LUMINAIRE - SIZE 2X4, >=125 TO 139 LPW (SWLG012A)
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	1 X 4 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	1 X 4 LED NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 2 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 2 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 2 LED NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 2 LED NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 4 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 4 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 4 LED NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	2 X 4 LED NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT LINEAR AMBIENT 4 FT. RETROFIT KIT RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT LINEAR AMBIENT 4 FT. RETROFIT KIT RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT LINEAR AMBIENT 8 FT. RETROFIT KIT RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT/INDIRECT LINEAR AMBIENT 4 FT. NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT/INDIRECT LINEAR AMBIENT 4 FT. NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT/INDIRECT LINEAR AMBIENT 8 FT. NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW
KILOLUMEN LUMINAIRE	Downstream	KILOLUMEN	LED DIRECT/INDIRECT LINEAR AMBIENT 8 FT. NEW LUMINAIRE RATED GREATER THAN OR EQUAL TO 140 LPW
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X2, >=125 TO 139 LPW (SWLG012I)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X2, >=140 LPW (SWLG012J)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X4, >=125 TO 139 LPW (SWLG012G)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR INTEGRATED LED RETROFIT KITS - SIZE 2X4, >=140 LPW (SWLG012H)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR LED DIRECT LINEAR AMBIENT 4FT., RETROFIT KIT. >=125 TO 139 LPW (SWLG012U)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR LED NEW DIRECT/INDIRECT AMBIENT LUMINAIRE - 4FT., >=125 TO 139 LPW (SWLG012O)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR LED NEW DIRECT/INDIRECT AMBIENT LUMINAIRE - 8FT., >=140 LPW (SWLG012R)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR LED NEW LUMINAIRE - SIZE 2X2, >=125 TO 139 LPW (SWLG012C)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR LED NEW LUMINAIRE - SIZE 2X4, >=125 TO 139 LPW (SWLG012A)
KILOLUMEN LUMINAIRE	Midstream	KILOLUMEN	LIGHTING - INTERIOR LED NEW LUMINAIRE - SIZE 2X4, >=140 LPW (SWLG012B)
T-LED	DirectInstall	LAMP	LED T8 LAMP UL TYPE A 4 FOOT (NON-RES)
T-LED	DirectInstall	LAMP	LED T8 LAMP_DIRECT INSTALL (SWLG009A)
T-LED	Midstream	LAMP	LED T8 LAMP UL TYPE A 4 FOOT (NON-RES)
T-LED	Midstream	LAMP	LED T8 LAMP_PREREBUP_MID-STREAM (SWLG009A)
PARKING GARAGE LED	DirectInstall	LAMP	LED T8 LAMP PKG GARAGE_(SWLG009B)
PARKING GARAGE LED	Downstream	FIXTURE	LED PARKING GARAGE LUMINAIRE RATED > 3600 TO 4500 LUMENS AND >= 120 LPW
PARKING GARAGE LED	Downstream	FIXTURE	LED PARKING GARAGE LUMINAIRE RATED > 5600 TO 7000 LUMENS AND >= 120 LPW
PARKING GARAGE LED	Downstream	FIXTURE	LED PARKING GARAGE LUMINAIRE RATED > 7000 TO 8800 LUMENS AND >= 120 LPW
PARKING GARAGE LED	Midstream	LAMP	LED T8 LAMP PKG GARAGE (SWLG009B)
PARKING GARAGE LED	Midstream	LAMP	LED T8 LAMP UL TYPE A 4 FOOT (PARKING GARAGES)
NO RESOURCE	DirectInstall	EACH	2X2 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 125 LPW AND LESS THAN 140 LPW AMBIENT INTERIOR COMMERCIAL SPACES
NO RESOURCE	DirectInstall	EACH	2X4 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 125 LPW AND LESS THAN 140 LPW AMBIENT INTERIOR COMMERCIAL SPACES
NO RESOURCE	Downstream	KILOLUMEN	2 X 2 LED INTEGRATED RETROFIT KIT RATED GREATER THAN OR EQUAL TO 125 LPW AND < 140 LPW

MeasureClass	Channel	NormUnit	Measurename
NO RESOURCE	Downstream	EACH	LT-21239
NO RESOURCE	Downstream	EACH	LT-21241
NO RESOURCE	Downstream	EACH	LT-21248
NO RESOURCE	Downstream	EACH	LT-21249
NO RESOURCE	Downstream	EACH	LT-21251

APPENDIX F:

RESPONSE TO COMMENTS

Comment #	PA	Location	Page	Topic	Question/Comment	Evaluator Response
1	SDG&E		Page 1-5, 1-6, 1-9, 8-2	NTGR	Net-to-Gross Ratio for Hard-to Reach (HTR) and Public Education (K-12) customers - The draft report omitted those customer enrollments that may have met the requirements for Hard-to Reach (and K-12) for either agriculture, commercial, industrial and residential. - Did the research plan include customer enrollments who meet the CPUC definition of HTR (and K-12)? - According to CPUC staff (ex-ante) PAs are allow to post process the NTG ID for HTR customer enrollments according the CPUC memo dated February 3, 2022 (https://cedars.sound-data.com/deer-resources/deemed-measure-packages/guidance/) Should NTGR for HTR be the exception to Section 8-2 (Recommendation 4) which states "The ex-ante NTGR for LED Fixtures should reassessed as it is significantly higher than the ex-post results. Potentially, the ex-ante NTGR for LED tubes, or a number in that range, may be a more appropriate value to use as it was much in line with ex post results"?	The NTG sample frame included all participants including HTR and K-12 customers, however there were very few participants with this corresponding ex ante NTGR. Therefore, the population and resulting sample sizes were not sufficient to stratify by these segments to develop separate NTGRs. Because of this we cannot properly assess if these segments should receive a higher NTGR. We still feel the 0.85 NTGR for the HTR and K-12 segments appears to be high and that these values should also be re-assessed. However, we will make note in the report that we did not have sufficient data to specifically evaluate the 0.85 ex ante value relative to ex post.
2	SDG&E		Page 1-10	EUL	"Future evaluations should continue to monitor the age and condition of existing fixtures likefluorescent technologies. LED tube lamps replace the fluorescent tube lamp, but the existing fixture remains. Understanding the age and condition of that existing fixture, would provide more information regarding how long the whole fixture will last before it requires replacement." When evaluating 'Fixture Age' what would consitute "an existing fixture remaining"? The fixture consists of various components that can be replaced piecemeal which doesn't require a full fixture replacement. Is the recommendation to track changes to the existing fixture (i.e. ballast replacement, tombstone replacement, etc.) or a full fixture replacement and what are the recommendations from the consultants to complete this?	The EUL of a TLED is based on the RUL of the existing fixture where it is replaced. The RUL for the existing fixture is currently set to 5 years, or one third of the 15 year EUL of the fluorescent fixture. Historically, the EUL for a fixture was based on the expected measure life of the ballast, but the EUL can also be affected by routine replacements, as the EUL requires the equipment to be in place and operable. So it is important to look at the age and condition of the ballast when considering failures. But also look at other factors that may indicate a near term replacement aside from failure. Some of the same guidelines used for the preponderance of evidence for accelerated replacement could be applied.
3	PG&E			Overall comment	PG&E appreciates the inclusion of the IESR tables and the succinctness and well written nature of this impact evaluation.	Thank you very much, we appreciate your feedback.
4	PG&E	1-1	1-1	ES	One of the key findings indicates "There were some differences in the claimed hours of use (HOU) or the total hours throughout the year when lights were switched "ON," and these differences varied by customer sector. The evaluated HOU for retail establishments, for example, were generally higher than the HOU claimed by the PAs." Can the report clarify what this means and what accounted for the differences? Were the assumptions by the PAs explored to understand why there are different HOU values compared to the customer reported values?	The ex ante HOU values are based on eTRM values. These eTRM (and DEER) values have been consistently low relative to recent evaluation results and should be reassessed per our recommendation.
5	PG&E	1-4	1-7	Table 1-3	How are the HOU impacting the life cycle MWh savings of TLEDs and their associated EUL? HOU can clearly impact the annual savings, but the EUL of a T-8 ballast should be driving the total hours of the lifetime savings and this will not change with HOU. The explanation text near the table provides the citation that HOU is the reason for the high evaluated MWh savings for TLED.	You are correct that HOU of the TLED will not affect the ex post EUL. The rated life of a TLED is 70,000. However, it is being installed in a existing ballast that is assumed to have a remaining useful life of 5 years. It is assumed that when the ballast fails the TLED will also be removed. Therefore, the EUL for the TLED is set to the minimum of 70,000 hours of use or 5 years. Because the maximum annual hours of use is 8,760, the 70,000 hour life for a TLED is always assumed to be greater than 5 years. Therefore, a 5 year EUL will always be the case for a TLED regardless of its HOU. Because of this, the ex post HOU will affect the first year and lifecycle savings in exactly the same proportion. The lifecycle MWh is directly proportional to the HOU, just as first year savings are. So the higher ex post HOU (relative to ex ante) was the only reason for the high evaluated savings.

Comment #	PA	Location	Page	Topic	Question/Comment	Evaluator Response
6	PG&E	1-5	1-8	Key Findings	Is there additional information that could be added to the report to help estimate the amount of existing TLED and older LED fixtures that are being found in the baselines of these EE projects? "Indoor LED tubes and fixtures were primarily replacing fluorescent tubes and fixtures." As TLEDs have been in the market for a long time now, they are certainly going to be showing up in the baseline in substantial quantities soon. It may be helpful to the PUC and the PAs to provide data that specifically addresses this, because until that happens in high percentages, there is still substantial savings being garnered by TLED and LED replacement measures of fluorescent lamps and fixtures.	Because all of the measures evaluated were Replacement on Burnout and not Accelerated Replacement, looking at the existing equipment was not an objective of the evaluation. We did ask a question on the survey regarding the type of equipment that was replaced and all but a handful of the respondents stated they replaced fluorescents. Only 3 of the 146 respondents said they replaced LEDs. We have added this as a footnote to the report.
7	PG&E	1-5	1-8	Key Findings	The report states "The customer rebate path resulted in lower net-to-gross ratios than both the no-cost installation and distributor incentive paths, with PG&E and SCE having very similar results." Is there a systemic reason that might explain these lower NTG ratios for the customer rebate path?	We have added a new sub-section to the report to address this comment: 6.5.6 NTGR Comparison across Delivery Mechanisms.
8	PG&E	1-6	1-10	Recommendations	The report states" Future evaluations should continue to monitor the age and condition of existing fixtures like fluorescent technologies." The HOU and the existing vintage/condition of existing linear fluorescent products are both critical to the evaluation results. The next evaluation should be ensuring that on-site verification of both of these will occur because it impacts the final evaluated results considerably.	Thank you for the comment, this will be considered during the next round of evaluation planning.
9	PG&E	3-2-1	3-2	Measure Verification	Has there been any verification that the adjustments procedure described for estimating HOU is reasonably accurate for 2020 measure work, considering that there is more sensor prevalence now and the source data is approaching 10 years old? Since sensors are being applied differently in many cases than they were in 2013, the delay time and overall density of sensor zones could produce a different HOU modifier than the 2013 data suggests.	An assessment was conducted in the past few years where a sample of customers were monitored and the monitoring data was compared to the adjusted self report data. For this assessment, the results were found to be within a few percent of each other. Keep in mind that the adjustment process is adjusting for the respondent's ability to accurately estimate their usage. For the most part, we do not see any reason to believe this has changed over time. However, as new control strategies are being put into place, or being used differently, it may be that the respondents ability to estimate usage for one type of control (or strategy) may differ than another. It is important to note that the adjustment process is done separately for switches and sensors, with the majority of savings being switches. For the next evaluation cycle, if monitoring is employed, we will likely conduct another assessment, comparing the adjusted self report to monitored data.
10	PG&E	3-2-1	3-3	Measure Verification	Does the PY17 report discuss how nightlight/egress lighting impact the HOU values for lighting systems, and similarly, do occupancy sensors have HOU adjustment curves that reflect the type of spaces the sensors were applied (restroom vs. open office, for example)?	We did not look at how nightlight/egress lighting impacts the HOU values. The HOU adjustment factors were developed separately for switches and sensors, but the adjustment process was only done at the business type level because detailed data about the distribution of installed lighting by space type was not gathered. If the next evaluation cycle conducts on-sites, then that detailed data will be collected which will allow for those adjustments to be made at the control type and space type level. Note that there are adjustment factors already developed at this level, but they could not be applied at that level because that data was not collected as mentioned.
11	PG&E	3-2-1	3-5	Measure Verification Table 3-3	What percentage of the reported TLEDs and LED fixtures were on an occupancy sensor (OS) already, and were any additional sensors added as part of the energy savings activities on these luminaires? It seems that the number of OSs might be low based on Table 3-3.	For the purposes of our evaluation, we only looked at what control strategies were in place at the time of the survey and we did not examine if these controls were pre-existing or put into place during the measure installation. The values in Table 3-3 were based on self-reported values from the phone survey and we have no other data to dispute these results. In PY20 the self-reported OS percentages were 35% for LED fixtures, 40% for kilolumen luminaires, and 36% for TLEDs.

Comment #	PA	Location	Page	Topic	Question/Comment	Evaluator Response
12	PG&E	3-2-1	3-6	Measure Verification Figure 3-1	How are "Photocell" and "Daylighting Controls" treated differently in the HOU calculations?	We do not have adjustment factors for photocells and daylighting controls. HOUs for sites that self-reported these controls are only adjusted by the percentage of lights on during open hours that the respondent reports during the survey.
13	PG&E	3-3	3-7	Program Influence Telephone Surveys	On p1-4, it states the study examined "installed measure counts" and that "we relied on telephone surveys to collect the information necessary to study each parameter". But installation rate, but that is not listed in the bullets here on p3-7. What about installation of equipment, and number of lamps/fixtures installed? Was that also gathered through the phone surveys? If so, can you please clarify in the methodology how the phone surveys confirmed number of lamps fixtures (since this is often in the hundreds, and can include different numbers installed in different areas), and can you comment on the accuracy of this approach? While we understand that on-site visits were not possible due to Covid, phone surveys likely provide less reliability for some evaluation inputs, including this one.	Note that the bullets on page 3-7 are related to the questions asked to support the NTG analysis, so verifying measure quantities was not included in those bullets. However, this is mentioned in the text on page 3-2 under the discussion of the measure verification and facility operation surveys. The survey asked the respondent to verify the quantities (number of units) installed for each site, as indicated in the measure data tracked by the PAs. 143 out of 146 respondents could verify the accuracy of the tracking data. For the three respondents who indicated fewer units installed we adjusted the evaluated savings downwards. Overall the installation rate was close to 99% for fixtures and kilolumen luminaires and 97% for TLEDs. This is very similar to the installation rates that we verified during the field visits conducted for the PY17 and PY18 evaluations. This is a parameter that typically has low levels of uncertainty, and given the similarity between the self-report and previous evaluation results, its unlikely a source of significant measurement error.
14	PG&E	5-2-3	5-10	Covid impacts and HOU	Can the report include survey results of how Covid impacted HOU by building type? Even rough estimates would be useful. It is interesting that 33% of surveyed sites were offices, yet most sites reported minimal Covid HOU changes (at least long term).	The objective of the pandemic related questions in the survey was to determine if the ex post HOU should be based on the current operating schedule or an expected "normal" operating schedule. Only a single operating schedule was gathered (either current or expected normal), so we do not have operating schedules during the pandemic and cannot accurately quantify how the pandemic impacted HOU. Regarding the office buildings, two-thirds of small offices and half of the large offices reported that their organization's operation hours were not affected by the pandemic.
15	PG&E	6-5	6-13	NTGR	The report states, "the 0.10 decrease exhibited in the Fixtures/Kilolumen Downstream NTGR from PY19 to PY20 is statistically significant." This is a useful comparison. Can the report describe (or hypothesize) why there is this decrease in the NTG?	There are a number of different factors that could have caused this result. It may be due to differences between the participation distribution between PY19 and PY20 such as program delivery approaches (DI versus non-DI), measures (fixtures versus kilolumen) or customer firmographics (size, building types, rural/urban, etc.). It may also be that these measures are becoming standard practice and free ridership is naturally increasing over time. We will make a comment in the report.
16	PG&E	6-5-1	6-14	PG&E Downstream Delivery	Did the NTGR question capture whether the participant had participated in other IOU programs (either resource or nonresource based) that set them onto the path towards installing efficiency measures? Or did the NTGR questions focus only on the impacts of the evaluated program? Many customers ultimately install energy efficiency measures after multiple "rounds" of recommendations / education, which could be from a combination of this program and previous IOU programs. In addition, can the report provide information as to how the "free riders" became knowledgeable and willing to purchase EE products in the absence of the program?	The NTG battery of survey questions does ask about the influence of previous experience with the program or a similar utility program (question N3f). This response is used to prompt the respondent for question N41 which is used in the NTGR algorithm. We do not ask customers how they became knowledgeable about EE products, or about their willingness to purchase EE products in the absence of the program.
17	PG&E	6-5-1	6-14	PG&E Downstream Delivery NTGR	How did the evaluation methodology score NTG for participants who reported they would not have done anything in the absence of the program? Did the report treat these projects as Accelerated Replacement (AR) or Replace on Burnout (ROB)?	They received a 1.0 value for PAI-N6 (see pg. 6-4), which only affected one third of the weight of the overall NTG score. The programs assumed ROB for all participants. The evaluation did not conduct an accelerated replacement analysis, so the ROB classification was also used for ex post.
18	SCE	Overall Comment			SCE is pleased that the gross savings are well over 100% of forecasted savings for these measures. As such, our comments are mostly clarifications and suggestions.	Thank you for the comment.
19	SCE	Conclusion 1 [Section 5]		HOU	Since these good gross results were mainly due to lower ex-ante values established by the ex-ante/DEER teams, SCE would appreciate the ability to work closely with them as we determine the nature of site level data required for good ex-ante deemed values.	Thank you for the comment.

Comment #	PA	Location	Page	Topic	Question/Comment	Evaluator Response
20	SCE	Conclusion 2 [Section 5]		Continue monitoring the age of replaced measures	SCE agrees with this recommendation and looks forward to working with the evaluation team in planning these research efforts	Thank you for the comment.
21	SCE	Conclusion 3 [Section 5]		eTRM consistency between Workpapers and tables	SCE agrees with this recommendation and will work stakeholders to ensure consistency between Workpapers and eTRM tables	Thank you for the comment.
22	SCE	Conclusion 4 [Section 6]		NTGR	Regarding NTG, the evaluation concludes that the customer rebate path "…resulted in lower net-to-gross ratios than both the no-cost installation and distributor incentive paths, with PG&E and SCE having very similar results." Could this be explored in more detail in the final report?	We have added a new sub-section to the report to address this comment: 6.5.6 NTGR Comparison across Delivery Mechanisms.
23	SCE	Conclusion 5 [Section 5 and Section 6]		PAs continue to collect reliable customer contact info	SCE agrees with this recommendation	Thank you for the comment.
24	SCE	Conclusion 6 [Section 5]		Kilolumen accounting	SCE agrees with this recommendation	Thank you for the comment.
25	SCE	Conclusion 7 [Section 5]		PAs provide detail on eTRM parameters applied to midstream/mass installations	SCE agrees with this recommendation	Thank you for the comment.